

THE OHIO STATE UNIVERSITY  
OFFICIAL PROCEEDINGS OF THE  
ONE THOUSAND FOUR HUNDRED AND SIXTH MEETING  
OF THE BOARD OF TRUSTEES

Columbus, Ohio, September 22, 2004

The Board of Trustees met at its regular monthly meeting on Wednesday, September 22, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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## **September 22, 2004 meeting, Board of Trustees**

The Chairperson, Ms. Longaberger, called the meeting of the Board of Trustees to order on September 22, 2004, at 1:15 p.m. She requested the Secretary to call the roll.

Present: Tami Longaberger, Chairperson, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Walden W. O'Dell, Brian K. Hicks, Emily M. Quick, and Chad A. Endsley.

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Ms. Longaberger:

We have a full agenda today and, in addition to committee reports, we will be hearing a report from the University Staff Advisory Committee and a presentation on Selective Investment and Academic Enrichment from Provost Snyder.

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### **PRESIDENT'S REPORT**

President Karen A. Holbrook:

I am going to make remarks that I think are characteristic or fitting of the beginning of a new academic year. As you all know, this is the first day of classes for our students and a day we celebrate.

As we go into a new year, we can look back on all of our accomplishments of the past year. Barbara highlighted a number of them for the Board this morning, so I will not go over them, and they will also be talked about again in a State of the University address that will take place in October.

I do want to note one notable accomplishment that has not been mentioned that I think is very important, particularly in this climate, when we are looking to do things much more efficiently and effectively. On August 23, the University completed a major upgrade of its financial systems on time and on budget. The project included not only upgrades to existing software, but also integration of our three separate general ledgers into one and an implementation of a new grants administration system.

An important point to note is that literally hundreds of people, both in the business areas and in OIT, spent many hours making the Financials 8.4 project a reality. Projects like this require a great deal of hard work and are critically important to the University. So for any of you who are in attendance today and have had staff who were involved in this effort, please pass on to them our congratulations on the successful completion of this very important project and our thanks for a job well done.

As we begin a new year, it is something that we look at as a time of departures -- as people go different directions -- and welcomes. I can assure you the welcomes are a whole lot more fun than the departures and I'll mention a few to you this afternoon.

Today will be the last Board meeting for General Counsel Virginia Trethewey. Ginny has been with us for almost 10 years and has provided extraordinary legal talent to this University through all of her advice to everyone and pulled together a marvelous legal team. It is such a good team -- although a small team -- that you barely know they are here and functioning. That is not to say they are invisible. That is to say that they are

doing their jobs so well that things are done very quietly and get handled very efficiently.

I have been at universities with huge legal teams that are always all over the place and agitating. The reason this University does not see the legal team is that they are guided so well under Ginny's extraordinary leadership.

I would also say that Ginny has provided advice to the entire University well beyond her legal responsibilities. She understands the University and the people, knows what the culture is like, and knows how to provide excellent, high quality, and very ethical standards. I have greatly valued her leadership, personal help to me, advice, and productivity. She is someone to count on at all times. She has great insight, a quick mind, and writes and thinks with precision. She is tireless and willing to contribute on any topic, whether it is within the range of her professional duties or otherwise.

Ginny, thank you for everything you have done. You will be greatly missed, but fortunately you are not going to be gone, you will move upstairs into an office that is full of sunshine. So we know we can count on you. Thank you for everything that you have done. It has been extraordinary.

In saying goodbye to Ginny in this regard, we also welcome Chris Culley. Chris is here today and I would like to introduce him to you if you have not yet had the opportunity to meet him. Since 1998 Chris has served as the deputy general counsel under Ginny. He has been the chief liaison with the attorney general and has coordinated the legal counsel in the state government. He has been the chief legal counsel for business and finance matters, including trademark and licensing, besides taking on all of the backup duties under Ginny's leadership. He has many areas of his own where he has great expertise and has contributed extraordinarily.

Chris has been an assistant attorney general and was the first chief of a newly-created education section and directed education policy for the attorney general. He was staff counsel in the Office of the Governor. Having Chris come aboard as the interim general counsel means that we will not miss a beat in the legal office. We are delighted that you have agreed to join us and continue on with the excellent job that already marks the office. So welcome, Chris, we are glad to have you with us as well.

We are also welcoming Curt Steiner, who is here for his first full Board meeting. He has participated on committees in the past, and many of you know Curt from all of his activities downtown, with the legislature, with PR, and with communications throughout the City of Columbus. Curt is extremely well known and is an exceptional hire for the University to help us in so many very important domains. We are delighted to have you on board as well. So welcome Curt and Chris.

It is also time to welcome a number of new deans. We have a new dean of the College of Engineering, Bud Baeslack. We are delighted to have brought him back from Rensselaer. Of course, he was a Buckeye from the beginning, but he took a short leave of absence to clear his head and then came back to Ohio State.

We also are delighted to welcome: Dr. Paul Beck, dean of the College of Social and Behavioral Sciences; Dr. John Roberts, dean of the College of Humanities; Dr. Melvin Shipp, dean of the College of Optometry; and Interim Executive Dean Dr. Jackie Royster, who at the moment is leading the Federation of the Colleges of the Arts and Sciences. Also, I would like to

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welcome 213 new faculty members who have joined the Ohio State family, including some very outstanding – everyone is an outstanding recruit or they would not be here – and top-notch recruits who have brought with them very large teams.

We introduced Dr. Carlo Croce at the last meeting. He is heading the Department of Molecular Virology, Immunology, and Medical Genetics as part of the Human Cancer Genetics Program. As some of you heard Mr. McFerson say this morning, Carlo is coming with literally a small company of investigators that will help build Ohio State and contribute to economic development in this community.

We have two new Ohio Eminent Scholars: Michael Paulaitis as The Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly; and Harvey Graff as The Ohio Eminent Scholar in Rhetoric and Composition. Great faculty are coming to Ohio State.

We also have Bob McGrath with us today. He was introduced before, but had not really been on board at that time. We are delighted that Bob has joined us as senior vice president for Research and we have already seen many things that he has done.

I am going to say a little bit about another major welcome, and that is of course to the 5,900 entering students in our undergraduate freshman class. Those of you who were in the Academic and Student Affairs Committee meeting this morning heard about the quality of this entering class, so I will not say too much about it.

However, this is the brightest class that has entered Ohio State over the last consecutive years. This is truly a milestone that marks the University's momentum in our unrelenting trek for academic excellence. The ACT and SAT scores are up. We have more students in the top 10 percent of their high school classes joining Ohio State. About 72 percent overall are in the top 25 percent of their class. We have more scholars and those are our top academically qualified students who have graduated in the top three percent of their class and have very, very high ACT and SAT scores.

Measuring students is not only by numbers. These students come to us having done extraordinary things in leadership, scholarship, citizenship, athletics, studies abroad, and unique innovative things throughout their careers. So having them come to our University, to continue on, and to contribute to our environment is really exceptional. Because of these high qualifications of our students, we know that most of them could have gone to many other universities, but chose Ohio State. It is very exciting to have this group on board.

It is very important that we recruit top students, but it is also important that we retain them. It is also important that they have wonderful things to do when they graduate and they go out into meaningful and productive careers. I am going to say a little bit about each of these kinds of things.

As you know, we are a very large university. As you may not know, we are now the largest university in the nation. We surpassed the University of Texas at Austin this year with being the number one largest university. That presents unusual challenges for us and they are ones that we meet. We make an effort to make every single student feel like they are in a small environment and there are a number of activities they can participate in, so that this is a very large university that becomes very small.

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Lots of our students are engaged in living-learning experiences. Meaning they are with peers who are interested in the same kinds of things they are interested in, and living and learning in that same environment.

We have many things going on during Welcome Week that bring students together in different kinds of groups, including our Opportunity Fair. The Opportunity Fair introduces them to over 500 or more different activities that they can find a place for themselves within. Or, as it has been said, if there is not an activity for you, create one. One can happen for you at The Ohio State University.

We had Community Commitment yesterday and I understand about 1,200 students participated. This is a very important activity for us because, while we want our students to be great scholars, and they are, we also want them to be citizens. You may know that our motto at Ohio State is "Education for Citizenship," and by starting out in a community activity like this it certainly sets the stage for it.

We have classes beginning today and other things going on today. There are things that go on all year long to bring out students into smaller groups and get them connected with faculty.

We have also put together a Leadership Agenda. I want to say a little bit about that because the Leadership Agenda is also designed to provide the best possible educational experience for students. I really am excited -- and have to brag -- about one of the goals of a leadership agenda, which is bringing students into a research environment of a great research university. You may have heard us talk last year that our goal was to get every single student involved in a research activity so they could get to know faculty members one-on-one and participate in their own education and learning.

What kind of a research environment do we have? We have one that we can be extremely proud of. This year, we have done better than we have ever done before in sponsored research. Our faculty at this University have brought in more than \$528 million of externally sponsored programs. Over the last two years, that is a \$100 million increase. This is absolutely outstanding.

Last year we ranked #12 in the nation in externally funded programs, meaning twelfth among public universities. It is not about the money. It is about the money in some ways because that is what funds the research and brings in faculty who are top-notch. That is what provides supplies, facilities, and equipment, and the environment for students to work in. But it is really about the environment of exciting people, exciting programs, and wonderful facilities for our undergraduate students to take part in.

When you look at the success of our faculty and what they have accomplished: Engineering brought in 28 percent more funds this year; Social and Behavioral Sciences increased their research funding by almost 75 percent; and the biomedical sciences brought in about \$165 million of research.

One grant that has not even been publicly announced yet -- and it is not counted on our numbers -- is a huge multi-institutional grant in the area of nanotechnology that involves Berkeley, Johns Hopkins, Purdue, Boston University, Florida A&M, and the University of Akron. This says we are national players, we are world players, and we are doing world-class things.

If you look at the kinds of awards we bring in they are not awards to do esoteric projects, they are awards that make a difference in the health of the

people, society, cleaning up our environment, moving ahead the economy, and relating to all kinds of contemporary issues. Our research programs are here for research, for economic development, and for bringing in the very best faculty, but they are also here for providing an exceptional environment for our undergraduate students.

Our educational programs – part of our second goal in the Leadership Agenda – to provide distinctive programs for undergraduate students. Part of that is putting together the Arts and Sciences again in the Federation. Through the Federation we have many new curricular offerings that are revised programs in art education and theatre, comparative ethnic studies, a new track in international studies related to national security, new programs in health sciences, and new programs in natural resources. Every year our faculty become more creative in providing environments for students in academic programs, and often interdisciplinary programs, where two different programs come together.

Another Leadership Agenda goal is that as a land-grant university part of our responsibility is to do outreach and engagement. That started for our freshmen yesterday as they participated in their Community Commitment Day and it will go on throughout their careers. By the time our students graduate, 75 percent of them will have done some kind of activity within the community and contributed in some very meaningful way.

I was delighted to learn from Bobby Moser, who heads the Office of Outreach and Engagement, something I had not known in terms of numbers. We have 850 different programs of outreach and engagement, which are registered in a database and involves all our colleges. When people think of outreach and engagement, they often come up with Extension -- which is a very important program -- but 850 programs tell you that The Ohio State University is very much connected with the communities all across the state, doing very relevant things, and providing wonderful opportunities for our students.

I think we have a program we can be very proud of in every single aspect. We have students, deans, and faculty we are proud of, and we have a campus that is one of the best in the nation. It is the biggest, but it is much more important that it is the best and we are working in that direction every day. So we welcome back everybody to campus. I look forward to an absolutely wonderful year ahead of us.

Thank you very much.

Ms. Longaberger:

Thank you, Dr. Holbrook. We appreciate your report.

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### **STUDENT RECOGNITION AWARD**

Ms. Longaberger:

One of the highlights of each of our Board meetings is the opportunity to acknowledge and celebrate an outstanding student on campus. With that, I would like to call on Chad Endsley for our Student Recognition Award. Chad --

Mr. Endsley:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of that student's achievement in his or her area of study, service to the University and/or the community, and/or research achievements that have been a credit to the College and the University. This month's recipient is Adrienne Smith, who was nominated by Dean Richard Freeman and the College of Mathematical and Physical Sciences.

Adrienne is entering her final year at Ohio State and is expected to graduate in December with a Bachelor of Science degree in geological sciences. She is an exceptional student in her major, as well as her other Ohio State courses and has achieved an overall grade point average of above 3.9. Adrienne is intelligent, well prepared, diligent and thoroughly professional in her schoolwork and research work. Adrienne's talents are many, from music performance to examining rocks and minerals. Her outstanding scholarship has been recognized by a host of awards from the School of Music, the Office of Minority Affairs, the Department of Geological Sciences, and The Ohio State University.

Adrienne's excitement in learning and research discovery is a source of great satisfaction for all of her instructors. She was invited to participate in a research expedition to Antarctica, to study the geology of a region known as the "Terror Rift." Adrienne's research work was recognized in the Denman Undergraduate Research Forum -- where she received the highest award for the College of Mathematical and Physical Sciences -- for her poster presentation entitled *Mapping Sea Floor Geology, Ross Sea, Antarctica*. Her results will contribute to understanding the history of the forces that caused distortion within the Antarctic continent, helping to answer fundamental questions about the interactions of the Earth's tectonic plates.

Adrienne is a young scientist who is especially deserving of the Student Recognition Award of The Ohio State University Board of Trustees. She is accompanied today by her parents and University deans, professors, and mentors. Adrienne, I am very pleased to present this award to you today on behalf of the Board of Trustees. Congratulations.

Ms. Adrienne E. Smith:

I would like to begin by thanking the Board of Trustees, the deans of my college, my chairman, and my distinguished president for your support and recognition of my academic efforts.

I always tell this story of the first time someone asked me what I wanted to be when I grew up and I said that I wanted to be outside. Over the years, I flightfully considered a number of different careers: a dancer, lawyer, novelist, in advertising, a mad scientist, and I humbly thought I would be the poet laureate. Never in all that time did I think or say that I would be a geologist.

Oddly enough, after I declared my geosciences major I was cleaning my room at my parents' house and I started searching through all the treasures of my youth, all the things that I hid from my sisters to keep sacred. I found mementos from the day I led my sixth grade class on an expedition in the woods -- a map reading exercise where we had to pace out everything. We ended up at a creek bed that was covered in fossils, and the prize for our persistence was that we could take home any two of those relics that would fit in the palm of our hands. I also found a blue rock that my best childhood

friend gave me, knowing that this odd color, this odd façade would fascinate me. I later found out that she had colored it herself. The point is that many of my treasures were evidence of my own independent study of the Earth. In the end, I started thinking that maybe I had known myself better in first grade than I had for the last 13 years.

In hindsight, it is clear to me that many of the lessons of my life are about developing a knowledge of myself. As I have been thinking about this recently, I realize that as important as it is for me to know myself, it is equally important for me to know why I am who I am.

For that reason, I have invited this gaggle of people here today to each be thanked in turn. I would like to start with Professor Christopher Weait, from the School of Music, and his inspiring companion and wife, Padge. They have been the source of many comforting hugs and conversations, and some much-needed emergency oatmeal cookies over the past six years.

Professor Weait's kindness and wisdom is one of the major things that attracted me to Ohio State. During my first year in my bassoon lessons, we would sit and talk about what I was really going to do with my life, which was not bassoon. Even after I left the music program, the bassoon studio and the Weait's household have remained places that I can go for comfort, solace, and camaraderie. So I really appreciate that. Thank you very much.

Dr. David Elliot taught the second course I took as a true geology major and I remember thinking a few days into that class that I would never have the wealth of knowledge that he has and I could never know even half of what he knows. To this day, I am determined to give it a try.

My thesis advisor, Dr. Terry Wilson, truly has enriched my life, starting with the first day of her class and then again on the day that she held me after class and asked me if I wanted to go to Antarctica to do research. Most of my drive in the time since then has just been an effort to keep up with her rigorous standards and amidst all of the learning, laboring, and long hours, I have just had a lot of fun. So I would like to thank her.

My sisters I will thank in their absence because they have always been there for me to keep my ego in check. They always remind me of the silly faces I always made in pictures as a kid, the many, many phases where I refused to take a bath, and the time that they wanted me to be quiet and they shoved a sock in my mouth. At the same time, they are always there to love me and lift me up when I need it the most.

I would like to thank my parents, Rose and Jim Smith. People always ask me what my parents do for a living. As if to say, "you are so smart, so your parents must be neurosurgeons or guiding massive spaceships." My parents are just the ordinary kind of extraordinary people. The most valuable lesson I learned from them is the reward of working hard and, even when it means putting in long hours and then just trying to live life to the fullest in between, that it is worth it. Every time I hear my mom singing or my dad chuckling, I look at them and I think if God had given me nothing but you, it would have been enough. I am really blessed. Mom, Dad – I love you. Do not cry.

Finally, I would like to thank The Ohio State University for bringing all these people together, being the community that raised the child that I was, and for providing me with my choice of opportunities to really and truly do something great. Thank you.



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Ms. Longaberger:

Thank you, Adrienne. I think you gave something very special to the Board of Trustees as well and we appreciate it very much. You are truly a wonderful example of the extraordinary students and people that make up this community of Ohio State. Thank you very much.

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### **COMMITTEE REPORTS/HOSPITALS BOARD REPORT**

Ms. Longaberger:

I would now like to call on the committee chairs individually for their reports, and we will start with the Investments Committee. Mr. McFerson --

Mr. McFerson:

First, I would like to make an observation on Adrienne's behalf. You should have seen the huge grins on your mom and dad while you were talking. It was great. Secondly, when you go to graduate school, do not leave Ohio State and go somewhere else.

The Investments Committee met this morning. We had a good meeting and had a number of reports. The first report we heard was from Dr. Schroeder on University Development. He reported on the fiscal year ending June 30, and it was exciting to hear that we finished the year with \$203 million of giving of all kinds -- from individuals, corporations, private foundations, and associations. That is a four percent increase over last year. That is a profound number when you consider just a few short years ago we were not even close to those kinds of numbers. Jim, thank you very much. Individual giving was up 26 percent, which is another very strong number. The resolution passed by the Investments Committee will be on the consent agenda today for the Board's consideration.

We then had a series of reports from Treasurer Jim Nichols. He reported that we finished August 31, at \$1.518 billion in our several endowment accounts. That is just slightly down from the end of the year. As we all know, the markets were down also during the summer doldrums that we seem to go through each year.

He also reported that for the fiscal year ending June 30, we are up 18.3 percent in our total endowment. Equities alone was up over 25 percent, which surpassed the S&P 500 by about six points -- that was a strong report there. He also reported that the cost of our treasury operations continues to be outstanding. We dropped from 24 basis points to 21 basis points to manage this huge endowment, which is literally a world-class performance.

We had a presentation as well on some trends and balances reports. We distributed \$63.5 million to the various colleges from the endowment in FY 2004, which is a profound number that the colleges certainly appreciate. He also showed the projections coming forth with the reduced payouts of 5.5 percent in FY 2005 and 5 percent in FY 2006.

Madame Chair, we are going to have to spend more time at our next meeting talking about where we go from here with our investments. Clearly as we compare Ohio State to other indices, we are generally 10-12 points more allocated to equities than other institutions like ourselves and to the comparative groups that we look at. We are also about 10 points less on

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alternative investments, which would be considered hedge and venture funds and real estate.

The recommendation that likely will come forward will be to move more toward where the benchmark institutions find themselves. It will allow us to have a little less risk in our portfolio and also better returns. We are going to have a healthy discussion on that at our next meeting. By that, I mean that we are currently sitting at 73 percent in equities and we probably need to be more around the 60 percent level. We are sitting at about 20 percent in fixed incomes and that is about where we think we need to be. However, we may need to allocate differently within the fixed incomes and have 20 percent of the portfolio in these alternative investments. If you look at the really large endowment funds -- because these are invested for the long term -- we need to find ourselves in some of these other classes of investments, which we have been perhaps a little slow in moving toward over the past decade.

It was a good meeting. We have a great operation in our treasury folks and we will have another healthy meeting next month to talk about this further.

Ms. Longaberger:

Thank you very much. Questions or comments? Thank you, Mr. McFerson. Mr. Borrer will you now report on the Academic and Student Affairs Committee?

Mr. Borrer:

Thank you, Madame Chairperson. We began the Academic and Student Affairs Committee with a presentation by Vice President Hall regarding the beginning of the year. We are off to an excellent start. Many of you probably saw *The Columbus Dispatch* today. There was a front-page article on the new climbing wall at the Rec Center and both Dr. Holbrook and Provost Snyder were on live television this morning and had great coverage of the start of the year.

We also had presentations from the leaders of all the student governing bodies. They have a number of great plans for the year and their objectives are very far-reaching and comprehensive. They do have a clear concern and an area that they are giving a lot of attention to and that is the security and safety of our students on campus and in the surrounding areas. They are working very diligently with Vice President Hall, as well as with the City of Columbus and police, to make these areas a priority along with street lighting and trash removal.

We then moved to a presentation by Vice Provost Randy Smith and Dean Donna Evans on teacher preparation and coordinating educational requirements for teachers. This was a very interesting and well-thought-out presentation.

Finally, Provost Snyder presented resolutions that will be on the consent agenda today and they include: reorganizing sections in the College of Engineering, naming of the Wetland Complex, and routine personnel actions.

That concludes my report.

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Ms. Longaberger:

Thank you very much. Any questions or comments? Fiscal Affairs Committee – Jo Ann Davidson?

Mrs. Davidson:

Thank you, Madame Chairperson. Our first item on our agenda this morning in Fiscal Affairs was a continuing look at how we can become more efficient and cost effective on the campus in a review of the core processes, and this morning's report dealt with purchasing. There is a very good coordinated effort going through the whole campus, on how we can come together for some of our procurement and IT materials, and we were very pleased with that. The Committee has really encouraged Mr. Shkurti to move ahead quickly on these reviews. They expect that if we can put these things in place, then we could have between a \$26 and \$32 million savings over a two-year period of time, just by the coordination across the campus in some of our purchasing processes.

Also Larry Lewellen gave us a briefing on the Fair Labor Standards Act and the impact that we might have at the University on the change in overtime rules, where some of our now exempt employees might have to be put into the non-exempt category. Although they are not far enough along with that review yet to give us an estimate of what the cost might be, they wanted us to be aware of that. They are also in the process of reviewing and updating our drug-free workplace rules. Again, those will come back through the Committee and to us for approval.

Mr. Shkurti reported on some proposed property lease/purchase agreements. Generally these lease agreements have not necessarily come before the Committee, but since these are extensive and could have some long-term impact upon the debt of the University, the decision has been made to bring these now to the Fiscal Affairs Committee. So there were five different actions brought to us today, four of those which will be delayed until next month's meeting and we will ask for your approval at that time.

I'd like to ask the Board members not on the Committee to take a look at some of those lease/purchase agreements. Some of them are very good opportunities of properties that are adjacent to current OSU facilities that we may be in a situation where we can now acquire them. So if you do not have that information or need that information, let us know and we will get it to you. However, one of the leases will come to us today for approval. That was for entering into a lease/purchase agreement for a Borden property at Polaris, which would be for the purpose of new research facilities to accommodate some of Dr. Croce's needs.

There is an urgent need to move ahead on this. What we will be asking today of the Board is not to authorize the lease/purchase, but to authorize a lease/purchase once Mr. Shkurti and our legal counsel believe that we have had the due process and review of that lease, and that we have a business plan with which Mr. Shkurti is comfortable. So the resolution today would authorize the senior vice president for Business and Finance, in consultation with the chair of the Fiscal Affairs Committee, to move ahead on that lease/purchase once those two factors are determined.

We had a very good quarterly report on our capital projects. We have been doing this on a regular basis where Mr. Shkurti brings us up-to-date on where we are on some of our major construction projects. Today we reviewed the new garage at University Hospitals, the Heart Hospital, Larkins

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Hall, Neil Avenue garage, the Knowlton School of Architecture, and the philosophy building. It is nice to hear that we are on time and on budget.

So I commend everybody who worked to get these reports for us. It is good to know that we have additional parking available in the Hospitals facility and the Ross Heart Hospital -- under Dimon's leadership as chairman of that board -- is about ready to be receiving new patients. So it was a very good report.

We have three items that will be on the agenda and one that will be added today, which is the Polaris property. One would be for the approval of design and construction projects on ongoing projects that we have already authorized. The second would be the easement that is requested by Columbus Southern Power for the extension of electric lines that involve University Hospitals East, to enlarge the emergency facility there. And the third resolution would be the final approval of the FY 2005 complete, entire OSU budget. As you know, we have authorized part of that before, but this includes all of our regional campuses so it would be a complete budget. You have received the budget book and again, we want to thank all of Bill Shkurti's staff for the great job they did over the summer months in pulling a very detailed budget book together for us. The book is a great resource throughout the year.

Madame Chair, those three items, plus the authorization on moving ahead on the lease/purchase agreement on the Borden property if everything is satisfied, would be the items we would be asking the Board to act on today.

Ms. Longaberger:

Thank you very much for your good work and the Committee's as well.

Mrs. Davidson:

Madame Chair, one other factor, and that is that Judge Duncan met with us to report on the meeting that was held earlier this month relating to the Airport expansion.

We had a number of members of the Worthington community, the leadership in that community, and other interested citizens who attended the meeting. They waited very patiently through our entire agenda until we got to the end. Judge Duncan made a very good report that related to what happened at that meeting and indicated that each of us will be getting an itemized review and a transcript of that meeting, so you will have a chance to review it as well.

Ms. Longaberger:

Thank you very much. We will now move on to the Hospitals Board report. Judge Duncan --

Judge Duncan:

Madame Chair, Dr. Holbrook, and members of the Board, my first thought, Madame Chair, is, after listening to that outstanding young woman, maybe we ought to declare victory and all go home! But I do not suppose you want to do that, so I will go ahead with the report.

First, I would like to report on the July 21 meeting of the Coordinating Council for The Ohio State University Health System. This is a new organization and the July 21 meeting was the first meeting.

Let me tell you a bit about that organization. The regular membership is the vice president and CEO of the Health System, chief medical officer of the Health System, the chief financial officer of the Medical Center, and chairs of each of the Health System constituent hospital boards. Ex officio members include: the executive directors of each of the Health System hospitals; the president of The Ohio State University Physicians (OSUP); the vice dean for clinical affairs in the College of Medicine and Public Health; senior vice president for Business and Finance; and we are also fortunate to have President Holbrook as a member of this group.

The purpose of this group is to better align and coordinate activities and practice at the hospitals, and practice and programs of the Health System, review the financial data on a consolidated basis, coordinate shared vision and goals, review need assessments, review resource and cost allocation matters, review property and land use, and, very importantly, to ensure ethical and quality standards of care. In a word, rather simply stated, this organization will serve a very important purpose in trying to ensure that large and extremely important constituent institutions and programs all go in the same direction.

For the fiscal year ending June 30, 2004, the Hospitals had a very good and positive performance. Business was good and we were ahead of budget. As the fiscal year ended, we looked forward to the opening of a new patient/visitor garage with 975 spaces on Westpark Street, the August opening of the St. Rt. 315 ramps, and the Medical Center Plaza was completed. I hope you have all had an opportunity to see that – what a gorgeous new face that we have for patients and their families and visitors welcoming them when they come to our Medical Center. When I first saw it I thought I was in the wrong place!

Madame Chair, I would like to share my time with Dimon McFerson, who will make brief comments about the Ross Heart Hospital, which is another extremely important consideration.

Mr. McFerson:

Thank you, Judge Duncan. As the chair of the Ross Heart Hospital Board, we had our official opening over the weekend of August 13, 14, and 15. The weather then was as good as it is today – perfect. Maybe it was a little early for the opening, but you set those dates well in advance. We went ahead and had a wonderful opening, even though there was construction still occurring around us. I am happy to report that Libby Ross and her family were present, and the generosity of this woman has no limits. She picked up the entire cost of the event, so there would be no cost to the Medical Center for the opening, which was outstanding. We had all the major donors there and it was a wonderful turnout. They got to see some of the things the Judge talked about – the St. Rt. 315 ramps, the Medical Center entryway, the parking garage, and so forth. They were all very pleased with that.

Our patients will be moving in over the next few weeks. Originally the plan was to phase them in a floor at a time, but now they are going to move essentially all floors at the same time -- floors one and two, and four and five. There is no floor three, and that is by design so it connects with Rhodes Hall.

They have been checking out all the equipment. I am told the first heart catheterization has already taken place. Dr. Charlie Bush, chief medical director, performed it and no one better qualified than him to do it. I'm glad

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it was not on me, although he did one on me two months ago but not in the new hospital.

So things are going well. It is a marvelous, marvelous facility and I would encourage the Board to go over and walk around the facility when it is officially open. We actually held a board meeting there on August 30 -- even though we had to walk around some of the construction -- in the beautiful Huntington Room that was donated by those good people. It is a marvelous addition to the Medical Center.

Ms. Longaberger:

Thank you.

Judge Duncan:

Madame Chair, we are all very proud of the fact that in the *U.S. News and World Report* rankings we have nine specialty areas that are nationally ranked and, of course, 145 physicians have been identified as among the "Best Doctors in America."

President Holbrook remarked about Dr. Croce. I have had the opportunity of meeting him and I hope the rest of you will also have that opportunity, if you haven't already. In addition to being an internationally, highly-regarded scientist and educator, he is actually a real nice guy. He is a great addition to the campus community.

I also should indicate to you that Dr. Sanfilippo and those working with him are continuing the recruiting effort as it moves ahead of pace and there are some irons in the fire, and I hope that we will be able to get them out. That is going extremely well.

As was brought forth this morning in the Fiscal Affairs Committee meeting, there is another effort to reduce non-labor costs and the early numbers regarding the effort are extremely promising. We are hopeful that the non-labor reductions will amount to an incredibly good savings for the whole system. So we look forward to looking at the results on those.

I know you were interested in the financials; the July financials from the Hospitals indicate that we are hovering just right at budget, and it is fair to say the budget for this fiscal year is extremely ambitious. We are going to watch that very closely and it is going to take a really concerted effort to make those numbers, but right now we seem to be holding our own. The Hospitals Board meets tomorrow and I will get the August numbers and report on those to this Board at my next opportunity.

Last year's performance was indicative of a lot of hard work, intelligence, innovation, and, I note particularly, a cultural change and that is extremely important. I would like to congratulate Dr. Sanfilippo and all the folks working with him in the Health System for a very good year.

Again, this year's budget is very ambitious. It will be a huge challenge to meet forecast; there are a lot of ducks on the pond and we have to ensure that we get the ducks to shore or wherever it is that ducks want to go. This is a great time of opportunity for our Health System -- which is now very good -- to become even better, to serve more people, and to serve them better. Thank you.

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Ms. Longaberger:

Thank you very much, Judge Duncan. Any questions or comments?

I just want to make one comment to the rest of the Board. Thanks to all the committee chairs for your reports. It demonstrates the amount of time, energy, and effort that, not only committee chairs, but also all Board members put into our work here at Ohio State. I know there are a lot of good staff people here at Ohio State who are compensated for their work. The Trustees are all volunteers.

As I listened to you talk about your committee work and just the energy and effort that went into your care and concern for the University, I was thinking to myself that I was glad that we are not charged some of your hourly rates for your work. It helps keep the budget at least in check. On a serious note, thank you, publicly, to all the Board members for your time and effort that you put into making this University as great as it is. Thank you.

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### **USAC PRESENTATION**

Ms. Longaberger:

I would now like to call on Connie Goodman, chair of the University Staff Advisory Committee, for her report on their activities.

Ms. Constance M. Goodman:

Let me introduce my colleague today, Lori Martensen, co-chair of our Staff Compensation and Benefits Committee, who will be helping me this afternoon with this presentation.

Madame Chair, President Holbrook, members of the Board, and guests, it is our pleasure and honor to speak with you about the University Staff Advisory Committee, commonly known as USAC. This group is somewhat unique to Ohio State and thus many of you may not be familiar with the types of issues that we address, the functions that we coordinate and participate in, and the overall exposure that we provide for staff work/life issues.

Our committee has been very successful in bringing important and timely staff issues to the attention of the administration. As a result of our successes, we have been asked by other staff groups across the nation to provide guidance to them as they establish their own advisory groups. Most recently, a request was received from the Oregon State University where our former provost, Ed Ray, is and he recommended that they establish a group similar to ours and contact us during the early stages of formation.

USAC is made up of 30 members of classified civil service, unclassified administrative and professional staff, and senior administrative and professional staff. We strive to have a broad-based membership from various departments across campus, including academic and service units, the Medical Center, and regional campuses. We are an advisory body to the president and our mission is to provide an active and participatory line of communication with the University community and to provide a forum through which we can raise staff issues, discuss, and make recommendations on non-academic issues and activities. In addition to our current members, we have a very strong alumni base who continue to be

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active participants in campus initiatives and committees – we call them our ambassadors.

USAC is actively involved in 20 University committees, either as voting members or as a guest. Representatives are selected based on background and credentials. Those people bring a breadth of experience and professionalism to their work with these groups. Currently, we have voting members on the Fiscal Committee, the Health Care Investment Committee, and the Staff Compensation Initiative Advisory Committee, to name just a few.

We are also active in state and national groups. Statewide USAC is a member of the Ohio Staff Council on Higher Education and, in October of this year, we will be sending a representative to the national CIC Academic Professional Meeting, where we will join other staff councils in discussing topical issues related to staff.

One of our largest and most influential endeavors each year is the Staff Compensation and Benefits Report. Lori is going to take a few minutes to address some of the activities that her committee has been working with and the impact that they have had and will continue to have on staff at Ohio State.

Ms. Lori A. Martensen:

Thank you, Connie. Good afternoon. There are three things I would like to highlight today: 1) our recent successes; 2) what is going on at our meetings right now; and 3) our primary initiatives for this year. When I say our successes, we share these with the faculty, the administration, the Board, and the student governments here at Ohio State because we have all played a role in them.

First and foremost is the approval of domestic partner benefits. We cannot overstate the importance of this benefit in recruiting and retaining top staff to the University, people who may not have otherwise considered Ohio State as an employer, and we greatly appreciate your support in having those benefits approved.

The additional child care facility, the new parental leave benefit, and the option of donating vacation time to staff in need are all new benefits that we have asked for, some of them since our earliest reports in the late 1980s. We appreciate that all of those have gone through lately and we celebrate their success.

Communication is a constant challenge at a university this size, but we feel we have made some really strong advances in the past year. We are having regular town meetings with President Holbrook and she brings some of her cabinet to open forums where anyone can attend and ask her questions. Those have seen larger and larger amounts of attendees at each one.

Something new we are doing are quarterly meetings with Provost Snyder. These are in a more intimate setting. We will have a couple of USAC members as well as some non-USAC staff in attendance where they can ask Provost Snyder questions and voice their concerns about issues here as they pertain to staff.

Compensation – we view it as a success in that in the last couple of years we have been able to offer above-market raises, although it is also a



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challenge. Our salaries are still below market levels and we will continue to push for consideration in that area.

What we are doing right now in our meetings is we are preparing for our next annual report, which will be delivered on February 10, 2005, at 2 p.m. If you are interested in attending, we would love to have you there. We will present this to President Holbrook and her cabinet members at that time.

We just completed our annual survey of staff, so we do not sit in a room and decide what is important to staff; we actually send out a survey to a sample group and ask them once a year what their concerns are with our benefits. We just started receiving our first feedback yesterday from that.

We also are having a lot of guest speakers come in and get us up-to-date on issues. Larry Lewellen and his staff, among others, make themselves very accessible to us and we really appreciate that.

You will see in a booklet that was passed out to you our last report. We have a number of initiatives we are always working on, but I want to highlight three of our top ones. You will not be surprised to hear that health care benefits are a continual concern with staff. I mentioned that we started receiving feedback from our survey yesterday and it was in response to our last question, "What comments do you have?" We received a lot of thank yous – thank you for getting domestic partners passed, thank you for getting us parental leave, or helping support those initiatives, but they were not all thank yous. There was also a lot of, "I am very concerned with health care costs, premium costs, prescription costs..." This is a national problem, we realize that, but we are also always looking at ways we can work with Human Resources to come up with new initiatives.

We strongly support the role of wellness in health care and how it can be used to lower health care costs. We appreciate maintaining wellness opportunities that are currently available and looking at new opportunities. One that we are concerned about is the new Recreation and Physical Activity Center (RPAC) – the renovation of Larkins. While we realize that was definitely a necessity, when it is up and running it will take away the only remaining free workout facilities for staff. So that is something we are looking at and exploring opportunities there. We appreciate that Human Resources is also looking at that and looking at options for lower income employees.

Balancing work/life is another initiative that we are always exploring. You might have noticed that AEP was awarded...as one of the top 100 employers for working mothers in their magazine – I think that was announced yesterday. I looked up their ranking and I saw the top ten. The three things that are repeated over and over are: they provide child care facilities, they provide parental leave, and they provide flexible work schedules. Flex schedules are something we hear over and over from staff. We are having more and more events occur on the weekends – Sunday graduation and recruitment activities on the weekends – these are all good things, but they highlight the need for us to be flexible for staff that work on the weekends.

Telecommuting is something else we would also like to see encouraged for the staff. And staff workloads – we need to be working smarter and harder in checking outdated processes and how we can become more efficient. Because when you are stressed out with your workload, that trickles into your home life.

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Lastly, we are looking at performance management issues. We strongly feel every staff person should sit down with their supervisor once a year, talk about their performance for the year – what went well, what could have gone better and how things can go better in the next year, both from the employer's and the employee's standpoint. There are a number of staff who have never had this opportunity and we will continue to explore how we can make that happen for all employees.

At this point, Connie and I would like to thank you for your time today. If you have any questions, we would be happy to address those.

Ms. Longaberger:

Karen –

Ms. Hendricks:

How many people are on staff that this organization represents?

Ms. Martensen:

I believe it is about 17,000 staff.

Ms. Hendricks:

Thanks.

Ms. Longaberger:

Let me just say "thank you" to you. The Trustees certainly value the work of your organization and know you put a lot of time, effort, and energy into doing your very best to represent all 17,000 staff. We want to take this time to thank you for your work, and know that this Board of Trustees cares very much about your work and certainly the staff you represent. Thank you very much.

Ms. Goodman:

Thank you.

Ms. Martensen:

Thank you.

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## **CONSENT AGENDA**

President Karen A. Holbrook:

We currently have fourteen resolutions on the Consent Agenda today and we are adding one resolution: Authorization to Enter into the Lease of Real Property with the Option to Purchase, which was discussed at this morning's Fiscal Affairs Committee meeting. We are seeking your approval for:

**AMENDED COMMITTEE APPOINTMENTS 2004-2005**

Resolution No. 2005-21

BE IT RESOLVED, That the appointments to Committees and representatives to various Boards for 2004-2005 be amended as follows:

**Academic & Student**

**Affairs Committee:\***

Douglas G. Borrer, Chair  
Daniel M. Slane, Vice Chair  
Robert M. Duncan  
Emily M. Quick  
Chad A. Endsley

Douglas G. Borrer  
Brian K. Hicks  
Emily M. Quick  
David L. Brennan  
Thomas Hoaglin  
RICH HILLIS  
STEPHEN C. LANDERMAN

**Fiscal Affairs Committee:\***

Jo Ann Davidson, Chair  
Karen L. Hendricks, Vice Chair  
Dimon R. McFerson  
Walden W. O'Dell  
Brian K. Hicks

**The Arthur G. James  
Cancer Hospital & Richard J.  
Solove Research Inst. Bd:**  
Robert M. Duncan, Chair  
Jo Ann Davidson

**Facilities Planning (AdHoc)\***

(Subcommittee of Fiscal  
Affairs)

Robert M. Duncan, Chair  
Douglas G. Borrer  
Walden W. O'Dell  
Chad A. Endsley

**University Hospitals Board:**

Robert M. Duncan  
Jo Ann Davidson

**Campus Partners Board:**

Douglas G. Borrer

**Investments Committee:\***

Dimon R. McFerson, Chair  
Jo Ann Davidson, Vice Chair  
Daniel M. Slane  
Walden W. O'Dell  
Ex Officio:  
John Gerlach, Jr. (Foundation Bd)

**Research Foundation**

**Board of Directors:**

Karen L. Hendricks

**University Foundation Board Ex**

**Officio: Class of Directors:**

Dimon R. McFerson (2005)  
Douglas G. Borrer (2006)  
Karen L. Hendricks (2007)

**Audit Committee:\***

Karen L. Hendricks, Chair  
Dimon R. McFerson, Vice Chair  
Daniel M. Slane  
Brian K. Hicks

**Wexner Center Foundation  
Board:**

Leslie H. Wexner (6/30/2005)  
Brian K. Hicks+

**Agricultural Affairs Committee:\***

Daniel M. Slane, Chair  
Fred L. Dailey, Vice Chair, Ex Officio  
Walden W. O'Dell  
Brian K. Hicks  
Emily M. Quick  
Chad A. Endsley

**Ohio State University**

**Affiliates, Inc.:**

**Affiliated Entities**

Tami Longaberger  
Daniel M. Slane  
Robert M. Duncan

**Governance Committee:**

Tami Longaberger, Chair  
Daniel M. Slane, Vice Chair  
Robert M. Duncan

**Science and Technology**

**Campus Board:**

Walden W. O'Dell

**Regional Campus Trustee**

**Liaison:**

Jo Ann Davidson

**Government Relations**

**Advisory Council:\***

Daniel M. Slane, Chair  
Jo Ann Davidson, Vice Chair

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**University Managed Health Care  
System, Board of Directors**  
Karen I. Hendricks

**Richard M. Ross Heart Hospital:**  
Dimon R. McFerson  
Daniel M. Slane

**Self-Insurance Trust Board:**  
Dimon R. McFerson

**\*Board Chair, Ex Officio member  
of all committees  
+President's appointee**

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**AMENDMENTS TO THE BYLAWS OF THE  
BOARD OF TRUSTEES AND RULES OF THE UNIVERSITY FACULTY**

Resolution No. 2005-22

Synopsis: Amendments to the *Bylaws of the Board of Trustees* and *Rules of the University Faculty* to reflect a title change and the delineation of responsibilities in the central University administration is recommended for approval.

WHEREAS the President has announced an administrative reorganization designed to enhance the effective development of broad policies and operating procedures for communications, public relations, and marketing activities, along with developing strategies to effectively advance the University's academic agenda with policymakers and funding agencies, particularly at the federal level; and

WHEREAS the position of vice president for University Relations is being elevated to the position of senior vice president for External Relations with expanded responsibilities over the Offices of University Relations and Government Relations; and

WHEREAS as a part of the restructuring of the University administration, amendments to the *Bylaws of the Board of Trustees* and *Rules of the University Faculty* are necessary to effectuate the changes proposed:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the President, the proposed administrative reorganization be hereby adopted, effective immediately; and

BE IT FURTHER RESOLVED, That the Secretary of the Board of Trustees is hereby authorized and directed to incorporate the recommended changes -- title change, unit designation, and unit realignments in the applicable sections in the *Bylaws of the Board of Trustees* and the *Rules of the University Faculty*.

Amended Bylaw

3335-1-03 Administration of the university.

- (N) SENIOR vice president for ~~university external~~ relations. The major area of responsibility and authority of the ~~senior~~ vice president for ~~university external~~ relations shall be the communications, public relations, and marketing of the university, and the administration of all aspects of the university's relationships and dealings with federal, state and local government officials, representatives and agencies to advance the university's mission and substantive agenda. Without limiting the generality of the foregoing, the ~~senior~~ vice president for ~~university external~~ relations

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shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: developing broad policies and operating procedures for communications, public relations, and marketing activities in support of the university's institutional goals; developing and implementing the university's marketing, communications, and public relations strategies; coordinating all internal and external institutional marketing and communications activities; instituting and coordinating collaborative strategies and activities with academic and academic-support units; presenting the university properly to its key audiences nationally and statewide; and informing the university's constituencies about the many benefits it offers and services it provides; advising the president and senior university leadership on all legislative and regulatory matters impacting the

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**REGIONAL CAMPUS BOARD APPOINTMENT**

Resolution No. 2005-23

Synopsis: Approval of appointment to The Ohio State University Regional Campus Board is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of ten members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (nine members shall be private citizens and one member shall be a student); and

WHEREAS the following named person has been nominated and selected for appointment to the following Regional Campus Board for the term as specified:

Lima Board

Keith H. Deters, July 1, 2004 – June 30, 2006

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be approved as a member of The Ohio State University-Lima Board.

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**AMENDMENTS TO THE *MEDICAL STAFF BYLAWS*  
OF THE OHIO STATE UNIVERSITY HOSPITALS EAST**

Resolution No. 2005-24

Synopsis: The amendments to the *Bylaws of the Medical Staff* of The Ohio State University Hospitals East are recommended for approval.

WHEREAS The Ohio State University Hospitals Board pursuant to bylaw 3335-101-04 of the Hospitals Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to the *Medical Staff Bylaws* of The Ohio State University Hospitals East; and

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WHEREAS the proposed amendments to the *Medical Staff Bylaws* of The Ohio State University Hospitals East were approved by the University Hospitals Board on August 26, 2004, as follows:

Amended Medical Staff Bylaws

3335-45-09 Hearing and appellate review.

(A) Right to hearing and to an appeal.

(1) When a member of the medical staff who has exhausted all remedies under paragraphs (E) and (F) of rule 3335-45-05 of the Administrative Code on appointment or reappointments; or under rule 3335-45-08 of the Administrative Code for corrective action; or who has been summarily ~~or automatically~~ suspended under paragraph (E) ~~or (F)~~ of rule 3335-45-08 of the Administrative Code; or who receives notice of a proposed action that will adversely affect membership on the medical staff or the exercise of clinical privileges, ~~or whose membership has been immediately terminated under paragraph (C)(2) or (D)(4) of rule 3335-45-04 of the Administrative Code,~~ the staff member shall be entitled to an adjudicatory hearing.

(2) When a member of the medical staff has been automatically suspended under paragraph (F) of rule 3335-45-08 of the Administrative Code; or whose membership has been immediately terminated under paragraph (C)(2) or (D)(4) of rule 3335-45-04 of the Administrative Code, the staff member shall not be entitled to an adjudicatory hearing.

~~(2)~~

(3) All hearings and appeals shall be in accordance with the procedural safeguards set forth in this rule to assure that the affected medical staff member is accorded all rights to which the member is entitled.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Bylaws of the Medical Staff* of The Ohio State University Hospitals East be adopted.

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**RETURN THE AEROSPACE ENGINEERING SECTION AND THE AVIATION SECTION OF THE DEPARTMENT OF AEROSPACE ENGINEERING AND AVIATION TO SEPARATE AND INDEPENDENT DEPARTMENT STATUS**

Resolution No. 2005-25

Synopsis: Return the Aerospace Engineering Section and the Aviation Section of the Department of Aerospace Engineering and Aviation to Separate and Independent Department Status – the Department of Aerospace Engineering and the Department of Aviation – is proposed.

WHEREAS the Department of Aeronautical and Astronautical Engineering, Engineering Mechanics, and Aviation was formed in 1994; and

WHEREAS the Department of Aerospace Engineering and Aviation was formed in 1998 with the loss of faculty of engineering mechanics to the Department of Mechanical Engineering; and

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WHEREAS two task forces named by the dean of the College of Engineering concluded that the academic performance of both the aerospace engineering program and the aviation program is being impeded by the present structure; and

WHEREAS the academic programs of aerospace engineering and aviation are distinct and separate; and

WHEREAS the proposal is supported strongly by the students and faculty of both units and the College of Engineering; and

WHEREAS the proposal was approved by a reviewing subcommittee, the Council on Academic Affairs, and the University Senate at its June 10, 2004 meeting;

NOW THEREFORE

BE IT RESOLVED, That the return of the Aerospace Engineering Section and the Aviation Section of the Department of Aerospace Engineering and Aviation to Separate and Independent Department Status -- the Department of Aerospace Engineering and the Department of Aviation -- is hereby approved, effective immediately.

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**NAMING OF THE WETLAND COMPLEX**

Resolution No. 2005-26

Synopsis: The naming of the Wetland Complex located at 352 West Dodridge Street on the Columbus Campus for Wilma H. Schiermeier is proposed.

WHEREAS Wilma H. Schiermeier, a 1955 graduate of The Ohio State University, was keenly interested in environmental research and the importance of clean water and air; and

WHEREAS she was an Ohio farmer of beef cattle, vegetables, and flowers, and dedicated member of the board of the Ohio Farm Bureau and sought to preserve the land for natural and agribusiness uses; and

WHEREAS the Olentangy River Wetland Research Park exists to investigate the role of wetlands in the landscape and provide a laboratory for research and teaching to students, the general public, and faculty; and

WHEREAS the wetland complex encompasses the Olentangy River Wetland Research Park, the Heffner Wetland Research and Education Building, the Sandefur Wetland Pavilion, and other research areas and this gift from Wilma Schiermeier completes a campaign to raise money for the Olentangy River Wetland Research Park:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code the aforementioned area shall be named the "Schiermeier Wetland Complex," effective immediately.

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**PERSONNEL ACTIONS**

Resolution No. 2005-27

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the July 9, 2004 meeting of the Board, including the following Appointments/Reappointment, Appointments/Reappointments of Chairpersons/Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, Emeritus Titles, and Promotions and Tenure, as detailed in the University Budget, be approved.

Appointments

Name: EILEEN A. BERTOLINI  
Title: Associate Vice President for Administration  
Office: University Development  
Effective: October 1, 2004  
Present Position: Director, Development Information Services

Name: IMRICH CHLAMTAC  
Title: Professor (The Ohio Eminent Scholar in Computer Networking and Communication Research)  
Departments: Computer Science and Engineering/Electrical and Computer Engineering  
Effective: October 1, 2005  
Present Positions: President, CreateNet Research Consortium and Bruno Kessler Honorary Professor, University of Trento, Trento, Italy, and Distinguished Chair in Telecommunications Professor, Department of Electrical Engineering, and Professor, Department of Computer Science, The University of Texas at Dallas

Name: CHRISTOPHER M. CULLEY  
Title: Interim General Counsel  
Office: Legal Affairs  
Effective: October 1, 2004  
Present Position: Deputy General Counsel, Office of Legal Affairs

Name: EMILY E. FOSTER  
Title: Associate Vice President  
Office: University Relations  
Effective: September 13, 2004  
Present Position: Vice President, Steiner/Lesic Communications

Name: MICHAEL E. PAULAITIS  
Title: Professor (The Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly)  
Department: Chemical and Biomolecular Engineering  
Effective: January 1, 2005  
Present Position: Professor, Department of Chemical Engineering, Johns Hopkins University, Baltimore, MD

Reappointment

Name: GREG M. ALLENBY  
Title: Professor (The Helen C. Kurtz Chair in Marketing)  
College: The Max M. Fisher College of Business  
Term: October 1, 2004, through September 30, 2009



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### Appointment of Chairpersons and Directors

JERRY M. BIGHAM\*, School of Natural Resources, effective August 1, 2004, through September 30, 2005

RICHARD K. HERRMANN, Mershon Center, effective July 1, 2002, through June 30, 2005

THOMAS F. MAUGER, Ophthalmology, effective October 1, 2004, through September 30, 2008

FUSUN OZGUNER\*, Electrical and Computer Engineering, effective October 1, 2004, through June 30, 2005

### Reappointment of Chairperson and Directors

RICHARD M. BEDNARSKI, Veterinary Hospital, effective July 1, 2004, through June 30, 2005

MARK L. FAILLA, Human Nutrition, effective July 1, 2004, through June 30, 2008

CAROLYN J. MERRY\*, Center for Mapping, effective July 1, 2004, through June 30, 2005

DAVID E. SCHULLER, James Cancer Hospital and Solove Research Institute, effective July 1, 2003, through June 30, 2007

\*Interim

### Leaves of Absence Without Salary

JOHN C. HAM, Professor, Department of Economics, effective Autumn Quarter 2004 and Winter Quarter 2005, to accept a visiting appointment from the Federal Reserve Bank of New York.

WILLIAM E. BURAK, Associate Professor, Department of Surgery, effective September 1, 2004, through August 31, 2005, for personal reasons.

ERIC O. FISHER, Associate Professor, Department of Economics, effective Autumn Quarter 2004, to accept a visiting professor appointment at the Virginia Polytechnic Institute and State University.

LINDSAY SCHOENBOHM, Assistant Professor, Department of Geological Sciences, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to finish her postdoctoral work at the University of Potsdam, Postfach, Germany.

### Leaves of Absence Without Salary--Continuation

MOHAMED F. YOUSIF, Professor, Department of Mathematics (Lima Campus), effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to continue his research collaborations at the Al-Azhar University, the Cairo University, and The American University in Cairo, Cairo, Egypt.

HASSAN Y. ALY, Associate Professor, Department of Economics (Marion Campus), effective Winter Quarter and Spring Quarter 2005, to continue on the faculty at Zayed University in the United Arab Emirates.

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### Professional Improvement Leaves

G. NEIL ROBERTSON, Professor, Department of Mathematics, effective Autumn Quarter 2004.

JOHN R. WILKINS III, Professor, School of Public Health, effective Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005.

### Professional Improvement Leave—Change in Dates

MOHAMED F. YOUSIF, Professor, Department of Mathematics (Lima Campus), change dates from Autumn Quarter 2004, Winter Quarter and Spring Quarter 2005, to Autumn Quarter 2005, Winter Quarter and Spring Quarter 2006.

### Emeritus Titles

NORMAN K. BOOTH, Austin E. Knowlton School of Architecture, with the title Professor Emeritus, effective September 1, 2004.

DONALD F. BOWERS, College of Dentistry, with the title Professor Emeritus, effective September 1, 2004.

SAMUEL CATALAND, Department of Internal Medicine, with the title Professor Emeritus, effective January 1, 2005.

FREDERICK H. DAVIDORF, Department of Ophthalmology, with the title Professor Emeritus, effective September 1, 2004.

DAVID E. HAHN, Department of Agricultural, Environmental, and Development Economics, with the title Professor Emeritus, effective October 1, 2004.

DON W. MILLER, Department of Mechanical Engineering, with the title Professor Emeritus, effective September 1, 2004.

GERALD H. NEWSOM, Department of Astronomy, with the title Professor Emeritus, effective October 1, 2004.

RICHARD M. RIEDEL, Department of Plant Pathology, with the title Professor Emeritus, effective October 1, 2004.

JOHN G. STREETER, Department of Horticulture and Crop Science, with the title Professor Emeritus, effective October 1, 2004.

LAWRENCE W. WALQUIST, JR., Austin E. Knowlton School of Architecture, with the title Professor Emeritus, effective October 1, 2004.

BRUCE S. ZWILLING, Department of Microbiology, with the title Professor Emeritus, effective October 1, 2004.

ROGER L. AMOS, Ohio State University Extension, with the title Associate Professor Emeritus, effective October 1, 2004.

STEPHEN R. BAERTSCHE, Ohio State University Extension, with the title Associate Professor Emeritus, effective October 1, 2004.

ROBERT E. SAMUELSON, Austin E. Knowlton School of Architecture, with the title Associate Professor Emeritus, effective September 1, 2004.

SUSAN K. SEARS, School of Physical Activity and Educational Services, with the title Associate Professor Emeritus, effective October 1, 2004.

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ANN W. CLUTTER, Ohio State University Extension, with the title Assistant Professor Emeritus, effective October 1, 2004.

JOHN A. ULMER, Ohio State University Extension, with the title Assistant Professor Emeritus, effective October 1, 2004.

RAY A. WELLS, Ohio State University Extension, with the title Assistant Professor Emeritus, effective October 1, 2004.

### Promotions and Tenure

#### **COLLEGE OF ENGINEERING**

##### PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Allen, Theodore T., Industrial, Welding & Systems Engineering - effective 10/1/03 [correction of date]

#### **COLLEGE OF MEDICINE AND PUBLIC HEALTH**

##### PROMOTION TO PROFESSOR

Sen, Chandan, Surgery - effective 8/1/04

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#### **RESOLUTIONS IN MEMORIAM**

Resolution No. 2005-28

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the families of the deceased.

Jack M. Balcer

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 11, 2004, of Jack M. Balcer, Professor Emeritus in the Department of History.

Professor Balcer graduated from Montclair College in 1957. He received his M.A. degree from the University of Michigan in 1958, and the Ph.D. degree in history from the University of Michigan in 1964. He taught at Denison and Indiana Universities for several years before coming to Ohio State in 1971, where he taught until he retired in December 2003.

Professor Balcer was a spell-binding lecturer and generations of students remember "Dr. B's" courses as among the highlights of their time at OSU. He brought a quick and lively mind, a keen wit, and genuine enthusiasm for teaching to all of his classes. He was also one of the first at Ohio State to employ visual aids in virtually all of his classes. An accomplished photographer, he employed his impressive collection of slides of coins, artifacts, and archaeological sites as "texts" to instruct students on how to interpret the past. The University twice recognized the outstanding quality of his contributions by the awarding of its highest accolades, the Arts and Sciences Student Council Outstanding Teaching Award (1983) and the Alumni Distinguished Teaching Award (1984).

Dr. Balcer's research focused principally on the Athenian Empire, Greek numismatics, the cultural interactions between Greeks and non-Greeks in Western Asia Minor, and on the Persian Empire. His studies led to numerous articles and several monographs, among the most important of which are *The*

## September 22, 2004 meeting, Board of Trustees

*Athenian Regulations for Chalkis* (1978), *Sparda by the Bitter Sea* (1984), and *Herodotus and Bisitun* (1987). His scholarly accomplishments have been recognized by grants awarded by, among others, the American Numismatic Society, the Ford Foundation, the National Endowment for the Humanities, the American Council of Learned Societies, and Harvard's Center for Hellenic Studies.

Professor Balcer served OSU in a variety of capacities during his career here, but his deepest, most abiding passion was for the Library. He served for many years on the Library Council and sought in every way he could to make it the crown jewel of the University.

Professor Balcer was among the earliest members of the Association of Ancient Historians and an active participant for many years thereafter.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Jack M. Balcer its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Bryan E. Beggin

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 2, 2004, of Bryan E. Beggin, D.O., Clinical Assistant Professor in the Department of Family Medicine.

Dr. Beggin received his Doctor of Osteopathic Medicine degree from the University of Health and Sciences, College of Medicine, Kansas City, and completed his residency at The Ohio State University Department of Family Medicine, serving his last year as chief resident. Upon graduation, he remained with the Department of Family Medicine as a clinical assistant professor of family medicine and taught medical students and residents for over 12 years. Dr. Beggin started one of the first outreach sites for the department, which became the OSU Gahanna Family Practice. Over the years Professor Beggin was instrumental in building this practice into the success that it became. Dr. Beggin was a dedicated teacher and physician who was extremely devoted to his students and patients.

In 1998, Bryan Beggin assumed the role of vice chair for Clinical Services. During the time he served in this role, Dr. Beggin was instrumental in increasing the number of outreach practice sites to nine. He was very successful in this role, one in which he served until 2002.

Professor Beggin was a teacher of medical students and residents in addition to his busy clinical activities. His evaluations were always excellent and his contributions to the curriculum were of a very practical nature. Dr. Beggin was especially dedicated to the procedural part of the curriculum.

Dr. Beggin was an active member of the University community and served on numerous department, hospital, and college committees, including the Primary Care Network Quality Committee, Cap Steering Committee, FMAF Board, and Practice Management Committee.

On behalf of the University community, the Board of Trustees expresses to the family of Bryan E. Beggin its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

## **September 22, 2004 meeting, Board of Trustees**

Carl V. Clausen

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 8, 2004, of Carl V. Clausen, Associate Professor Emeritus in the former Department of Photography and Cinema in the College of the Arts.

Carl Clausen was a long-time member of the faculty of the Department of Photography and Cinema and also a member of the professional filmmaking team in that department. His credits for writing and directing sponsored films that were produced by the Photography and Cinema department over the years were very extensive.

As a teacher, Professor Clausen taught screenwriting and film production courses to several generations of film production majors, and he was universally respected and appreciated for his knowledge, experience, wisdom, and tenacious concern for the interests of his students. He made sure that all of the students in his production classes understood the practical realities of filmmaking, including the daunting economic challenges, the steep learning curve, the tedious aspects of detailed crafting, the complexity of methods, and the need for cooperation and compromise. At the same time, he encouraged those students who had a sense of personal, social, artistic, or political mission to be true to their ambitions and beliefs and to find ways to effect them within the realities of the world as it is.

Professor Clausen had a towering personal integrity in his own right. In his filmmaking, he was drawn toward projects that contributed to the betterment of life; he taught students to assess their own filmmaking careers in that light, whether as independents or as commissioned professionals on sponsored projects. In the face of any perceived personal, social, or academic injustice, Carl Clausen took a considered and principled position and could not be moved by any considerations except those founded on ethical principle as he saw it. This quality was manifest in acts of unusual bravery in the context of the workings of academia, acts for which many of his colleagues and students will never forget him.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Carl V. Clausen its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Desmond L. Cook

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 23, 2004, of Desmond L. Cook, Professor Emeritus in the College of Education.

Professor Cook received a Bachelor's degree in psychology from Ohio University in 1947 and an M.A. degree from the same university in 1948. He earned his doctorate degree at the University of Iowa in 1955. Prior to joining the Ohio State faculty, Dr. Cook taught at Arkansas State and Purdue Universities. Dr. Cook began his work as an associate professor in the College of Education and the Department of Psychology in 1962 and was promoted to full professor in 1964. Upon his retirement in 1984, he was named professor emeritus.

During his tenure at OSU, he was a member of the prestigious Bureau of Educational Research and Service and was named head of the Division of Study of Education in the College. He also served as head of Educational Development and Research.

## **September 22, 2004 meeting, Board of Trustees**

Desmond Cook was nationally known for his development of the Program Evaluation for Research Techniques (PERT). He was also an expert on project management and provided consultative advice to members of the Federal Department of Education (formerly HEW) and many educational development and research project managers around the country. He authored numerous articles and books on how various evaluation and project management techniques could be applied in educational settings. Dr. Cook was an active scholar who was interested in measurement and assessment issues as they relate to the professional preparation of educational personnel.

Professor Cook served his profession and country well. He was actively involved in the development of the American Educational Research Association (AERA) and often spoke with fascination of its growth from an organization of a handful of educational researchers in the mid- 1950s to an organization of approximately 10,000 at the time of his retirement. Dr. Cook received many honors in his area of educational psychology and measurement. He was also a veteran of WW II and retired from the armed services with the rank of captain in the Reserves.

Desmond Cook was an active member of the University community and served on numerous department, school, college, and University committees. He was a forerunner of using data to inform educational decisions. He is probably best remembered as having a strong intellect, wise counsel, good sense of humor, and a passion for learning. He is remembered fondly by his former graduate students for being demanding, but fair and highly supportive of their work.

Professor Cook had a passion for life and expressed that in his work, hobbies, and personal life. Former colleagues and graduate students were often in awe of his model airplane building and flying, as well as his design and construction of intricate working doll houses for his grandchildren and great grandchild. His basement workshop was a frequent location for him to enthrall visitors with stories about his professional and personal experiences.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Desmond L. Cook its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Clifford V. Heer

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 8, 2004, of Clifford V. Heer, Professor Emeritus in the Department of Physics.

Professor Heer graduated from The Ohio State University with a B.S. degree in physics in 1942. After serving with the U.S. Signal Corps in the South Pacific from 1942-1946, he returned to OSU and completed his Ph.D. degree in physics in 1949. He was made an assistant professor in the Department of Physics and Astronomy in 1949, and became a full professor in 1961. Upon his retirement in 1990, he was named professor emeritus.

Professor Heer's research was imaginative and creative. Initially, his interests were in low temperature physics. He was co-inventor of the cyclic magnetic refrigerator based on heat switches; such refrigerators are used for maintaining temperatures below one degree Kelvin. He was a pioneer in the study of liquid mixtures of the isotopes <sup>3</sup>He and <sup>4</sup>He and of magnetism at low temperatures. In 1958 he turned his attention to electromagnetism and optics where, among other discoveries, he was the first to measure angular rotation using a microwave interferometer. With the advent of lasers, similar devices became known as "laser gyros." Such instruments are now commonly used for navigation in

commercial and other aircraft. He was also a pioneer in the theory of magnetic traps which are important in the isolation and containment of otherwise unstable atoms and particles such as atomic hydrogen, neutrons, etc. These devices are currently in use in basic research around the world. Much of his later experimental and theoretical work was devoted to fundamental aspects of laser physics.

Dr. Heer's published works include the innovative textbook: *Statistical Mechanics, Kinetic Theory and Stochastic Processes*; several laboratory manuals; and more than 80 research publications in low temperature physics, atomic and laser physics, general relativity, and statistical physics. He was a consultant for TRW, Space Technology Laboratories, and Honeywell, and a technical consultant for the U.S. Justice Department. He was elected a fellow of the American Physical Society in 1958 and, in 1990, the Ohio Section of the American Physical Society honored him with the William A. Fowler Award for Outstanding Work in Physics. He is listed in *American Men and Women of Science*, *Who's Who in Frontier Science and Technology*, and other publications.

Clifford Heer was a dedicated teacher and researcher who expected and received excellent work from his students. Fifteen or more students earned Ph.D. degrees under his supervision. He was a member of the OSU Presidents Club, and an avid canoeist, hiker, and gardener.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Clifford V. Heer its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

John D. Kraus

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 18, 2004, of John D. Kraus, Professor Emeritus in the Department of Electrical and Computer Engineering.

Born in 1910, Professor Kraus received his B.S. degree (1930), M.S. degree (1931), and Ph.D. degree (1934) from the University of Michigan, Ann Arbor. While in college, Dr. Kraus became fascinated with the then recent discoveries of radio noise from space and the potential to use radio waves to "see" the universe. While in school he also developed innovative antennas like the "W8JK flat topbeam" and the "corner reflector."

After serving during World War II as a civilian scientist with the Navy as well as working on radar countermeasures at Harvard's Radio Research Laboratory, he came to The Ohio State University in 1946. During his career he combined the ability to make fruitful discoveries with exceptional skill and generosity in sharing them. He wrote many articles and widely used textbooks, as well as popular books like *Cosmic Search* and *Big Ear*, which have been translated into Chinese, Japanese, Korean, Spanish, Russian, and Portuguese. He made presentations at many scholarly conferences and, perhaps most importantly, he taught and mentored students, many of whom indicate that Dr. Kraus was the "best teacher" they ever had.

Professor Kraus spent his entire career at Ohio State where he was the Taine G. McDougal Professor in Engineering. He was a member of the National Academy of Engineering and a fellow of the Institute of Electrical and Electronic Engineers (IEEE). He received the Centennial Medal, the Edison Medal, and the Heinrich Hertz Medal from IEEE. The Antenna and Propagation Society of IEEE twice awarded him their Distinguished Achievement Award, the last in 2003, "for a

## September 22, 2004 meeting, Board of Trustees

career of outstanding innovation and invention in the field of antennas, and for the many students he has taught and inspired to excel in electromagnetics.”

One of Dr. Kraus’ many successes was the development of the “Big Ear Radio Observatory,” and its involvement with the Search for Extraterrestrial Intelligence (SETI). He designed and directed construction of the “Big Ear” radio telescope which discovered some of the most distant known objects at the edge of the universe and conducted sky surveys mapping the radio stars. He is also well-known for inventing the helical antenna, a corkscrew-shaped antenna used in global positioning satellites.

On behalf of the University community, the Board of Trustees expresses to the family of Professor John D. Kraus its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board’s heartfelt sympathy.

Franklin J. Pegues

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 3, 2004, of Franklin J. Pegues, Professor Emeritus in the Department of History.

Professor Pegues was born on April 29, 1924, in Cheraw, South Carolina. His undergraduate studies at Wofford College were interrupted by service as a pilot in the Naval Air Corps during World War II from 1943-1946. After the war, he completed his bachelor’s degree at Duke University and did his graduate work in medieval history at Cornell University, where he received the Ph.D. degree in 1951. In 1951-52, he did post-doctoral research as a Fulbright Scholar at the University of Paris. He taught history at the University of Colorado from 1952-1954. In 1954, he joined The Ohio State University’s Department of History, where he taught the history of the middle ages for 43 years. Upon his retirement in 1997, he was named professor emeritus.

Professor Pegues was the author of more than 70 articles and book reviews. His major work was Lawyers of the Last Capetians (Princeton: Princeton University Press, 1962), which is a model of careful scholarship that remains a standard monograph in the field. Among his academic honors, Franklin Pegues was a Fulbright Scholar, the recipient of a Fulbright-Hays travel grant. He held the prestigious John Simon Guggenheim Fellowship in 1961-62. He was a long-time member of the American Historical Association, the Medieval Academy of America, the North American Conference of British Studies, and the Midwest Medieval History Conference.

During his years at The Ohio State University, Professor Pegues was a devoted and lively teacher at all levels of the curriculum. He directed nine students to the Ph.D. degree and many to the master’s degree. He was an energetic and engaging teacher of undergraduates. He had a courtly manner, a rich wit, and a remarkable range of knowledge. His lectures were energetic and thoughtful. He especially enjoyed advising history majors who planned to go to law school.

Franklin Pegues was a steady, sensible, and reliable person who was asked often to serve on committees. He was extraordinarily generous in his service to the Department of History, the College of Humanities, and the University. In 1996, he was awarded the College of Humanities’ Exemplary Faculty Award, which is given to faculty whose careers show a balance of excellent scholarship, teaching, and service. At the Board of Trustees meeting of July 11, 1997, he was given the Faculty Recognition Award for being “an outstanding teacher, researcher, and valued advisor to thousands of students.”



## **September 22, 2004 meeting, Board of Trustees**

On behalf of the University community, the Board of Trustees expresses to the family of Professor Franklin J. Pegues its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Martha O. Schoenlaub

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 27, 2003, of Martha O. Schoenlaub, Assistant Professor Emeritus in the School of Nursing (now the College of Nursing).

Professor Schoenlaub held a Bachelor of Science in Education degree from The Ohio State University and a Master of Science degree in administration in nursing and counseling from Western Reserve University. She retired from The Ohio State University School of Nursing in 1978 after 20 years of devoted service and was named assistant professor emeritus.

Professor Schoenlaub was an active member of the University community and served on numerous college committees including the Administrative Committee, Executive Committee, Admissions Committee, Undergraduate Education Committee, Affirmative Action Committee, Financial Aid Committee, Student Services Committee, and Recruitment Committee. She exhibited exceptional capabilities as an administrator and was noted for her patience and concern for students and their families. She was best known for her dedication to students and for her inspiring wisdom.

Martha Schoenlaub served her profession at the highest level. She was a member of the American Nurses Association, the National League for Nursing, Sigma Theta Tau, Pi Lambda Theta, and the Torch Club.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Martha O. Schoenlaub its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Wendell P. Scott

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 7, 2004, of Wendell P. Scott, M.D., Clinical Associate Professor Emeritus in the Department of Obstetrics and Gynecology.

Dr. Scott received both his Bachelor and Doctor of Medicine degrees from the University of Illinois, after which he interned at Grant Hospital in Chicago and then completed his residency at Mt. Carmel Hospital in Columbus, Ohio. Following service as a captain in the Medical Corps of the United States Army, he maintained a private practice of obstetrics and gynecology in Columbus from 1947-1976. He then served as chief of the Gynecology Clinic at The Ohio State University Student Health Center from 1976-1982.

Dr. Scott was a diplomate of the American Board of Obstetrics and Gynecology, a fellow of the American College of Obstetricians and Gynecologists, member and past president of the Academy of Medicine of Columbus and Franklin County, life member and past president of the Columbus Obstetric and Gynecologic Society, and member of the American Medical Association.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Wendell P. Scott its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of

## **September 22, 2004 meeting, Board of Trustees**

the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Robert G. Turnbull

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 1, 2004, of Robert G. Turnbull, Professor Emeritus in the Department of Philosophy.

Professor Turnbull received a Bachelor of Arts degree in classics from the University of Minnesota in 1939, and a Ph.D. degree in philosophy from the same institution in 1952. He also received a Bachelor of Divinity degree from Oberlin College in 1943. Professor Turnbull taught at the University of Iowa, Northwestern University, and Oberlin College before coming to Ohio State University in 1965 as a professor of philosophy. He became chair of the Department of Philosophy in 1968 and held that position until 1980. Upon his retirement in 1984, he was named professor emeritus.

Dr. Turnbull's teaching and scholarship focused on ancient Greek philosophy. He was an extremely popular graduate-level teacher who mentored many students through their respective doctoral programs, both at Iowa and at Ohio State.

Professor Turnbull was the author or editor of more than 50 publications, including a major book on Plato published in 1998. He was the principal investigator on two major grants from the National Endowment for the Humanities that supported workshops and major conferences in the history and philosophy of science at Ohio State in 1973 and 1974, and that led to two highly acclaimed co-edited volumes published by The Ohio State University Press. He directed three summer institutes in ancient philosophy sponsored by the National Endowment for the Humanities, in 1970, 1971, and 1975, respectively, and served for many years as the chief editor of the journal *Philosophy Research Archives*.

Robert Turnbull served his profession at the highest level. He was president of the American Philosophical Association in 1977-78, and he earlier served that association as the executive secretary from 1966-69. Later he served as chair of the Board of Officers of the American Philosophical Association from 1989-92. At Ohio State he received an Arts and Sciences Teaching Award in 1970, and received a Doctor of Humane Letters (*honoris causa*) degree from Denison University in 1990. While teaching at Iowa, Professor Turnbull served on the Governor's Commission on Human Relations, during which time he was the co-author of the Iowa Civil Rights Law in 1960.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Robert G. Turnbull its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

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## **REPORT OF RESEARCH CONTRACTS AND GRANTS**

Resolution No. 2005-29

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for June and July 2004 are presented for Board acceptance.

**September 22, 2004 meeting, Board of Trustees**

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of June and July 2004 be approved.

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**REPORT ON UNIVERSITY DEVELOPMENT**

Resolution No. 2005-30

Synopsis: The report on the receipt of gifts and the summary for June 2004 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund, The Ohio State University Foundation, and internally designated funds; and

WHEREAS this report includes the establishment of thirty-four (34) new named endowed funds, the amendment of two (2) endowed chairs, and the amendment of five (5) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund, The Ohio State University Foundation, and internally designated funds during the month of June 2004 be approved.

**September 22, 2004 meeting, Board of Trustees**

**TOTAL UNIVERSITY PRIVATE SUPPORT**

July through June  
2003-04 Compared to 2002-03

**GIFT RECEIPTS BY DONOR TYPE**

	Dollars July through June		
	<u>2003-04</u>	<u>2002-03</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$28,662,300	\$36,334,075	(21)
Alumni (From Bequests)	<u>22,074,540</u>	<u>7,374,703</u>	199
Alumni Total	\$50,736,840	\$43,708,778	16
Non-Alumni (Current Giving)	\$24,424,512	\$19,114,479	28
Non-Alumni (From Bequests)	<u>10,892,922</u>	<u>5,614,625</u>	94
Non-Alumni Total	\$35,317,434	\$24,729,104	43
Individual Total	\$86,054,274	\$68,437,882	26 <sup>A</sup>
Corporations/Corp/Foundations	\$72,839,062	\$68,128,282	7
Private Foundations	\$22,129,085	\$24,977,996	(11) <sup>B</sup>
Associations and Other Organizations	<u>\$22,251,094</u>	<u>\$34,215,254*</u>	(35) <sup>C</sup>
Total	\$203,273,515**	\$195,759,414	4

\* Includes a charitable distribution of \$14,289,734 as a result of a legal settlement.

\*\* New national reporting standards require that irrevocable trusts be counted at present value. If counted at face value as in FY2003, the total would be \$206,078,029 (5% increase).

**NOTES**

- A Individual giving is up 26% largely due to the fact that gifts from estates are up 154% over last year (\$20.0 million more than one year ago).
- B Giving from foundations at the \$10,000 or more level is down about \$3 million for FY2004.
- C Giving from associations and other organizations in FY2003 included a \$14.3 million charitable distribution from a legal settlement.

**September 22, 2004 meeting, Board of Trustees**

**GIFT RECEIPTS BY PURPOSE**

	Dollars July through June		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$39,327,922	\$23,976,110	64
Faculty Support	\$20,721,264	\$10,548,069	96
Program Support	\$117,449,531	\$132,618,195	(11)
Student Financial Aid	\$16,206,904	\$20,982,395	(23)
Annual Funds-Colleges/ Departments	\$6,054,695	\$6,528,856	(7)
Annual Funds-University	<u>\$3,513,199</u>	<u>\$1,105,789</u>	<u>218</u>
Total	\$203,273,515	\$195,759,414	4

**GIFT ADDITIONS TO ENDOWMENT**

	Dollars July through June		
	<u>2003-04</u>	<u>2002-03</u>	<u>% Change</u>
	\$51,109,199	\$40,653,204	26

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Change from Named Endowed Chair to Named Endowed Fund</u>			

From: Willard M. Kiplinger Chair in  
Public Affairs Reporting  
To: W. M. Kiplinger '12 Program in  
Public Affairs Journalism Fund

**Establishment of Named Endowed Funds**

The Ohio Eminent Scholar in Computer Networking and Communication Research \$1,500,000.00 \$1,500,000.00  
(Used to provide salary and program support for an outstanding scholar and leader in computer networking and communication research; provided by support from the General Assembly of the State of Ohio and private gifts)

The Ohio Eminent Scholar In Nanotechnology: Molecular Self-Assembly \$1,500,000.00 \$1,500,000.00  
(Used to provide salary and program support for an outstanding scholar and leader in nanotechnology: molecular self-assembly; provided by support from the General Assembly of the State of Ohio and private gifts)

**September 22, 2004 meeting, Board of Trustees**

Ellen E. and Victor J. Cohn Study Abroad Scholarship Fund (Used to support students to study abroad at Hebrew University in Israel; provided by gifts from Victor and Ellen Cohn)	\$33,450.00	\$33,450.00
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Mary A. Daniels Scholarship Fund (Used to provide scholarships to graduate, professional, or undergraduate students based on academic accomplishment and a commitment to campus leadership; provided by gifts from Mary A. Daniels and others)	\$26,329.95	\$26,329.95
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Gary M. Rectenwald Scholarship Fund of San Diego, California (Used to provide scholarships for students from San Diego County, California; provided by gifts from the OSU Alumni Club of San Diego, California)	\$25,000.00	\$25,000.00
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Change in Description of Named Endowed Fund

The Henry and Blanche Abell Endowment Fund

## THE OHIO STATE UNIVERSITY FOUNDATION

Previous <u>Gifts</u>	Current <u>Gifts</u>	Total <u>Gifts</u>
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Change in Description of Named Endowed ChairThe George R. and Genevieve B. Gist Endowed  
Chair in Ohio State University ExtensionEstablishment of Named Endowed Funds

The Hite Family Endowment Fund (Used to support The Hite Family Scholarship; provided by a gift from the charitable remainder trust of Harold and Betty Hite and in cooperation with the Hites' daughters, Kimberly H. Mayhew and Teresa Hite-Bryan)	\$351,340.65	\$351,340.65
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The Teresa Hite-Bryan and the Hite Family Scholarship Fund (Used to provide funds for tuition and fees for students enrolled within the Division of Occupational Therapy in the School of Allied Medical Professions; provided by a gift from the charitable remainder trust of Harold and Betty Hite and in cooperation with the Hites' daughters, Kimberly H. Mayhew and Teresa Hite-Bryan)	\$251,340.66	\$251,340.66
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The Betty W. and Harold H. Hite Endowed Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of student-athletes; provided by a gift from the charitable remainder trust of Betty and Harold Hite)	\$250,000.00	\$250,000.00
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**September 22, 2004 meeting, Board of Trustees**

Robert S. Friedman Scholarship Fund (Used to provide scholarships for students majoring in engineering and are participating in the Naval Reserve Officers Training Corps; provided by an estate gift from Robert S. Friedman)	\$100,000.00	\$100,000.00
The Genevieve Brown Gist Scholarship Fund (Used to provide scholarships for students currently pursuing a Master's or Doctoral degree in history; provided by a gift from the estate of Genevieve Brown Gist)	\$100,000.00	\$100,000.00
The Hite Family Symposium Endowment Fund (Used for the costs of the annual Hite Family Symposium in Occupational Therapy within the School of Allied Medicine; provided by a gift from the charitable remainder trust of Harold and Betty Hite and in cooperation with the Hites' daughters, Kimberly H. Mayhew and Teresa Hite-Bryan)	\$100,000.00	\$100,000.00
The Frank A. and Carol O. Ray Endowment Fund (Used to provide a scholarship in the Moritz College of Law; provided by a gift from Frank and Carol Ray)	\$100,000.00	\$100,000.00
Dr. Virginia M. Vivian Post Doc Enrichment Endowed Fund for the Department of Human Nutrition (Used to supplement the salary and/or support the research, travel expenses, or other needs of human nutrition post doctoral positions in the Department of Human Nutrition; provided by a gift from The Virginia M. Vivian Trust UAD 2/21/2003)	\$100,000.00	\$100,000.00
Martha O. Schoenlaub Scholarship Fund (Used to support nursing scholarships for undergraduate and graduate students with preference given to students planning to practice, specialize, or conduct research in the area of oncology nursing; provided by gifts from the estate of Martha O. Schoenlaub)	\$50,000.00	\$50,000.00
The James K. L. Lawrence Fund in Alternative Dispute Resolution (Used for the advancement of the dispute resolution teaching and program of the Moritz College of Law; provided by gifts from James K. L. Lawrence)	\$35,869.00	\$35,869.00
Dr. Jessie Craig Obert Dean's Excellence Fund (Used for the enrichment of the College of Human Ecology; provided by a gift from the estate of Dr. Jessie Craig Obert)	\$35,691.76	\$35,691.76

**September 22, 2004 meeting, Board of Trustees**

The Thomas Michael Fernow Endowment Fund for Cancer Research (Used to support neuro-oncology research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts from family and friends of the late Thomas Michael Fernow)	\$34,986.00	\$34,986.00
David J. Neustadt Scholarship Fund in Greek and Latin (Used to provide scholarship support to an undergraduate student majoring in Greek and Latin; provided by a gift from Tim Neustadt)	\$31,725.00	\$31,725.00
The Kenneth E. Dountz Agricultural Vice President's Fund (Used to support programs identified by the vice president for Agricultural Administration as current and critical priorities in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Kenneth E. Dountz)	\$29,244.00	\$29,244.00
The Norbert and Gretel B. Bloch Endowed Scholarship Fund in Jewish Studies (Used to provide scholarships for students of Jewish studies; provided by gifts from the Gretel B. Bloch Charitable Trust)	\$28,800.00	\$28,800.00
The Barbara Van Brimmer Endowment Fund (Used to support the Prior Health Sciences Library; provided by gifts from family, friends, and colleagues of the late Barbara Van Brimmer)	\$28,730.00	\$28,730.00
Hutchins Endowment Fund in Social Work Benefiting Veterinary Medicine (Used to support a student during her or his professional fieldwork while enrolled in a degree program in the College of Social Work whose field placement is in the Human Animal Bond- Centered Program of the Veterinary Hospital; provided by gifts from Mary Jane Hutchins)	\$28,500.00	\$28,500.00
Wesley E. Budke Student Teaching Endowment Fund in Human and Community Resource Development (Used to support undergraduate students in the Department of Human and Community Resource Development during their student teaching; provided by a gift from Dr. Wesley E. Budke)	\$25,950.00	\$25,950.00
James Thomas Rector '66 Scholarship Fund (Used to provide a scholarship for an architecture student with financial need; provided by gifts from John F. Corkill)	\$25,645.00	\$25,645.00
Richard and Karol Wells Study Abroad Scholarship Fund (Used to provide study abroad scholarship support for College of Humanities students; provided by gifts from Richard and Karol Wells)	\$25,480.00	\$25,480.00



**September 22, 2004 meeting, Board of Trustees**

The Donald G. Wing Endowed Fund for the Rare Books and Manuscripts Library (Used for the acquisition, preservation or display of rare books and materials, especially those dating from the 17 <sup>th</sup> century; provided by a gift from Robert F. Wing)	\$25,122.06	\$25,122.06
Su Au Arnold Preservation and Maintenance Fund for the Wexner Center and Mershon Auditorium (Used exclusively for the preservation and maintenance for the Wexner Center for the Arts and/or Mershon Auditorium; provided by gifts from Su Au Arnold)	\$25,000.00	\$25,000.00
The Kevin and Stacey Blair Family Athletic Scholarship Fund (Used to supplement the student grant-in-aid scholarship costs of an undergraduate student-athlete who is a member of a varsity team; provided by a gift from Kevin Blair)	\$25,000.00	\$25,000.00
The Kathryn R. Kourie Scholarship Fund (Used to support a student enrolled in the College of Pharmacy who plans on pursuing a career in the Community Pharmacy Practice; provided by gifts from Kathryn and Michael Kourie)	\$25,000.00	\$25,000.00
Lucius N. Littauer Judaica Book Fund (Used for acquisitions to the Jewish Studies Library; provided by a gift from the Lucius N. Littauer Foundation)	\$25,000.00	\$25,000.00
The Robert F. Schroeder and Margaret Ann Schroeder Medical Scholarship Fund (Used to provide scholarships to medical students enrolled in the College of Medicine and Public Health; provided by gifts from James C. Schroeder and David P. Schroeder)	\$25,000.00	\$25,000.00

**Change in Name and Description of Named Endowed Funds**

From:	The Daniel G. Amstutz International Trade Scholarship Fund
To:	The Daniel G Amstutz International Studies Scholarship Fund
From:	The Dr. Herbert L. Fenburr Fund for Human Cancer Genetics Research
To:	Herbert Fenburr, Dorothy Fenburr, and Margaret Davenport Endowment Fund for Human Cancer Genetics Research
From:	James R. and Vylee Calvert Garstick Permanent Endowed Scholarship Fund in the College of Optometry
To:	Garstick Scholarship Fund

## September 22, 2004 meeting, Board of Trustees

From: The Health Services Management and  
Policy Professorship Fund  
To: The Stephen F. Loebs Professorship Fund  
in Health Services Management and Policy

### INTERNALLY DESIGNATED

	Previous <u>Gifts</u>	Current <u>Gifts</u>	Total <u>Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
Long Term Component Endowment Fund (Transfer from University operating funds)		\$25,000.00	\$25,000.00
Maintenance and Renewal Endowment Fund (Internal Funds)		\$25,000.00	\$25,000.00
President's Strategic Investment Endowment Fund (Distribution and realized/unrealized appreciation from the Long Term Component Endowment Fund)		\$25,000.00	\$25,000.00
		<hr/>	<hr/>
Total		\$5,043,204.08	\$5,043,204.08

### THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

#### Change from Named Endowed Chair to Named Endowed Fund

##### W. M. Kiplinger '12 Program in Public Affairs Journalism Fund

The Willard M. Kiplinger Professorship in Public Affairs in the School of Journalism was established on February 3, 1971, by the Board of Trustees of The Ohio State University with gifts from the Kiplinger Foundation Incorporated. The fund was changed to the Willard M. Kiplinger Chair in Public Affairs Reporting on June 2, 1972. The name and description were revised again on September 22, 2004.

The annual distribution from this fund shall be used to enable six to eight mid-career journalists, each with at least five years of professional experience in journalism, to participate annually in an on-campus program designed to enhance the journalists' skills in public affairs reporting. The program, housed in The John Glenn Institute for Public Service and Public Policy, will draw upon the University's public affairs expertise in all fields. Program participants shall be known as Kiplinger Fellows. The fund will cover program costs and administration, including stipends for the participating Fellows.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

## **September 22, 2004 meeting, Board of Trustees**

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of the Glenn Institute

in consultation with the Kiplinger Foundation. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

### Establishment of Named Endowed Funds

#### **The Ohio Eminent Scholar in Computer Networking and Communication Research**

The Ohio Eminent Scholar in Computer Networking and Communication Research was established September 22, 2004, by the Board of Trustees of The Ohio State University with support from the General Assembly of the State of Ohio and private gifts.

The annual distribution from this fund shall be used to provide salary and program support for the work of an outstanding scholar and leader in computer networking and communication research. Appointment as The Ohio Eminent Scholar in Computer Networking and Communication Research will be recommended to the executive vice president and provost and president by the dean of the College of Engineering in consultation with the chairperson of the Department of Computer Science and Engineering and the chairperson of the Department of Electrical and Computer Engineering.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Engineering. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$1,500,000.00

#### **The Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly**

The Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly was established September 22, 2004, by the Board of Trustees of The Ohio State University with support from the General Assembly of the State of Ohio and private gifts.

The annual distribution from this fund shall be used to provide salary and program support for the work of an outstanding scholar and leader in nanotechnology: molecular self-assembly. Appointment as The Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly will be recommended to the executive vice president and provost and president by the dean of the College of Engineering in consultation with the chairperson of the Department of Chemical and Biomolecular Engineering.

## **September 22, 2004 meeting, Board of Trustees**

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Engineering. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$1,500,000.00

### **Ellen E. and Victor J. Cohn Study Abroad Scholarship Fund**

The Ellen E. and Victor J. Cohn Study Abroad Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University with gifts from Victor and Ellen Cohn of Moreland Hills, Ohio.

The annual distribution shall be reinvested into the principal and combined with additional gifts until the principal reaches \$50,000. The income distribution shall then be used to support one to two students to study abroad at Hebrew University in Israel. Yearly awards shall be made on the recommendation of an ad hoc committee within the Melton Center for Jewish Studies, in consultation with the director of the Center. The awards will be administered through the Melton Center. Unused income shall be returned to principal in years that no students are traveling to Israel.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of the Melton Center for Jewish Studies, in consultation with the dean of the College of Humanities. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$33,450.00

### **Mary A. Daniels Scholarship Fund**

The Mary A. Daniels Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University with gifts from Mary A. Daniels (M.A., 1971; Ph.D., 1977) and others.

The annual distribution from this fund shall provide scholarships to graduate, professional or undergraduate students based on academic accomplishment and a commitment to campus leadership including, but not limited to, leadership roles in student organizations, student government, and University committees. Scholarship recipients will be selected by the Daniels Scholarship Committee in consultation with the Office of Student Financial Aid. The Daniels Scholarship

## **September 22, 2004 meeting, Board of Trustees**

Committee will consist of a current student, a representative from the Office of Recreational Sports, and a representative from the College of Education's Sport and Exercise Management Program or the Student Personnel Assistantship Program.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of Recreational Sports. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$26,329.95

### **Gary M. Rectenwald Scholarship Fund of San Diego, California**

The Gary M. Rectenwald Scholarship Fund of San Diego, California, was established September 22, 2004, by the Board of Trustees of The Ohio State University with gifts from the OSU Alumni Club of San Diego, California.

Gary M. Rectenwald (B.S., 1971; M.S., 1972; M.B.A., 1978) was born in Toledo, Ohio, on December 31, 1949, and currently resides in San Diego, California. Mr. Rectenwald was an employee of IBM for over 30 years. He was active in community service including serving as president of the OSU Alumni Club of San Diego for 13 years, hosting the Jon Kosovo Refugee family, and serving on the Board of Directors for the Holiday Bowl.

The annual distribution from this fund shall provide one (1) scholarship for first-year students from San Diego County, California, pursuing any major at The Ohio State University. Scholarships will be awarded to deserving students who have financial need and whose SAT score was in the top 10 percent for their high school. This award can be renewed for up to three years, provided the student maintains a minimum 3.0 grade point average. The scholarship will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

## **September 22, 2004 meeting, Board of Trustees**

### Change in Description of Named Endowed Fund

#### **The Henry and Blanche Abell Endowment Fund**

The Henry and Blanche Abell Endowment Fund was established April 3, 1987, by the Board of Trustees of The Ohio State University with gifts from Mr. Carl T. Abell (B.S., 1949) of Medina, Ohio, in memory of his parents, Henry and Blanche Abell; in recognition of his first wife, Ruth D. Abell; and in honor of his wife, Sherry S. Abell. The description of the fund was revised on June 5, 1987, and was further revised on September 22, 2004.

The annual distribution from this fund shall be divided equally between the Department of Food Science and Technology in the College of Food, Agricultural, and Environmental Sciences and the wrestling program in the Department of Athletics. The use of said funds shall be at the discretion of the chairperson of the Department of Food Science and Technology and the coach and/or director of the wrestling program.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences and the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

#### **THE OHIO STATE UNIVERSITY FOUNDATION**

### Change in Description of Named Endowed Chair

#### **The George R. and Genevieve B. Gist Endowed Chair in Ohio State University Extension**

The George R. and Genevieve B. Gist Endowed Chair in Ohio State University Extension was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, through the estates of George R. Gist, director emeritus of the Ohio State University Extension, and his wife, Genevieve B. Gist. The description of the chair was revised on September 22, 2004.

The annual distribution from this fund shall be used to support a distinguished Extension professor in the Departments of Horticulture and Crop Science and Human and Community Resource Development, with the appointment rotating between the two departments at least every 10 years. The Extension chair in the Department of Horticulture and Crop Science shall be in that area of crop science which was formerly in the Department of Agronomy. The chair in the Department of Human and Community Resource Development shall be in Extension education with a focus on administration and leadership.

Selection of the distinguished professor shall be made by the vice president for Agricultural Administration and University Outreach, and executive dean for

## **September 22, 2004 meeting, Board of Trustees**

Food, Agricultural, and Environmental Sciences; director of Ohio State University Extension or their designee; the Extension administrative cabinet in consultation with the department chairs in the respective departments; and the executive vice president and provost. The work and progress of the chair holder will be reviewed every five years.

When the chair holder leaves the position for any reason, the endowed chair will move to the other department designated in the Gist's will for the next period. The decision shall be approved by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences.

Any unused annual distributions from the fund shall be returned to principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Ohio State University Extension and the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, and the income from the fund shall be used to provide scholarships to deserving students enrolled in the College of Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

### **Establishment of Named Endowed Funds**

#### **The Hite Family Endowment Fund**

The Hite Family Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the charitable remainder trust of Harold and Betty Hite and in cooperation with the Hites' daughters, Kimberly H. Mayhew (B.A., 1981; J.D., 1991) and Teresa Hite-Bryan (B.S., 1978; M.S., 1983).

The annual distribution from this fund shall support The Hite Family Scholarship. The Hite Scholar will receive a one-year, full in-state tuition scholarship, subject to renewal for a second and third year if the recipient meets the academic requirements set by The Michael E. Moritz College of Law. A new scholar will be selected as frequently as the fund balance allows for a three-year, full in-state tuition scholarship. The goal will be to select, among academically talented admitted applicants, a student who has promise to make important contributions to law. The scholarship will be awarded by the Moritz College of Law in consultation with the Office of Student Financial Aid. A member of the Hite family will have the option to review student finalists.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's cost of gift acquisition and fund management.

## **September 22, 2004 meeting, Board of Trustees**

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$351,340.65

### **The Teresa Hite-Bryan and the Hite Family Scholarship Fund**

The Teresa Hite-Bryan and the Hite Family Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the charitable remainder trust of Harold and Betty Hite and in cooperation with the Hites' daughters, Kimberly H. Mayhew (B.A., 1981; J.D. 1991) and Teresa Hite-Bryan (B.S., 1978; M.S. 1983).

The annual distribution from this fund shall be used to provide funds for tuition and fees for students enrolled within the Division of Occupational Therapy in the School of Allied Medical Professions. Scholarships will be awarded with preference given to students enrolled in the second year of their occupational therapy degree studies. Consideration shall be given to academic standing and financial need. Scholarship recipients will be selected by the director of the Division of Occupational Therapy in consultation with the Office of Student Financial Aid. A member of the Hite family will have the option to review student finalists.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, the director of the School of Allied Medical Professions, and the director of the Division of Occupational Therapy. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$251,340.66

### **The Betty W. and Harold H. Hite Endowed Athletic Scholarship Fund**

The Betty W. and Harold H. Hite Endowed Athletic Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the charitable remainder trust of Betty and Harold Hite of Sabina, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of two student-athletes who are pursuing undergraduate degrees at The Ohio State University and are: (1) a member of the varsity football team, and (2) a member of the varsity women's soccer team. The recipients will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.



## **September 22, 2004 meeting, Board of Trustees**

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board of Directors or Board of Trustees as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$250,000.00

### **Robert S. Friedman Scholarship Fund**

The Robert S. Friedman Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Robert S. Friedman (B.I.E., 1957) of Northbrook, Illinois.

The annual distribution from this fund shall be used to provide scholarships for one or more students majoring in engineering who are participants in the Naval Reserve Officers Training Corps (NROTC). Preference will be given to students majoring in industrial and systems engineering. Selection will be made by the chairperson of the Department of Industrial, Welding, and Systems Engineering, the dean of the College of Engineering, and the chairperson of the Department of Naval Science. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Engineering and the chairperson of the Department of Naval Science. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

### **The Genevieve Brown Gist Scholarship Fund**

The Genevieve Brown Gist Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Genevieve Brown Gist (Ph.D., 1944) of Dublin, Ohio, former faculty member in the Department of History.

The annual distribution from this fund shall be used to award one or more scholarships for students currently pursuing a Master's or Doctoral degree in history. Scholarships will be awarded based on a combination of demonstrated

## **September 22, 2004 meeting, Board of Trustees**

scholastic ability and financial need. Unless prohibited by federal or state law, a preference will be granted to female applicants. The scholarship term is for one year and may be renewed. Recipients will be selected by the dean of the College of Humanities upon recommendations from the chairperson of the Department of History and in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the appropriate administrative official of The Ohio State University then responsible for the teaching and research of history. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

### **The Hite Family Symposium Endowment Fund**

The Hite Family Symposium Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the charitable remainder trust of Harold and Betty Hite and in cooperation with the Hites' daughters, Kimberly H. Mayhew (B.A., 1981; J.D. 1991) and Teresa Hite-Bryan (B.S., 1978; M.S. 1983).

The annual distribution from this fund shall be used for the organizational and operational costs of the annual Hite Family Symposium in Occupational Therapy within the School of Allied Medical Professions. Funds shall be allocated by the director of the Division of Occupational Therapy.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, the director of the School of Allied Medical Professions, and the director of the Division of Occupational Therapy. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

## **September 22, 2004 meeting, Board of Trustees**

### **The Frank A. and Carol O. Ray Endowment Fund**

The Frank A. and Carol O. Ray Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Frank (J.D., 1973) and Carol Ray.

The annual distribution from this fund shall support The Frank and Carol Ray Scholarship. The Ray Scholar will receive a one-year, full in-state scholarship, subject to renewal for a second and third year if the recipient meets the academic requirements set by The Michael E. Moritz College of Law. The goal will be to select, among academically talented admitted applicants, a student who has promise to make important contributions to the field of law and who offers a demonstrated history of leadership skills. The scholarship will be awarded in consultation with the Office of Student Financial Aid. Each recipient will be asked to sign a statement of a moral obligation to repay the proceeds of the scholarship unless he or she devotes a significant number of years employed in a public service position with the result that it is impractical to repay.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this endowment fund is to be created as an endowment but with an invadable principal, though the principal could be invaded only to bring the scholarship award up to the amount of one full in-state tuition each year. Should the principal of the endowment fall below the minimum required by the Board of Trustees, the endowment will be dissolved and the balance of the fund will revert to current use funds.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the Moritz College of Law in order to carry out the desire of the donors. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

### **Dr. Virginia M. Vivian Post Doc Enrichment Endowed Fund for the Department of Human Nutrition**

The Dr. Virginia M. Vivian Post Doc Enrichment Endowed Fund for the Department of Human Nutrition was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Virginia M. Vivian Trust UAD 2/21/2003 (Dr. Virginia "Ginny" M. Vivian of Naples, Florida).

Dr. Vivian taught for 29 years at The Ohio State University in the Department of Human Nutrition in the College of Human Ecology. Dr. Vivian received her undergraduate and doctoral degrees from the University of Wisconsin; she earned a Master's degree from Columbia University.

The annual distribution from this fund shall be used to supplement the salary and/or support the research, travel expenses, or other needs of a human nutrition

## **September 22, 2004 meeting, Board of Trustees**

postdoctoral position(s) in the Department of Human Nutrition in the College of Human Ecology.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of Human Nutrition and the dean of the College of Human Ecology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$100,000.00

### **Martha O. Schoenlaub Scholarship Fund**

The Martha O. Schoenlaub Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Martha O. Schoenlaub (B.S., 1937).

The annual distribution from this fund shall be used to support nursing scholarships for undergraduate and graduate students, with preference given to students planning to practice, specialize, or conduct research in the area of oncology nursing. All scholarships will be awarded based strictly on merit with no regard to financial need. The recipients shall be selected by the dean, assistant dean, and associate deans of the College of Nursing.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean, assistant dean, and associate deans of the College of Nursing. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$50,000.00

### **The James K. L. Lawrence Fund in Alternative Dispute Resolution**

The James K. L. Lawrence Fund in Alternative Dispute Resolution was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from James K. L. Lawrence (B.A., 1962; J.D., 1965) of Cincinnati, Ohio.

## **September 22, 2004 meeting, Board of Trustees**

The annual distribution from this fund shall be used for the advancement of the dispute resolution teaching and program of The Michael E. Moritz College of Law.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$35,869.00

### **Dr. Jessie Craig Obert Dean's Excellence Fund**

The Dr. Jessie Craig Obert Dean's Excellence Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Dr. Jessie Craig Obert (Ph.D., 1951).

Dr. Jessie Craig Obert was a home economics instructor at The Ohio State University School of Home Economics, specializing in nutrition and food technology, from 1947 to 1951. She returned to her true passion of dietetics with the Los Angeles County Health Department.

The annual distribution from this fund shall be used for the enrichment of the College of Human Ecology. Funds will be allocated at the discretion of the dean of the College of Human Ecology.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Human Ecology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$35,691.76

## **September 22, 2004 meeting, Board of Trustees**

### **The Thomas Michael Fernow Endowment Fund for Cancer Research**

The Thomas Michael Fernow Endowment Fund for Cancer Research was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family and friends of the late Thomas Michael Fernow of Lancaster, Ohio.

The annual distribution from this fund shall be used to support neuro-oncology research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$34,986.00

### **David J. Neustadt Scholarship Fund in Greek and Latin**

The David J. Neustadt Scholarship Fund in Greek and Latin was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Tim Neustadt (B.A., 1967; M.A., 1969) of Palos Verdes Estates, California.

The annual distribution from this fund shall be used to provide scholarship support to an undergraduate student majoring in Greek and Latin. Scholarship recipients shall be selected by the chairperson of the Department of Greek and Latin, in consultation with the dean of the College of Humanities and the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion shall be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so

## **September 22, 2004 meeting, Board of Trustees**

diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of Greek and Latin, in consultation with the dean of the College of Humanities. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$31,725.00

### **The Kenneth E. Dountz Agricultural Vice President's Fund**

The Kenneth E. Dountz Agricultural Vice President's Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Kenneth E. Dountz (B.S., 1964) of Copley, Ohio.

The annual distribution from this fund shall be used to support programs identified by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences as current and critical priorities in the College of Food, Agricultural, and Environmental Sciences. Uses of the fund shall include but not be limited to support of positive, creative work environments for students, faculty, and staff; outreach to external audiences; encouragement and promotion of student organizations; scholarships; professional development; college promotion and advancement; and other emerging college priorities. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, or their successor. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$29,244.00

### **The Norbert and Gretel B. Bloch Endowed Scholarship Fund in Jewish Studies**

The Norbert and Gretel B. Bloch Endowed Scholarship Fund in Jewish Studies was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Gretel B. Bloch Charitable Trust, Sanford M. Shore (B.S.Bus.Adm., 1953), trustee.

The annual distribution from this fund shall provide scholarships for students of Jewish studies. The recipient will assist in coordinating an annual program highlighting topical issues of current Jewish life, culture, and history. The recipient will be selected by the director of the Melton Center for Jewish Studies in consultation with the Office of Student Financial Aid.

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In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Melton Center for Jewish Studies in consultation with the dean of the College of Humanities. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$28,800.00

### **The Barbara Van Brimmer Endowment Fund**

The Barbara Van Brimmer Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family, friends, and colleagues of the late Barbara Van Brimmer of Columbus, Ohio. This fund has been established in her memory and in recognition of her interest in preservation and medical history since 1992; and of her career since 1985 at the Prior Health Sciences Library including serving as curator of the Medical Heritage Center from 1997 to 2003.

The annual distribution from this fund shall support the Prior Health Sciences Library, specifically benefiting the librarians and/or students in library or information science interested in preservation training and education, and may include but is not limited to: education opportunities for staff and students in library and medical heritage sciences, an expanded lecture series that would broaden the awareness of the Medical Heritage Center and inspire others to pursue careers in the field, and other important initiatives central to sustaining the excellence of the medical history preservation at The Ohio State University.

The allocation of the distribution shall be made as recommended by the director of the Prior Health Sciences Library in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

The investment and management of, and expenditures from, all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health and by the director of the Prior Health Sciences Library. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

\$28,730.00



## **September 22, 2004 meeting, Board of Trustees**

### **Hutchins Endowment Fund in Social Work Benefiting Veterinary Medicine**

The Hutchins Endowment Fund in Social Work Benefiting Veterinary Medicine was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mary Jane Hutchins (B.S.Soc.Work, 1961) of Columbus, Ohio.

The annual distribution from this fund shall be used as a stipend award to support a student during her or his professional fieldwork while enrolled in a degree program in the College of Social Work at The Ohio State University whose field placement is in the Human Animal Bond-Centered Program of the Veterinary Hospital of The Ohio State University. Preference will be given to an M.S.W. candidate interested in grief education and counseling in a multi-disciplinary environment. An interest in promoting positive client-staff communications and enhancing client satisfaction are also preferred.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Social Work. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$28,500.00

### **Wesley E. Budke Student Teaching Endowment Fund in Human and Community Resource Development**

The Wesley E. Budke Student Teaching Endowment Fund in Human and Community Resource Development was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dr. Wesley E. Budke (Ph.D., 1970), associate professor emeritus in the Department of Human and Community Resource Development.

The annual distribution from this fund shall be used to help support undergraduate students during their student teaching in the Department of Human and Community Resource Development in the College of Food, Agricultural, and Environmental Sciences. Awards will be made by the chairperson of the Department of Human and Community Resource Development in consultation with the Office of Student Financial Aid. The distribution may also be used to support activities related to student teacher orientation and training of cooperating teachers, as determined by the chairperson of the Department of Human and Community Resource Development.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be

## **September 22, 2004 meeting, Board of Trustees**

assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of Human and Community Resource Development. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,950.00

### **James Thomas Rector '66 Scholarship Fund**

The James Thomas Rector '66 Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from John F. Corkill (B.Arch., 1967).

This scholarship is in memory of James Thomas Rector (B.Arch., 1966). Rector was part of a group of classmates who were first generation college students working their way through the School of Architecture. He worked as a residential architect focusing on new housing developments and became vice president of Kinetics Noise Control.

The annual distribution from this fund shall be used to fund a scholarship for an architecture student with financial need. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid. In any given year that the endowment distribution is not fully expended, the unused portion shall be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Austin E. Knowlton School of Architecture. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,645.00

### **Richard and Karol Wells Study Abroad Scholarship Fund**

The Richard and Karol Wells Study Abroad Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Richard (B.S.Pharm., 1956) and Karol (B.S.Ed., 1958) Wells of Los Angeles, California.

The annual distribution from this fund shall be used to provide study abroad scholarship support for College of Humanities students. Scholarship recipients

## **September 22, 2004 meeting, Board of Trustees**

shall be selected by the dean of the College of Humanities in consultation with the University Committee on Student Financial Aid. In any given year that the endowment distribution is not fully expended, the unused portion shall be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Humanities. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,480.00

### **The Donald G. Wing Endowed Fund for the Rare Books and Manuscripts Library**

The Donald G. Wing Endowed Fund for the Rare Books and Manuscripts Library was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Robert F. Wing, professor emeritus in the Department of Astronomy.

This endowment was established in honor of the 100<sup>th</sup> birthday of Donald G. Wing, born August 18, 1904. Dr. Wing was a 17<sup>th</sup> century bibliographer and a Yale University librarian.

The annual distribution from this fund shall be used for the acquisition, preservation or display of rare books and materials, especially those dating from the 17<sup>th</sup> century. Funds shall be allocated by the director of Libraries and the curator of the Rare Book and Manuscripts Library.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Libraries and the curator of the Rare Book and Manuscripts Library. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,122.06

## **September 22, 2004 meeting, Board of Trustees**

### **Su Au Arnold Preservation and Maintenance Fund for the Wexner Center and Mershon Auditorium**

The Su Au Arnold Preservation and Maintenance Fund for the Wexner Center and Mershon Auditorium was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Su Au Arnold (B.A., 1969).

The annual distribution from this fund shall be used exclusively for the preservation and maintenance of the Wexner Center for the Arts and/or Mershon Auditorium at the discretion of the director of the Wexner Center and the director of administration of the Wexner Center.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Wexner Center in consultation with the Center's director of administration or their designee. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

### **The Kevin and Stacey Blair Family Athletic Scholarship Fund**

The Kevin and Stacey Blair Family Athletic Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Kevin Blair of Chagrin Falls, Ohio.

The annual distribution from this fund shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of a varsity team. First preference will be given to a member of the men's ice hockey team from the greater Cleveland, Ohio, area. Second preference will be given to a member of the men's ice hockey team from the State of Ohio, and a third preference will be given to any student-athlete on the men's hockey team. The recipient will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director

## **September 22, 2004 meeting, Board of Trustees**

of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

### **The Kathryn R. Kourie Scholarship Fund**

The Kathryn R. Kourie Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Kathryn R. (B.S., 1977) and Michael (B.A., 1974) Kourie.

The annual distribution from this fund shall be designated to a student currently enrolled in the College of Pharmacy who plans on pursuing a career in the Community Pharmacy Practice. The scholarship will be awarded by the College of Pharmacy in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's cost of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distribution, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the donors or their heirs and the dean of the College of Pharmacy. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

### **Lucius N. Littauer Judaica Book Fund**

The Lucius N. Littauer Judaica Book Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Lucius N. Littauer Foundation.

The annual distribution from this fund shall be used for acquisitions to the Jewish Studies Library. In any given year that the endowment distribution is not fully expended, the unused portion shall be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of University Libraries. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

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### **The Robert F. Schroeder and Margaret Ann Schroeder Medical Scholarship Fund**

The Robert F. Schroeder and Margaret Ann Schroeder Medical Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from James C. Schroeder, Ph.D. of Worthington, Ohio, and David P. Schroeder (M.D., 1973) of Ashville, North Carolina; given in memory of their parents, Robert F. Schroeder and Margaret Ann Schroeder of Mansfield, Ohio.

The annual distribution from this fund shall provide one or more scholarships to medical students enrolled in the College of Medicine and Public Health. Scholarships shall be awarded based on financial need or academic merit. Scholarship recipients shall be selected at the recommendation of the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in consultation with the College of Medicine and Public Health Committee for Scholarship Awards and the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

\$25,000.00

### **Change in Name and Description of Named Endowed Funds**

#### **The Daniel G. Amstutz International Studies Scholarship Fund**

The Daniel G. Amstutz International Trade Scholarship Fund was established February 2, 2001, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Daniel G. Amstutz (B.S.Bus.Adm., 1954) of Arlington, Virginia. The name and description of the fund were revised on September 22, 2004.

The annual distribution from this fund shall be used to support study abroad for students enrolled in the College of Food, Agricultural, and Environmental Sciences, The Max M. Fisher College of Business, or the College of Humanities who are engaged in the study and/or research of international trade or other international topics.

The recipients shall be either undergraduate or graduate students. Undergraduate recipients in the Department of Agricultural, Environmental, and Development Economics and the Fisher College of Business shall be enrolled as economics or finance majors and have expressed an interest in international trade by enrolling in courses or conducting undergraduate research in that field, or by undertaking an internship with an international trade business. Undergraduate recipients majoring in the humanities and graduate recipients in

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all three colleges shall have exhibited an interest in international studies through their choice of elective courses and/or research topics.

The annual distribution shall provide at least one award per year, equally benefiting students from each of these colleges over time. The use of the annual distribution shall be directed by the director of International Education or their designee in consultation with the Office of Student Financial Aid.

In any given year that the endowment income is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of International Education in consultation with the vice president for Agricultural Administration, University Outreach, and executive dean for Food, Agricultural and Environmental Sciences, the dean of the Fisher College of Business, and the dean of the College of Humanities. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Herbert Fenburr, Dorothy Fenburr, and Margaret Davenport  
Endowment Fund for Human Cancer Genetics Research

The Dr. Herbert L. Fenburr Fund for Human Cancer Genetics Research for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established June 7, 2002, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dorothy J. Fenburr (B.A., 1935) and Margaret F. Davenport in memory of Dr. Herbert Fenburr (B.Ch.E., 1934; M.S., 1935; Ph.D., 1937), beloved husband and father; Dr. John Minton (B.S., 1956; M.D., 1960; M.M.S., 1966; Ph.D., 1969); and in honor of Dr. James Niedhart. The name and description of the fund were revised on September 22, 2004.

The annual distribution from this fund shall be used to support an endowed fund in human cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any unused income shall be returned to the principal of this fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

## **September 22, 2004 meeting, Board of Trustees**

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

### **Garstick Scholarship Fund**

The James R. and Vylee Calvert Garstick Permanent Endowed Scholarship Fund in the College of Optometry was established August 30, 1995, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. James R. Garstick (B.S. in Optometry, 1951). The name and description of the fund were revised on September 22, 2004.

Dr. Garstick, a private practitioner in Columbiana, Ohio, for 42 years and a 1951 graduate of The Ohio State University School of Optometry, has enjoyed a very successful and productive career as an optometrist. His work ethic and very strong commitment to continuing education in the field of optometry has set an example for future students to emulate.

Ninety percent (90%) of the annual distribution from this fund shall be used to award scholarships to third- and fourth-year optometry students with financial need. The award recipients shall be selected by the dean of the College of Optometry or designee in consultation with the University Committee on Student Financial Aid. The remaining distribution shall be reinvested to the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Optometry. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

### **The Stephen F. Loebs Professorship Fund in Health Services Management and Policy**

The Health Services Management and Policy Professorship Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from alumni and friends of the HSMP Program. The name and description of the fund were revised on September 22, 2004.

The annual distribution shall be added to the principal until the minimum amount for funding an endowed professorship (\$750,000) has been reached. At that time, the annual distribution shall be used to provide salary and program support



## **September 22, 2004 meeting, Board of Trustees**

for the work of a distinguished teacher and scholar in the Health Services Management and Policy Program. Distribution will be made at the recommendation of the director of the Health Services Management and Policy Program in consultation with the dean for Public Health in the School of Public Health, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Health Services Management and Policy Program, the dean for Public Health in the School of Public Health, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

### **INTERNALLY DESIGNATED**

#### **Establishment of Named Endowed Funds**

##### **Long Term Component Endowment Fund**

The Long Term Component Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University with a transfer from University operating funds.

In order to increase the support derived from our Endowment, the annual distribution, realized or unrealized appreciation, and principal if necessary, shall be used to first fund the University's investment income account. This amount would be the equivalent of the income earned if this fund had remained invested as part of the operating fund. Any excess distribution and realized or unrealized appreciation above this amount will be reallocated to the President's Strategic Investment Endowment Fund. The market value of this fund shall be maintained at the level of original contributions made to this fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the University that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees in consultation with the Senior Vice President for Business and Finance and the Treasurer.

**\$25,000.00**

## **September 22, 2004 meeting, Board of Trustees**

### **Maintenance and Renewal Endowment Fund**

The Maintenance and Renewal Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University with internal funds.

The annual distribution, and principal if necessary, shall be used for maintenance and renewal of the University physical plant in accordance with plans approved by the Executive Vice President and Provost and the Senior Vice President for Business and Finance. Any unused distributions will be reinvested to principal annually. There shall be an annual report to the University Board of Trustees on the revenue and expenses of this fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the University that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees in consultation with the Executive Vice President and Provost and the Senior Vice President for Business and Finance.

\$25,000.00

### **President's Strategic Investment Endowment Fund**

The President's Strategic Investment Endowment Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University with distribution and realized/unrealized appreciation from the Long Term Component Endowment Fund.

The annual distribution shall be used by the President to fund strategic investments. Distributions are to be used at the President's discretion, as one-time seed funds only. At no time are commitments to exceed the distributed balance. Any unused distributions will be reinvested to principal annually. The Senior Vice President for Business and Finance shall report annually to the Board of Trustees on the revenue and expenditures of this fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

A contingency of eight percent of the Long Term Component Endowment Fund will be established and maintained within this fund. The operating principles for the investment of this fund are approved by the Senior Vice President for Business and Finance and the Treasurer.

It is the desire of the University that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees.

\$25,000.00

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**WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS**

Resolution No. 2005-31

April – June 2004

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, November 7, 1997, and March 1, 2002 provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of April - June 2004; and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 96 waivers of competitive bidding requirements for annual purchases totaling approximately \$31,780,600 as shown on the enclosed exhibit; and

WHEREAS during the period covered, the Interim Vice President for Health Services, at the requests of the hospitals of the University and their related facilities making purchases, granted 39 waivers of competitive bidding requirements for annual purchases totaling approximately \$19,252,000 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of April - June 2004, is hereby accepted.

(See Appendix VII for background information, page 215.)

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**APPROVAL TO ENTER INTO DESIGN AND CONSTRUCTION CONTRACTS**

Resolution No. 2005-32

**DESIGN CONTRACTS**

DODD HALL – ELEVATOR UPGRADES

MANSFIELD CAMPUS – OVALWOOD HALL RENOVATION

NEWARK CAMPUS – WARNER STUDENT CENTER AND LIBRARY

NORTH DOAN HALL – DIGESTIVE DISEASE CENTER 2<sup>ND</sup> FLOOR INFILL  
AND ENDOSCOPY

NORTH DOAN HALL – NON-CLINICAL BUILDING

ST. JOHN ARENA AND CONVERSE HALL PARKING LOT RENOVATION

**CONSTRUCTION CONTRACTS**

**BAKER SYSTEMS – ELEVATOR MODERNIZATION  
DOAN HALL – RENOVATE SUITE 236 PET/CT UNIT  
HOPKINS HALL – ELEVATOR REPLACEMENT  
PARKS HALL – CHILLER REPLACEMENT  
POSTLE HALL FIRE ALARM REPLACEMENT  
WEST CAMPUS CHILLED WATER UPGRADE AND  
SCOTT HALL CHILLER REPLACEMENT**

Synopsis: Authorization to enter into design contracts for the Dodd Hall – Elevator Upgrades, Mansfield Campus – Ovalwood Hall Renovation, Newark Campus – Warner Student Center and Library, North Doan Hall -- Digestive Disease Center 2<sup>nd</sup> Floor Infill and Endoscopy, North Doan Hall – Non-Clinical Building, and the St. John Arena and Converse Hall Parking Lot Renovation projects, and construction contracts for the Baker Systems – Elevator Modernization, Doan Hall – Renovate Suite 236 PET/CT Unit, Hopkins Hall – Elevator Replacement, Parks Hall – Chiller Replacement, Postle Hall Fire Alarm Replacement, and West Campus Chilled Water Upgrade and Scott Hall Chiller Replacement projects is requested.

WHEREAS the University desires to upgrade elevator equipment to meet current codes and extend one elevator car to the tunnel level in Dodd Hall; and

WHEREAS the preliminary project cost estimate is approximately \$1,160,000, with funding to be provided by University Hospitals; and

WHEREAS the Mansfield Campus desires to enter into design work for renovation of Ovalwood Hall to provide additional classroom and faculty office space; and

WHEREAS the preliminary project cost estimate is approximately \$7,000,000, with design costs to be paid with Mansfield Campus funds, and the project will not proceed to construction until the 2007 Capital Process is complete and University bonds have been approved for financing and the Board of Trustees has authorized construction; and

WHEREAS the Newark Campus desires to enter into design work for construction of a new Student Center and Library which will provide a state-of-the-art library, student government meeting rooms, study spaces, food service and dining areas for students, and a student health center; and

WHEREAS the preliminary project cost estimate is approximately \$17,500,000, with design costs to be paid with the Newark Campus funds, with proposed funding for construction provided by Newark general funds (\$2,550,000), Newark Development funds (\$6,750,000), future state capital appropriation (\$1,070,000), Central Ohio Technical College state appropriation (\$720,000), and University bond proceeds (\$6,444,000), with debt service to be paid by Newark Campus, and the project will not proceed to construction until the 2007 the Capital Process is complete and University bonds have been approved for financing and the Board of Trustees has authorized construction; and

WHEREAS University Hospitals desires to renovate the existing 2<sup>nd</sup> floor of North Doan Hall and 2<sup>nd</sup> floor “infill” of Doan Hall for the construction of procedure rooms and additional beds and patient care areas for the Digestive Disease Center; and

WHEREAS the preliminary project cost estimate is approximately \$8,200,000, with design costs to be paid with University Hospitals funds, and the project will not proceed to construction until the business plan has been finalized and approved and the Board of Trustees has authorized construction; and

## **September 22, 2004 meeting, Board of Trustees**

WHEREAS University Hospitals desires to replace the existing two-story North Doan Hall structure with a five-story non-clinical building to accommodate Digestive Disease faculty offices and other non-clinical programs; and

WHEREAS the preliminary project cost estimate is approximately \$11,300,000, with design costs to be paid with University Hospitals funds, and the project will not proceed to construction until the business plan has been finalized and approved and the Board of Trustees has authorized construction; and

WHEREAS the University desires to enter into design for improvements to the parking lots north of St. John Arena and on the east and west sides of Converse Hall; and

WHEREAS the preliminary project cost estimate is approximately \$1,600,000 - \$2,000,000, with funding provided by Transportation and Parking, Student Affairs, Athletics, Campus Beautification funds and Central funds, with the allocation of specific amounts to be determined as part of the business plan, and the project will not proceed to construction until the business plan has been approved and the Board of Trustees has authorized construction; and

WHEREAS the University desires to modernize and upgrade three elevators in the Baker Systems Engineering Building in order to meet current codes and standards; and

WHEREAS the construction document project cost is approximately \$410,000, with funding to be provided by HB 675; and

WHEREAS the University desires to renovate a section of the Radiology Files Room 236 in Doan Hall to install a PET/CT imaging unit; and

WHEREAS the construction document project cost has increased due to additional infrastructure improvements that are required to meet current codes and standards; and

WHEREAS the revised project cost is approximately \$800,000, with funding to be provided by the James Cancer Hospital and Solove Research Institute; and

WHEREAS the University desires to replace the elevator and modify the machine room including electrical service and air conditioning system in Hopkins Hall; and

WHEREAS the construction document project cost is approximately \$200,000, with funding to be provided by HB 675; and

WHEREAS the University desires to replace the 26-year old chiller in Parks Hall to improve cooling and air circulation; and

WHEREAS the construction document project cost is approximately \$700,000, with funding to be provided by HB 675; and

WHEREAS the University desires to replace the main fire alarm panel and devices in Postle Hall to meet current codes and provide total building fire and smoke coverage; and

WHEREAS the construction document project cost is approximately \$530,000, with funding to be provided by HB 675; and

WHEREAS the University desires to upgrade the West Campus chilled water system and replace the chilled water system at Scott Hall; and

**September 22, 2004 meeting, Board of Trustees**

WHEREAS the construction document project cost is approximately \$1,000,000, with funding to be provided by HB 675:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into design contracts for Dodd Hall Elevator Upgrade, Mansfield Campus – Ovalwood Hall Renovation, Newark Campus – Warner Student Center and Library, North Doan Hall -- Digestive Disease Center 2<sup>nd</sup> Floor Infill and Endoscopy, North Doan Hall – Non-Clinical Building, and the St. John Arena and Converse Hall Parking Lot Renovation projects in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into construction contracts, if satisfactory bids are received, for the Baker Systems – Elevator Modernization, Doan Hall – Renovate Suite 236 PET/CT Unit, Hopkins Hall – Elevator Replacement, Parks Hall – Chiller Replacement, Postle Hall – Fire Alarm Replacement, and West Campus Chilled Water Upgrade and Scott Hall Chiller Replacement projects in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(See Appendix VIII for background information and maps, page 217.)

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**EASEMENT**

Resolution No. 2005-33

COLUMBUS SOUTHERN POWER  
ELECTRIC SERVICE RELOCATION  
UNIVERSITY HOSPITALS EAST  
1492 EAST BROAD STREET  
COLUMBUS, OHIO

Synopsis: Authorization to grant Columbus Southern Power Company an easement to extend an electric power line and provide electric utility service to University Hospitals East is proposed.

WHEREAS construction at University Hospitals East has necessitated the relocation of the electric service to that facility; and

WHEREAS to accommodate this relocation, Columbus Southern Power Company has requested an easement ten feet wide by 165 feet long for a term of 25 years to extend an electric power line and provide electric utility service to University Hospitals East; and

WHEREAS this easement is for the relocation of existing electrical service, and Columbus Southern Power will release its interest in the existing easement; and

WHEREAS the appropriate University offices have determined that the grant of this easement is in the best interest of the University:

NOW THEREFORE

**September 22, 2004 meeting, Board of Trustees**

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to approve and the Ohio Department of Administrative Services be authorized to prepare appropriate documents and grant this easement to Columbus Southern Power Company upon such terms and conditions as are in the best interest of the University.

(See Appendix IX for map, page 249.)

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**APPROVAL OF FY 2005 TOTAL UNIVERSITY CURRENT FUNDS BUDGET**

Resolution No. 2005-34

Synopsis: Approval of the total University Current Funds Budget for FY 2005 is proposed.

WHEREAS the State budget for FY 2004 and 2005, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2005 have been approved at previous meetings of the Board of Trustees; and

WHEREAS other levels of resources and expenditures, including compensation, have been identified for FY 2005; and

WHEREAS the Columbus Campus budget was approved at the July 9, 2004 meeting of the Board and appropriate planning and consultation with the regional campuses has been accomplished, and the President now recommends approval of the total University FY 2005 budget:

NOW THEREFORE

BE IT RESOLVED, That the University's Current Funds Budget for FY 2005, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels.

(See Appendix X for background information, page 251.)

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**AUTHORIZATION TO ENTER INTO LEASE  
WITH OPTION TO PURCHASE**

Resolution No. 2005-35

**REAL PROPERTY AND IMPROVEMENTS  
2001 POLARIS BOULEVARD, COLUMBUS, OHIO**

Synopsis: Authorization to enter into lease, with option to purchase, of real property and improvements located at 2001 Polaris Boulevard, Columbus, Ohio, is proposed.

WHEREAS, the University Medical Center has an immediate need to acquire additional research space to accommodate recruitments; and

WHEREAS, suitable property has been identified at 2001 Polaris Boulevard, Columbus, Ohio, comprised of 9.4 acres and a laboratory/office building in excess of 45,000 asf, with expansion potential (the "leased premises"); and

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WHEREAS, the leased premises are to be acquired from the current owner by Polaris 2004 L.L.C. and leased to the Medical Center for a term of 10 years with an option to purchase exercisable in year five or thereafter; and

WHEREAS, significant improvements to the leased premises are contemplated to make them suitable for the intended research purposes; and

WHEREAS, the acquisition and lease by Polaris 2004 L.L.C. may need to be consummated on or about October 11, 2004; and

WHEREAS, certain due diligence including the completion of a business plan and various certifications and approvals have yet to be completed or obtained:

### **NOW THEREFORE**

BE IT RESOLVED, That the Senior Vice President for Business and Finance, in consultation with the Chair of the Fiscal Affairs Committee of the Board of Trustees, be authorized to enter into a lease, with option to purchase, of the leased premises known as 2001 Polaris Boulevard, Columbus, Ohio, upon satisfactory completion of due diligence by the University Medical Center, and under terms deemed to be in the best interests of the University; and

BE IT FURTHER RESOLVED, That the Senior Vice President for Business and Finance shall report to the Board all actions taken pursuant to this resolution at the appropriate time.

Upon motion of Mr. Slane, seconded by Mr. Borrer, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Slane, McFerson, Borrer, O'Dell, Hicks, and Judge Duncan, Mses. Longaberger, Hendricks, and Davidson.

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## **SELECTIVE INVESTMENT AND ACADEMIC ENRICHMENT PRESENTATION**

Ms. Longaberger:

I would like to call on Provost Barbara Snyder for her report on Selective Investment and Academic Enrichment programs.

Provost Barbara R. Snyder: [PowerPoint presentation]

Thank you, Madame Chair. I spoke this morning to you about current major academic initiatives. If we do our jobs well, the investments that we make now will continue to pay dividends for the University for years to come. Therefore, I think it is important to update you periodically on the continuing impact of prior investments in academic programs, and that is what I am going to do right now.

This is a report on the Selective Investment and Academic Enrichment Funding programs, which were designed to encourage, identify, and strengthen areas of excellence within the University. While the effects of these programs will continue building for years to come, we have already many examples of ways in which the University has already benefited from these investments.

I want to acknowledge first that these predated my time in Academic Affairs and acknowledge the leadership of former Provost Ray, Presidents Gee and Kirwan, who supported these initiatives and, in my own office, Senior Vice Provost Alayne Parson, who coordinated this entire process.



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The Selective Investment Program began in 1997 and was designed to identify some of our strongest departments and provide them with the resources that would allow them to move into the very top ranks in their fields. Units selected through this process received \$500,000 in continuing central funds and were expected to match that with \$500,000 in continuing college funds. Proposals were evaluated by a review committee of faculty members from throughout the University.

The criteria are listed here. Units were selected essentially based on their high level of excellence, their importance to our academic mission, and their ability to advance the University's goals of national and international recognition, interdisciplinarity, and outreach.

The units chosen for Selective Investment were done in three waves. We have a total of 13 units over the course of the 1998-2001 years and you see the units listed here. I will say something about each one in a minute.

We tried to take a snapshot of the overall impact of the Selective Investment fund and one of the ways we did that was by looking at the quality of graduate students. What you see here is the weighted average GRE scores for domestic graduate students in Selective Investment departments. They improve by 100 points overall from 1998 to 2003. We have data here for 11 of our 13 units. We used domestic graduate students only in order to eliminate the possible influence of recent difficulties experienced by international students in obtaining U.S. visas.

The averages are weighted to reflect the number of scores reported by each department. Each section of the GRE – the verbal, quantitative, and analytical, also showed improvement in the average weighted score. While the indicators of graduate student quality increase as the department's national stature increases, these shifts take time because of the lag time between changes such as new faculty hires and getting that knowledge out to prospective students and to their undergraduate faculty mentors and advisors. For example, the rankings of doctoral programs by the National Research Council were last published in 1995. Due to numerous delays, the next set of rankings will not be published before 2007, which is a 12-year gap in those rankings.

As you know, the Moritz College of Law was a recipient of Selective Investment and this slide shows you the numbers for our law student. The 25th percentile of the current entering class is equal to the median of the entering class of 1998. That is how significant the improvement has been. The median score of the current entering class is equal to the 75th percentile to the class that came in in 1998.

We also wanted to show you something about the impact of Selective Investment on research and faculty publications in particular, and you can see that the average number of publications per year -- and these are in three-year averages -- increased 13 percent in our Selective Investment units between 1997-99 and 2000-02. During that same time period, the increase for all of Ohio State was 4.7 percent, which means that the improvement of our Selective Investment units was nearly three times that of the University's overall average. This data is based on only those disciplines that are included in the Institute for Scientific Information, or what we call the ISI database, because that is really the only thing we can measure very well. Those disciplines are chemistry, mathematics, physics, economics, psychology, electrical engineering, materials science, history, and law.

## September 22, 2004 meeting, Board of Trustees

Another measure of the impact of Selective Investment is the average percentage of articles for the SI units, the publications' percentages out of all in the fields associated with those units. In other words, this is an attempt to give you an idea of each department's market share of publications in the field and, of course, for all of OSU, the percentages of total publications.

Another measure of research success is the average percentage of citations. It is a way of trying to capture the impact of the research that our faculty members and graduate students are doing. Again, in three-year averages, it increased 0.1 percent among Selective Investment units and again, from 1997-99 and 2000-02. It was a little more than 1.25 times that of Ohio State as a whole. This represents the market share of citations of Selective Investment units in their respective disciplines.

I wanted to say a little something about each one of the 13 units that received Selective Investment. You can see the success of the faculty members hired by Electrical and Computer Engineering with Selective Investment funds. Eleven faculty members were elected fellows of the Institute for Electrical and Electronics Engineers. Four faculty members, including three of their Selective Investment hires, received NSF career awards. And their research expenditures were up 80 percent from 1997-2002, and to an all-time high in 2002-03, and ranked fourth among departments of public universities.

The Department of Materials Science and Engineering used its Selective Investment funds to hire two members of the National Academy of Engineering. You can see that their sponsored research funding has nearly doubled between 2000 and 2004.

The Department of Physics has used its Selective Investment money to hire some wonderful researchers; two of them are mentioned on this screen. Professor Mathur, who won the Alumni Distinguished Teaching Award in 2003, also was the subject of a story in the *Dispatch* this summer. Renowned physicist Stephen Hawking, from Cambridge University, has been a long-time proponent of the theory that matter sucked into black holes is destroyed. At an international conference in July, he said that he now agrees with those who have argued that matter in black holes is not destroyed, and Professor Mathur is actually one of those. He has written a number of articles, most recently one in March published in *Nuclear Physics B*, arguing that black holes contain "...minute vibrating strings of matter..." I hope you will not ask me more about that. The national awards won by physics undergraduates in this time period included six NSF fellowships and five Goldwater scholarships.

Our Department of Psychology has hired new faculty with its Selective Investment award and, as a result, grant awards have increased more than 2-1/2 times between FY 2000 and FY 2004, and the dollar amount of the increase is very impressive. Citation counts have gone up 40 percent between 1998 and 2002. They have also found much greater success in recruiting the very top graduate students, particularly in their programs in behavioral, and neuroscience and cognitive psychology.

The Department of Chemistry is one of seven chemistry departments nationwide selected for participation in the Carnegie Initiative on the Doctorate, and we will mention that that is true for a couple of our other Selective Investment units as well. One of the hires made with Selective Investment money was Dr. Pat Hatcher, who helped us procure the \$5.8 million NSF award to establish the Environmental Molecular Science Institute in 2000. Professor Malcolm Chisholm, another Selective Investment hire, was elected this past year to the American Academy of

## September 22, 2004 meeting, Board of Trustees

Arts and Sciences. Their national rankings for NSF awards improved from 25 in 1998 to 17 in 1999 and has been in the top ten ever since.

The Department of History has seen a significant increase in graduate applications in the program areas where they made their Selective Investment hires. They have also found an increasing national reputation as a result of presentations and publications of their Selective Investment hires, two of whom have received Guggenheim awards in 2002.

The Department of Neuroscience has faculty funded by all the major national funding organizations. Their extramural funding is on an upward trend. They made an extraordinary joint hire with Radiology – Dr. Michael Knopp who, as you know, led our successful effort to get the \$9.1 million from the Third Frontier fund and the \$8 million BRTT award to create a Wright Center of Innovation. This was also one of our units selected to participate in the Carnegie Initiative on the Doctorate.

Our highly-ranked Department of Political Science is up again in the *U.S. News* rankings, and was ranked 4<sup>th</sup> in the world in a study published in January 2004 by the London School of Economics. They used their money also to hire a member of the American Academy of Arts and Sciences and their majors won the 1<sup>st</sup> and 3<sup>rd</sup> prizes in the 2003 Denman Forum.

Cardiovascular Bioengineering, which is connected to the Davis Heart and Lung Institute, is achieving phenomenal success in research. They achieved a top ten national ranking for research funding in 2004, with over \$60 million in annual grant awards. In the past three years, faculty recruited as a result directly or indirectly of our Selective Investment initiative have generated over \$30 million in research grants.

The Department of Economics has seen a 2/3 increase from 1998-2003 in their applications from domestic graduate students and an increase of 194 points in the average score of their enrolled graduate students. It recently ranked 11<sup>th</sup> among public universities in publications in the top eight journals in the field. You can see the record of their research funding, which has increased very dramatically since 1998.

The Department of English used its Selective Investment funds to also make some wonderful faculty hires including Professor Hudgins, who received a Guggenheim Fellowship this past year. This department was another one of ours that was selected to participate in the Carnegie Initiative on the Doctorate. You can see the increases in graduate applications – 19 percent overall and a 50 percent increase for this coming year in master's applications.

The Moritz College of Law – you have seen a little bit of their success with students. They also used much of their Selective Investment funding to hire new faculty members. Citations per year for faculty publications have doubled between 2000 and 2003, and the average number of faculty articles has increased significantly. They also started two new scholarly journals.

Mathematics is another one of our departments selected to participate in the Carnegie Initiative on the Doctorate and they used their funds to hire Dr. Avner Friedman, a member of the National Academy of Sciences and a NAAAS fellow. They also received a National Science Foundation grant for Vertical Integration of Research and Education. This grant is initially for three years and it started on July 1, 2002, with a possible renewal for an additional two years. The aim of that grant is to introduce both our undergraduate and graduate students to the research environment at a much earlier stage in their careers.

## **September 22, 2004 meeting, Board of Trustees**

Because we are running late, I am going to skip through Academic Enrichment quickly and tell you very briefly that this funded some wonderful programs, mostly interdisciplinary programs, created centers and new programs all across the University. At your places is a report that gives a lot more detail, both on Selective Investment and Academic Enrichment.

You can see from the slides that the kinds of programs we funded were across the University and working in all sorts of disciplines. These grants were intended to enhance our academic experience for our students all across the University. It is just such a wide variety of programs that were funded. I will let you take a look at that in the materials that you have, and cut this short so you can keep on your schedule.

Thank you very much for your time and attention. I would be happy to answer any questions.

Ms. Longaberger:

Questions? Thank you very much, Provost Snyder. I think many of us were here on the Board when we started this program. It was very unique and innovative and there were high hopes at the time that we would be able to see some of these results by focusing on specific investments and it certainly looks like that was accomplished. Thank you very much.

(See Appendix XI for background information, page 329.)

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Ms. Longaberger:

In a moment we will be taking a roll call vote to go into Executive Session for the purpose of dealing with personnel and legal matters. That vote will conclude all formal Board action for the day beyond adjourning the Board meeting after the Executive Session.

After the vote to go into Executive Session, we will take a five-minute recess before beginning that session. For those of you who may not wish to stay until after the Board completes its Executive Session, I will remind you that our next meeting of the Board will take place on Friday, November 5, 2004.

I hereby move that the Board recess into Executive Session for the purpose of dealing with personnel and legal matters. May I have a second?

Upon motion of Ms. Longaberger, seconded by Mrs. Davidson, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Messrs. Slane, McFerson, Borrer, O'Dell, Hicks, and Judge Duncan, Meses. Longaberger, Hendricks, and Davidson.

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Thereupon the Board adjourned to meet Friday, November 5, 2004, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

Tami Longaberger  
Chairperson

David O. Frantz  
Secretary

## (APPENDIX VII)

**Waivers of Competitive Bidding Requirements  
April-June 2004**

<u>Category</u>	<u>Total</u>		<u>Sole Source</u>		<u>Emergency</u>		<u>Sufficient Economic Reason</u>	
Hospitals – Prof Hlth Care Serv	\$10,073,129	10	\$8,456,649	8	\$0	0	\$1,616,480	2
Hospitals – Equip & Serv	\$9,178,869	29	\$1,295,698	16	\$396,000	2	\$7,487,171	11
Merchand/ Serv for Resale	\$11,779,680	2	\$11,779,680	2	\$0	0	\$0	0
Utilities for all Campuses	\$10,000,000	1	\$10,000,000	1	\$0	0	\$0	0
Instruc and Research Equip and Services	\$4,538,531	44	\$2,073,365	17	\$63,346	2	\$2,401,820	25
Admin Support Equip and Services	\$5,462,348	49	\$3,526,656	30	\$616,700	10	\$1,318,992	9
<b>TOTAL</b>	<b>\$51,032,557</b>	<b>135</b>	<b>\$37,132,048</b>	<b>74</b>	<b>\$1,076,046</b>	<b>14</b>	<b>\$12,824,463</b>	<b>47</b>



## AUTHORIZATION FOR CAPITAL PROJECTS

<u>Project</u>	<u>Approximate Amount (in millions)</u>	<u>Requested Action</u>
Dodd Hall -- Elevator Upgrades	Dept: \$1.16	Enter into design contracts
Mansfield Campus -- Ovalwood Hall Renovation	Dept: \$7.00	Enter into design contracts
Newark Campus -- Warner Student Center & Library	Dept: \$9.00 State: \$1.79 Other: \$6.75	Enter into design contracts
North Doan Hall 2 <sup>nd</sup> Floor Infill and Endoscopy	Dept: \$8.20	Enter into design contracts
Doan Hall -- Non-Clinical Building	Dept: \$11.30	Enter into design contracts
St. John Arena and Converse Hall Parking Lot Renovation	Dept: \$1.6 - \$2.0	Enter into design contracts
<b>Subtotal for Design Contracts</b>	<b>Dept: \$38.26-\$38.66</b> <b>State: \$1.79</b> <b>Other: \$6.75</b>	
<hr/>		
Baker Systems -- Elevator Modernization	State: \$0.41	Enter into construction contracts
Doan Hall -- Renovate Suite 236 PET/CT Unit	Other: \$0.80	Approve increase in project costs
Hopkins Hall -- Elevator Replacement	State: \$0.20	Enter into construction contracts
Parks Hall -- Chiller Replacement	State: \$0.70	Enter into construction contracts
Postle Hall -- Fire Alarm Replacement	State: \$0.53	Enter into construction contracts
West Campus Chilled Water Upgrade and Scott Hall Chiller Replacement	State: \$1.00	Enter into construction contracts
<b>Subtotal for Construction Contracts</b>	<b>State: \$2.84</b> <b>Other: \$0.80</b>	
<hr/>		
<b>Total for all Contracts</b>	<b>Dept: \$38.26-\$38.66</b> <b>State: \$4.63</b> <b>Other: \$7.55</b>	
<b>Grand Total</b>	<b>\$50.44-\$50.84</b>	

## **DODD HALL – ELEVATOR UPGRADES**

### **Conceptual and Planning Information**

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

#### **Sponsoring/Requesting Units(s):**

*Ohio State University Medical Center/ College of Medicine and Public Health*

#### **How does this project advance the Academic Plan?**

*Upgrade elevator equipment to meet current codes and be more efficient. Adding a second car to the tunnel level increases access to the rest of the Medical Center through the tunnel system and will eliminate patient movement problems that are currently experienced when the only car serving the tunnel breaks down.*

#### **Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):**

*Upgrade of elevator equipment, cars and extending a second car to the tunnel level.*

#### **Preliminary Cost Estimate:**

*\$ 1,160,000*

#### **Proposed Funding Source:**

*University Hospitals.*

#### **Outstanding Funding Issues:**

*none*

#### **Timing Issues:**

*Design Services Completion – January, 2005*

*Construction Completion – January, 2006*

#### **“Ripple effects” of the project:**

*none*

#### **Special limitations/risks:**

*none*

**Note:** These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.





Dodd Hall - Elevator Upgrades  
315-2004-929

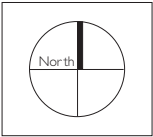
Requesting Agency(s): HEALTH SCIENCES ADMINISTRATION  
Location(s): DODD HALL, VERNE A. Gross Sq. Ft.84,673 Age: 1961  
Description: Upgrade elevator equipment to meet current codes. Extend a second elevator car to the tunnel level.

Project Team:		Project Information:
Facility Planner:	Is Unassigned	Formerly 50700-R044373
Project Manager:	Pat Cuthbert (cuthbert.8@osu.edu)	
Field Coordinator:	Is Unassigned	
Project Coordinator	Curt Handschug (handschug.1@osu.edu)	
Project Assistant:	Patricia Berger (berger.58@osu.edu)	

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University Hospitals	\$1,160,315.00	\$1,160,315.00				
Total:	\$1,160,315.00	\$1,160,315.00				

Schedule:	Projected	Revised	Actual
Planning Arch/Engr Approved by B/T (\$1,160,315 Project)	09/22/2004		

# Dodd Hall - Elevator Upgrades



Office of Business and Finance  
Facilities Planning and Development

August 28, 2004

## **MANSFIELD CAMPUS – OVALWOOD HALL RENOVATION**

### **Conceptual and Planning Information**

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

**Project Name:**

*Mansfield Campus – Ovalwood Hall Renovation*

**Project Location:**

*The Ohio State University – Mansfield Campus*

**Sponsoring/Requesting Units(s):**

*Ohio State University Mansfield*

**Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):**

*Major interior reconfiguration and restoration of all 87,650 square feet of the 40- year old (first building on campus) Ovalwood Hall. Relocation of administrative and support functions to the new Administration and Classroom Building will permit renovation and conversion of Ovalwood Hall to a dedicated academic building per the September 2002 Feasibility Program of Requirements Study. This project includes a major mid-life renovation and interior reconfiguration of Ovalwood Hall into a dedicated classroom and faculty office building. Work includes complete interior reconfiguration; heating, ventilation, plumbing and air conditioning systems replacement; and upgrade to current codes and standards. The current structure is sound, flexible and adaptable to the planned need as identified in the September 2001 Facility Audit and Fit Analysis.*

**How does this project advance the Academic Plan?**

*This project provides additional state-of-the-art classroom and faculty office space consistent with regional campus growth expected in the University Academic Plan and Presidential Commission on Regional Campuses. It renovates existing space to University Standards to implement the Mansfield Campus Strategic Plan and approved Master Plan. Project will permit renovation and conversion of Ovalwood Hall to a dedicated academic building per the September 2002 Feasibility Program of Requirements Study.*

**Preliminary Cost Estimate: Total Project Budget** **\$7,000,000**

**Proposed Funding Source:**

*Mansfield Campus Local Reserves (for design fees)  
Future University Bonding (for construction)*

**Outstanding Funding Issues:**

*This authorization is for design only. Design will be funded with Mansfield campus reserves, and the Campus will seek University bonding on the next cycle to fund the construction costs. Construction will need to be authorized by the Board of Trustees.*

**Timing Issues:**

*Board of Trustees Design Approval*  
*Board of Trustees Construction Approval*  
*Construction Completion*

*September 2004*  
*July 2006*  
*October 2008*

**“Ripple effects” of the project:**

*Functions in the basement and first floor of Ovalwood Hall will relocate to the new Administration and Classroom Building scheduled to be complete in August 2006.*

**Special limitations/risks:**

*none*

**Note:** These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



Mansfield Campus - Ovalwood Hall Renovation  
315-2004-922

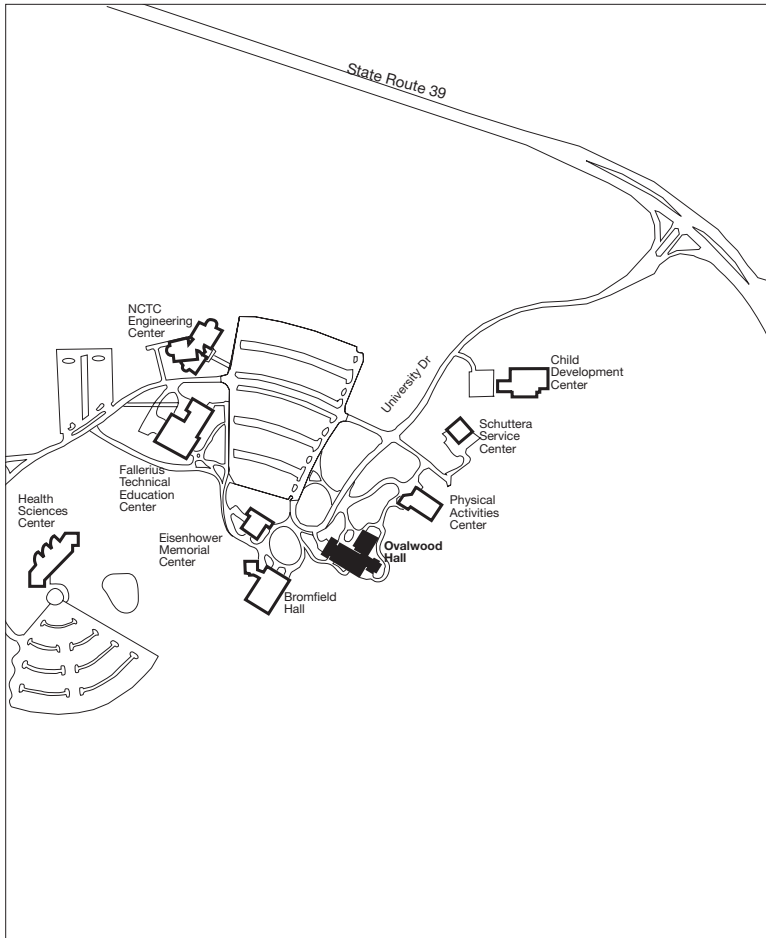
Requesting Agency(s): MANSFIELD CAMPUS  
Location(s): OVALWOOD HALL  
Gross Sq. Ft. 96,592 Age: 1966  
Description: Major interior reconfiguration and restoration of Ovalwood Hall. Work includes heating, ventilation, plumbing and air conditioning systems replacement and upgrade to current codes and standards.

Project Team:		Project Information:
Facility Planner:	Cheryl Christie (christie.2@osu.edu)	
Project Manager:	Ruth Miller (miller.2495@osu.edu)	
Field Coordinator:	Is Unassigned	
Project Coordinator	Faye Bodyke (bodyke.3@osu.edu)	
Project Assistant:	Steve Deedrick (deedrick.4@osu.edu)	

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Mansfield	\$533,536.00	\$533,536.00				
Future Univ. Bond	\$6,466,464.00	\$6,466,464.00				
Proceeds						
Total:	\$7,000,000.00	\$7,000,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$7,000,000 Project)	09/22/2004		
Construction			
Completion	10/01/2008		

## Mansfield Campus - Ovalwood Hall Renovation



Office of Business and Finance  
Office of Facilities Planning and Development

May 13, 2004

**JOHN L. AND CHRISTINE WARNER STUDENT CENTER and LIBRARY**  
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

**Project Name:**

*The John L. and Christine Warner Student Center and Library*

**Project Location:**

*The Ohio State University – Newark Campus / Central Ohio Technical College*

*The building will be located on the east side of the existing campus loop, situated between The John Gilbert Reese Center and Adena Hall.*

**Sponsoring/Requesting Units(s):**

*The Ohio State University – Newark Campus and Central Ohio Technical College*

**Project Description:**

*The Ohio State University-Newark and Central Ohio Technical College propose to construct a new library that will serve as the gateway to information services for the Campus. The building will also house a student center that will serve as the center of student life on the Campus and meet the emerging need for student life resources. The facility will offer a variety of programs, activities and services that will enrich and extend the community life of the Campus. It is expected that the project will contain approximately 81,200 gross square feet of new construction space.*

**How does this project advance the Academic Plan?**

*As the center of the campus community life, the student center/library will complement the academic program through an extensive variety of cultural, educational, social and recreational programs. The student center will provide food service and dining areas, student government meeting rooms, a suite for clubs and organizations, gathering, lounge and study spaces, a student health center, student activities, small scale recreation areas, possible retail space and administrative offices for student services, service learning and multi-cultural affairs and personal counselors. Currently, the existing 1978 dining hall is utilized for all student programming. and is inadequate to meet student needs.*

**Preliminary Project Cost Estimate:** \$17,500,000

**Proposed Funding Sources:**

- Lead Gift of John L. and Christine Warner  
\$4,250,000.00
- University Bonds/Financing  
\$6,444,000.00
- Newark Campus Development Fund  
\$2,500,000.00
- State Appropriations - Central Ohio Technical College  
\$ 720,000.00

- *State Appropriations- The Ohio State University Newark*  
\$1,070,000.00
- *The Ohio State University – Newark Local Funds*  
\$2,550,000.00

**Outstanding Funding Issues:**

*One of the major gifts making the Student Center and Library possible is a charitable trusts funded with interests in the Christine Warner Family Limited Partnership providing future annual income streams that can be utilized to pay debt service for construction. The project will need approximately \$5 million of long term financing to complete the construction, the need varying based on the timeline of the building. Several alternatives for this financing are currently being explored. Construction will need to be authorized by the Board of Trustees.*

**Timing Issues:**

*Arch/Engr Approval B/T  
July 2004  
Bidding Approval B/T  
February 2006  
Complete Construction  
December 2007*

**Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.**





Newark Campus - Warner Student Center and Library  
315-2004-930

**Requesting Agency(s):** NEWARK CAMPUS

**Location(s):** Site - See Comments. **Gross Sq. Ft.0 Age:**

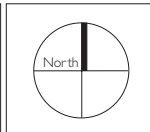
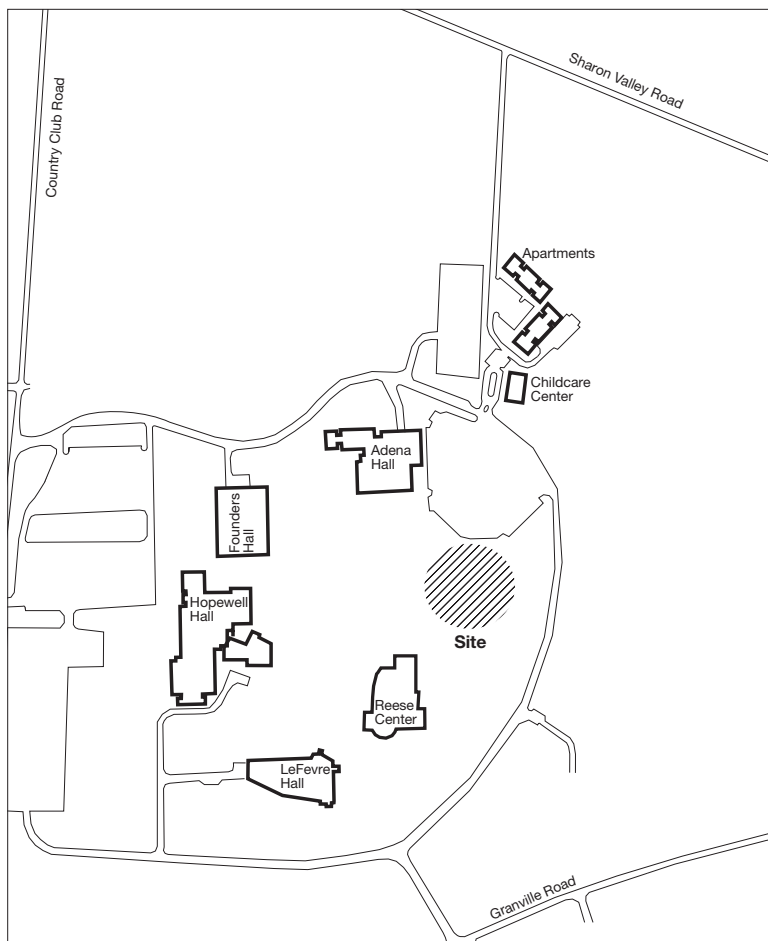
**Description:** Construct a new library that will serve as the gateway to information services for the campus. The building will also house a student center that will serve as the center of student life on the campus and meet the emerging need for student life resources.

Project Team:		Project Information:
Facility Planner:	Teresa Yu (yu.195@osu.edu)	
Project Manager:	Craig Henry (henry.194@osu.edu)	
Field Coordinator:	Is Unassigned	
Project Coordinator	Curtiss Ashley (ashley.6@osu.edu)	
Project Assistant:	Andrea Thimmies (thimmies.5@osu.edu)	

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Newark	\$6,750,000.00	\$6,750,000.00				
General Funds-Newark	\$2,550,000.00	\$2,550,000.00				
Future Univ. Bond	\$6,444,000.00	\$6,444,000.00				
Proceeds						
Future Capital	\$1,070,000.00	\$1,070,000.00				
Appropriations						
Central Ohio Technical	\$720,000.00	\$720,000.00				
College - State						
<b>Total:</b>	<b>\$17,534,000.00</b>	<b>\$17,534,000.00</b>				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$17,534,000 Project)	09/22/2004		
Construction			
Completion	12/01/2007		

## Newark Campus - Warner Student Center and Library



Office of Business and Finance  
Office of Facilities Planning and Development

August 31, 2004

**NORTH DOAN HALL – DIGESTIVE DISEASE CENTER**  
**2<sup>ND</sup> FLOOR INFILL AND ENDOSCOPY**  
Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision-making. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

**Sponsoring/Requesting Units(s):**  
*University Hospital*

**How does this project advance the Academic Plan?**

*As a teaching Hospital, the medical center serves both the academic interests of the University as well as the community. It is vital that the facilities remain current in the latest medical and technological advances. The additional space will expand our ability to serve the academic component and provide state-of-the-art facilities for patient care offered to the surrounding community.*

**Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):**

*In support of the recruitment of a Division Chief for Digestive Diseases, University Hospital will renovate the existing 2<sup>nd</sup> floor of North Doan Hall and 2<sup>nd</sup> floor "infill" of Doan Hall to accommodate approximately 25,700 gross square feet for the construction of 17 procedure rooms and a 46 bed PPRC.*

**Preliminary Cost Estimate:**  
\$8,200,000

**Proposed Funding Source:**  
*University Hospital*

**Outstanding Funding Issues:**  
*Business plan must be finalized prior to construction approval.*

**Timing Issues:**  
*Tied to recruitment package.*

**"Ripple effects" of the project:**  
*none*

**Special limitations/risks:**  
*none*

**Note:** These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



North Doan Hall - Digestive Disease Center  
2nd Floor Infill and Endoscopy  
315-2001-911-9

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): DOAN HALL, CHARLES AUSTIN Gross Sq. Ft.669,869 Age: 1951

Description: Renovation of the 2nd floor infill and north wing of Doan hall for the endoscopy procedure rooms, prep and recovery, support space and offices.

Project Team:		Project Information:
Facility Planner:	Is Unassigned	
Project Manager:	Richard Van Deusen (van-deusen.2@osu.edu)	
Field Coordinator:	Is Unassigned	
Project Coordinator	Curt Handschug (handschug.1@osu.edu)	
Project Assistant:	Patricia Berger (berger.58@osu.edu)	

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University Hospitals	\$8,200,000.00	\$8,200,000.00				
Total:	\$8,200,000.00	\$8,200,000.00				

## **NORTH DOAN HALL - NON CLINICAL BUILDING**

### **Conceptual and Planning Information**

This document establishes general planning parameters to facilitate Board of Trustees decision-making. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

#### **Sponsoring/Requesting Units(s):**

*University Hospital*

#### **How does this project advance the Academic Plan?**

*As a teaching Hospital, the medical center serves both the academic interests of the University as well as the community. It is vital that the facilities remain current in the latest medical and technological advances. The additional space will expand our ability to serve the academic component and provide state-of-the-art facilities for patient care offered to the surrounding community.*

#### **Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):**

*In support of the recruitment of a Division Chief for Digestive Diseases, University Hospital will demolish the two story portion of North Doan Hall and replace it with a five story non-clinical building (36,000 gross square feet) to accommodate Digestive Diseases faculty offices on the second floor. The remainder of the building would provide space for University Hospital to reorganize and centralize other non-clinical programs.*

#### **Preliminary Cost Estimate:**

*\$11,300,000*

#### **Proposed Funding Source:**

*University Hospitals*

#### **Outstanding Funding Issues:**

*Business plan must be finalized prior to construction approval.*

#### **Timing Issues:**

*Tied to recruitment package.*

#### **“Ripple effects” of the project:**

*none*

#### **Special limitations/risks:**

*none*

**Note:** These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.



North Doan Hall - Non-Clinical Building  
315-2004-914

**Requesting Agency(s):** UNIVERSITY HOSPITALS

**Location(s):** DOAN HALL, CHARLES AUSTIN **Gross Sq. Ft.** 669,869 **Age:** 1951

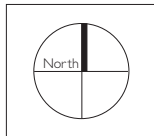
**Description:** This project includes the demolition of the two story North Wing of Doan and replace it with a five story office structure. These additional spaces will provide state-of-the-art facilities for patient care.

Project Team:		Project Information:
Facility Planner:	Is Unassigned	
Project Manager:	Richard Van Deusen (van-deusen.2@osu.edu)	
Field Coordinator:	Is Unassigned	
Project Coordinator	Curtiss Ashley (ashley.6@osu.edu)	
Project Assistant:	Andrea Thimmes (thimmes.5@osu.edu)	

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University Hospitals	\$11,300,000.00	\$11,300,000.00				
<b>Total:</b>	\$11,300,000.00	\$11,300,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T	05/07/2004	09/15/2004	

## North Doan Hall - Non-Clinical Building



Office of Business and Finance  
Office of Facilities Planning and Development

September 13, 2004

## **ST. JOHN ARENA AND CONVERSE HALL PARKING LOT RENOVATION**

### **Conceptual and Planning Information**

This document establishes general planning parameters to facilitate Board of Trustees decision-making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

#### **Sponsoring/Requesting Units(s):**

*Transportation and Parking*

#### **How does this project advance the Academic Plan?**

*The Lane Avenue Bridge replacement and road-widening project created a temporary loss of some of the available parking spaces in the north St John lot. As these projects are completed, it is apparent that these lots are in need of repair. The current condition of these parking lots as well as opportunity to increase available parking spaces, improve traffic flow and increase security dictated the proposed improvements to these lots to be a priority.*

#### **Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):**

*Improve parking lots north of St John Arena and on the east and west sides of Converse Hall with new curbs, new asphalt, regrading, new storm drainage and new lighting. Restripe and reorient lanes to improve pedestrian movement and increase available spaces.*

#### **Preliminary Cost Estimate:**

*\$ 1,600,000 - \$2,000,000*

#### **Proposed Funding Source:**

*Various*

#### **Outstanding Funding Issues:**

*Business plan needs to be finalized*

#### **Timing Issues:**

*Design Services Completion – January, 2005*

*Construction Completion – Summer, 2005*

#### **“Ripple effects” of the project:**

*none*

#### **Special limitations/risks:**

*none*

**Note:** These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.





St John Arena & Converse Hall Parking Lot Renovation

315-2004-931

Requesting Agency(s): TRANSPORTATION & PARKING SERVICES

Location(s): Site - See Comments.

Gross Sq. Ft.0 Age:

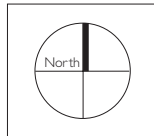
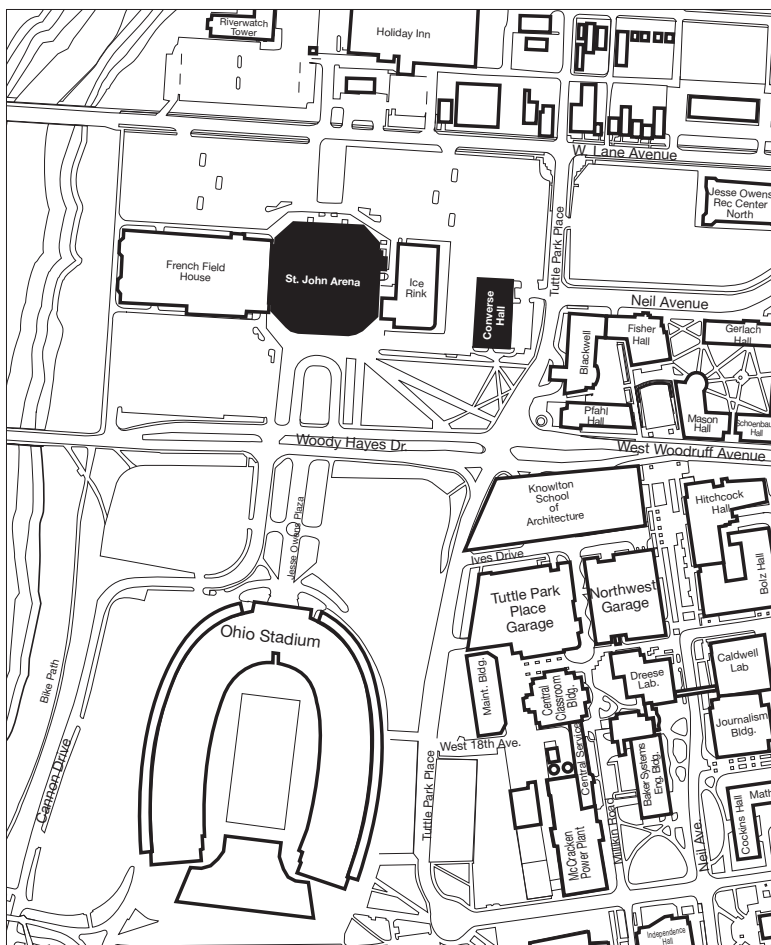
Description: Improve parking lots north of St John Arena and on the east and west sides of Converse Hall with new curbs, new asphalt, regrading, new storm drainage and and new lighting. Restripe and reorient lanes to improve pedestrian movement and increase available spaces.

Project Team:		Project Information:
Facility Planner:	Is Unassigned	Preliminary project budget \$1,600,000 - \$2,000,000
Project Manager:	Is Unassigned	
Field Coordinator:	Is Unassigned	
Project Coordinator	Is Unassigned	
Project Assistant:	Is Unassigned	

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Student Affairs	\$300,000.00	\$300,000.00				
Auxiliaries-Athletics	\$300,000.00	\$300,000.00				
Auxiliaries-Trans. & Parking	\$500,000.00	\$500,000.00				
Central Funding	\$300,000.00	\$300,000.00				
Repair & Renovation	\$200,000.00	\$200,000.00				
Fiscal Yr 2005						
Total:	\$1,600,000.00	\$1,600,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$1.6M - \$2.0M Project)	09/22/2004		

## St. John Arena & Converse Hall Parking Lot Renovation



Office of Business and Finance  
Office of Facilities Planning and Development

September 14, 2004



**Baker Systems - Elevator Modernization**  
315-2003-937

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): BAKER SYSTEMS ENGINEERING, DAVID F

Gross Sq. Ft. 115,361 Age: 1968

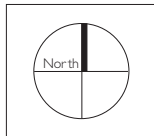
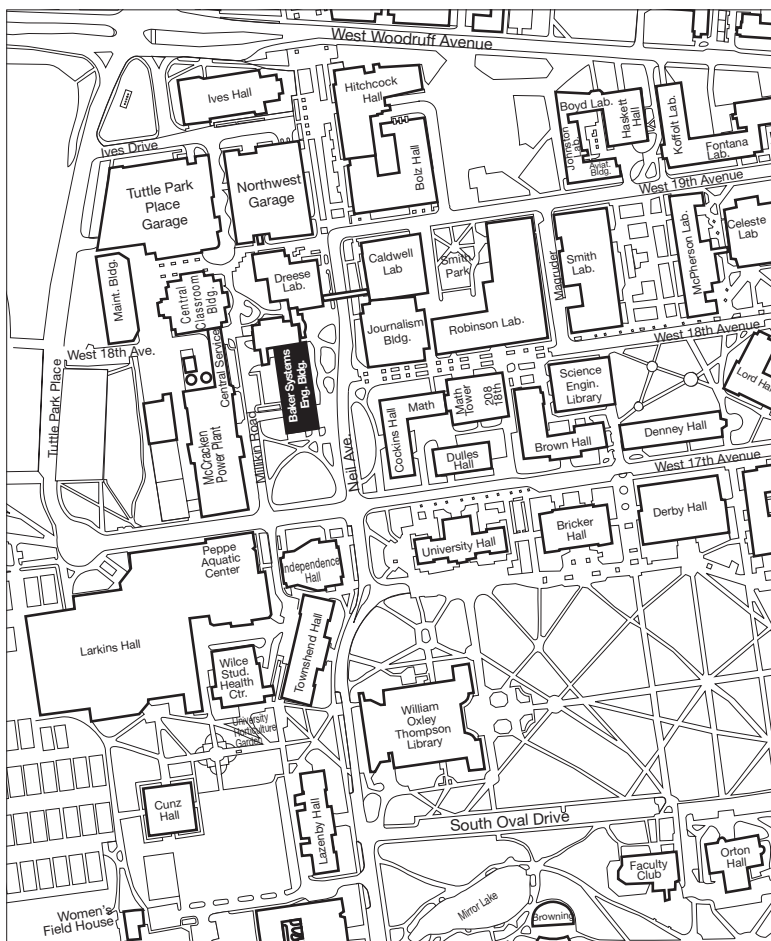
**Description:** Upgrade the three elevators in Baker Systems, which were installed in 1968 to today's codes and standards. The modernization would eliminate the motor generator and relay logic and replace it with variable voltage, variable frequency AC machine and drive. The elevator cab would be upgraded with new plastic laminated wall panels, egg crate ceiling, vinyl tile floor and new car operating panel at handicapped height. The present door operator tracks, hangers and rollers would be replaced and all hoistway doors would be fitted with unlocking devices. The elevator machine room electrical service would need to be upgraded and air conditioned.

Project Team:		Project Information:	
Facility Planner:	Is Unassigned		
Project Manager:	Sam Alabi (alabi.1@osu.edu)		
Field Coordinator:	Colin McBride (mcbride.62@osu.edu)		
Project Coordinator	Karen Cogley (cogley.1@osu.edu)		
Project Assistant:	Lisa Baldwin (baldwin.10@osu.edu)		

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$375,000.00	\$410,000.00				
<b>Total:</b>	\$375,000.00	\$410,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Advertisement (ohio register #116)			04/01/2003
Arch/Engr Approved by B/T (\$375,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T (\$410,000 Project)	09/22/2004		
Design			
Arch/Engr Contract	11/15/2003	11/03/2003	11/03/2003
Schematic Design Approval	12/01/2003		02/15/2004
Construction Document Approval	02/01/2004	08/30/2004	
Bidding			
Bid Opening	04/01/2004	10/21/2004	
Construction			
Award of Contracts	01/09/2005		
Construction Start	06/01/2004	01/10/2005	
Completion	09/01/2004	03/15/2005	

## Baker Systems - Elevator Modernization



Office of Business and Finance  
Office of Facilities Planning and Development

March 13, 2003



Doan Hall - Renovation Suite 236 PET/CT Unit  
315-2003-959

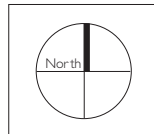
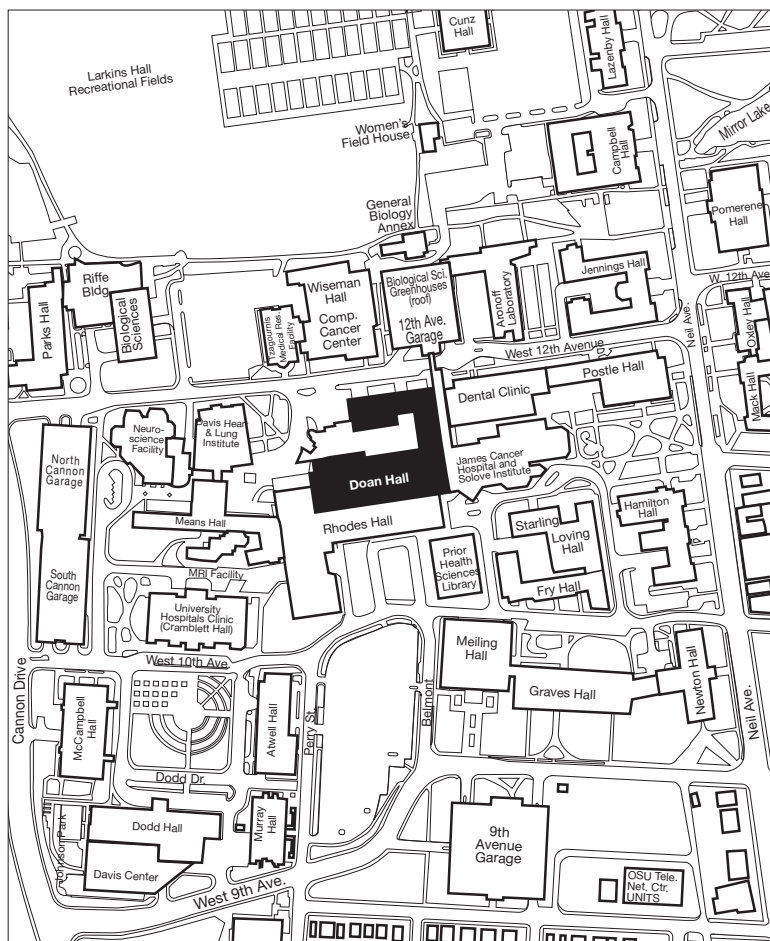
Requesting Agency(s): UNIVERSITY HOSPITALS  
Location(s): DOAN HALL, CHARLES AUSTIN  
Gross Sq. Ft.669,869 Age: 1951  
Description: Renovate a section of the Radiology files room 236, in Doan Hall to install a PET/CT imaging unit.

Project Team:		Project Information:
Facility Planner:	Is Unassigned	Formerly 50700-R034555
Project Manager:	Richard Morse (morse.68@osu.edu)	Preliminary project budget: \$372,874
Field Coordinator:	Is Unassigned	Project increased from 1,000 square feet to approximately 2,000 square feet during the design phase.
Project Coordinator	Curtiss Ashley (ashley.6@osu.edu)	Project increased due to additional infrastructure improvements that were needed to meet current codes and standards.
Project Assistant:	Andrea Thimmies (thimmies.5@osu.edu)	

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-James Cancer Hospital	\$372,874.00	\$797,829.00				
Total:	\$372,874.00	\$797,829.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Advertisement			05/12/2003
Bidding Approved B/T (\$534,300 project)	07/09/2004		07/09/2004
Bidding Approved B/T (\$797,829 project)	09/22/2004		
Design			
Arch/Engr Contract (\$372,874 project)	05/30/2003	07/15/2003	05/30/2003
Schematic Design Approval	07/21/2003	11/03/2003	11/03/2003
Design Dev Document Approval	08/11/2003	02/12/2004	02/12/2004
Construction Document Approval	09/08/2003	05/24/2004	05/24/2004
Construction			
Construction Start	10/15/2003	09/22/2004	
Completion	03/15/2004	12/31/2004	

## Doan Hall - Renovation Suite 236 PET/CT Unit



Office of Business and Finance  
Office of Facilities Planning and Development

May 20, 2003



## Hopkins Hall - Elevator Replacement

315-2003-911

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): HOPKINS HALL, JAMES R.

Gross Sq. Ft. 108,554 Age: 1959

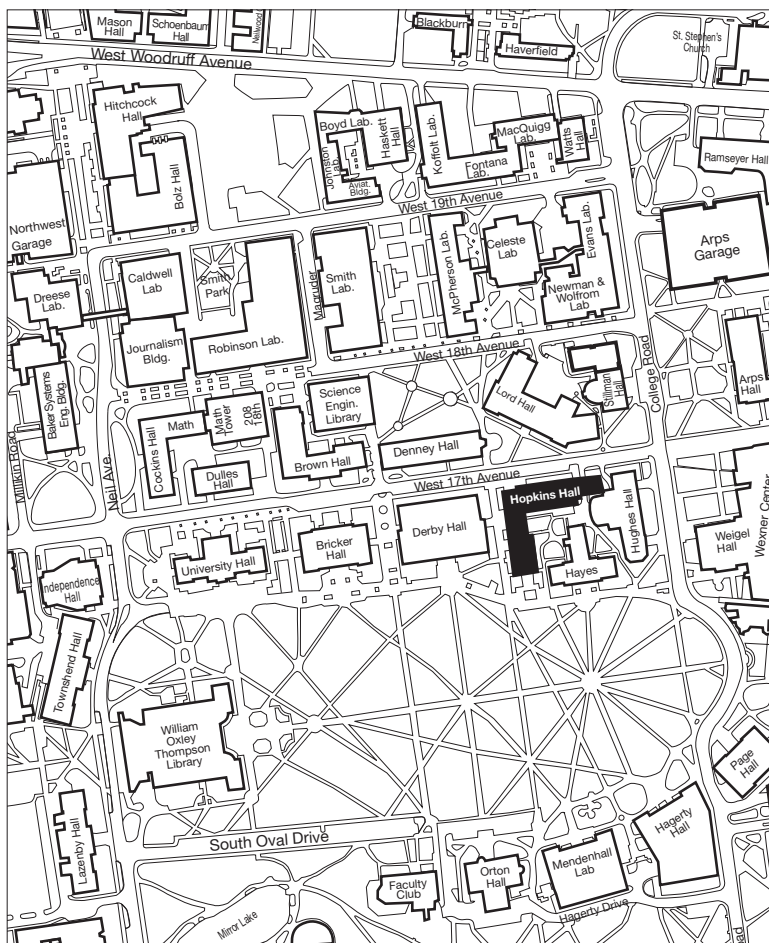
**Description:** The elevator in Hopkins Hall was installed in the late 1950s and is unreliable. The present elevator shaft is large enough to accommodate a modern elevator with fire service, ADA features and at least 250 feet per minute or greater speed. The elevator machine room will all require modification to upgrade the electrical service and install air conditioning.

Project Team:		Project Information:	
Facility Planner:	Is Unassigned		
Project Manager:	Sam Alabi (alabi.1@osu.edu)		
Field Coordinator:	Mark Scott		
Project Coordinator	Karen Cogley (cogley.1@osu.edu)		
Project Assistant:	Lisa Baldwin (baldwin.10@osu.edu)		

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$200,000.00	\$200,000.00				
<b>Total:</b>	\$200,000.00	\$200,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$200,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T (\$200,000 Project)	09/22/2004		
Design			
Arch/Engr Contract	10/20/2003		11/17/2003
Design Dev Document Approval	12/01/2003	02/27/2004	02/27/2004
Construction Document Approval	02/01/2004	07/29/2004	07/30/2004
Bidding			
Bid Opening	04/01/2004	10/28/2004	
Construction			
Construction Start	06/01/2004	12/08/2004	
Completion	10/30/2004	05/30/2005	

## Hopkins Hall - Elevator Replacement



Office of Business and Finance  
Office of Facilities Planning and Development

March 13, 2003





**Parks Hall - Chiller Replacement**  
315-2003-922

**Requesting Agency(s):** PHYSICAL FACILITIES

**Location(s):** PARKS HALL, LLOYD M. **Gross Sq. Ft.** 119,366 **Age:** 1967

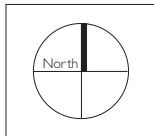
**Description:** Replace the existing chiller in Parks Hall. This project will include proper sizing of cooling loads, configuration modifications, circulating equipment and associated piping.

Project Team:		Project Information:
Facility Planner:	Is Unassigned	
Project Manager:	Barry Mazik	
Field Coordinator:	Colin McBride (mcbride.62@osu.edu)	
Project Coordinator	Karen Cogley (cogley.1@osu.edu)	
Project Assistant:	Lisa Baldwin (baldwin.10@osu.edu)	

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$800,000.00	\$700,000.00				
<b>Total:</b>	\$800,000.00	\$700,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Approved by B/T (\$800,000 project)	06/06/2003		05/30/2003
Bidding Approved B/T (\$700,000 project)	05/07/2004	09/22/2004	
Design			
Schematic Design Approval	04/30/2004	04/01/2004	04/01/2004
Design Dev Document Approval	11/01/2003	04/01/2004	04/01/2004
Arch/Engr Contract	07/30/2003	04/30/2004	05/03/2004
Construction Document Approval	03/01/2004	06/01/2004	06/09/2004
Bidding			
Bid Opening	06/01/2004	10/21/2004	
Construction			
Award of Contracts	12/06/2004		
Construction Start	11/15/2004	01/10/2005	
Completion	03/30/2005	04/15/2005	

## Parks Hall - Chiller Replacement



Office of Business and Finance  
Office of Facilities Planning and Development

May 10, 2003



Postle Hall - Fire Alarm Replacement  
315-2003-915

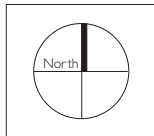
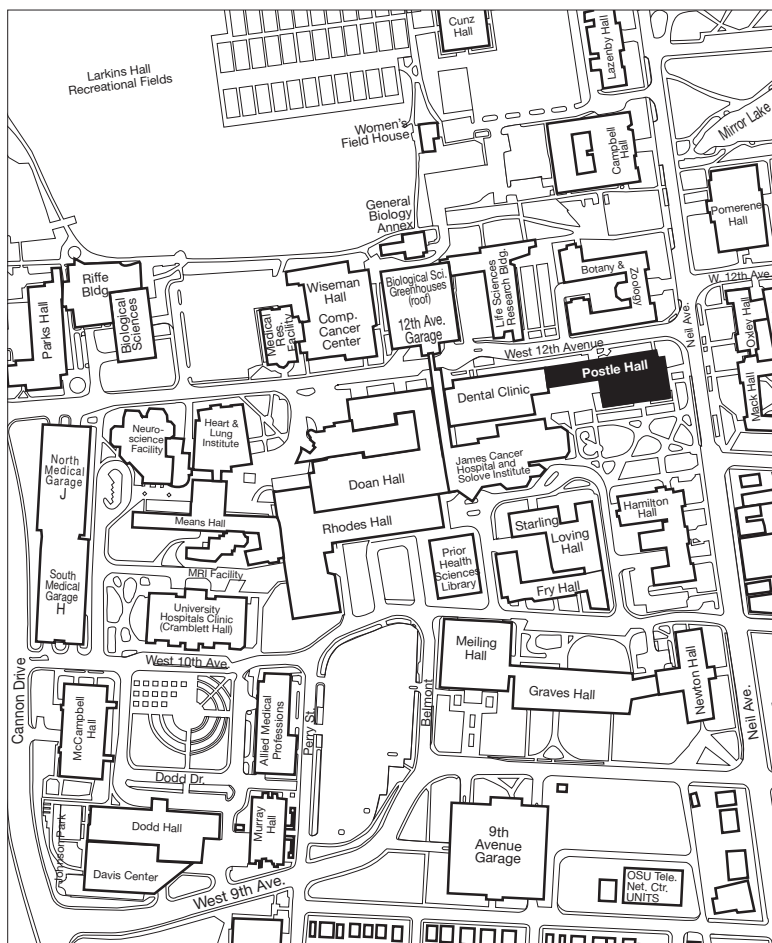
Requesting Agency(s): PHYSICAL FACILITIES  
Location(s): POSTLE HALL, WENDELL D. Gross Sq. Ft.278,169 Age: 1950  
Description: Replacement of main panel and devices as necessary to meet code and provide coverage.

Project Team:		Project Information:	
Facility Planner:	Is Unassigned	Project increased to meet current codes	
Project Manager:	Bob Wajnryb (wajnryb.1@osu.edu)		
Field Coordinator:	Colin McBride (mcbride.62@osu.edu)		
Project Coordinator	Karen Cogley (cogley.1@osu.edu)		
Project Assistant:	Lisa Baldwin (baldwin.10@osu.edu)		

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB640 Basic Renovations	\$13,000.00	\$130,000.00				
HB675 Columbus Basic Renovation	\$300,000.00	\$400,000.00				
Total:	\$313,000.00	\$530,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Advertisement	04/04/2003		04/01/2003
Arch/Engr Approved by B/T (\$300,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T (\$530,000 Project)	09/22/2004		
Design			
Schematic Design Approval	05/03/2003	05/04/2004	05/04/2004
Design Dev Document Approval	06/16/2003	04/27/2004	05/04/2004
Construction Document Approval	08/16/2003	08/17/2004	08/05/2004
Bidding			
Bid Opening	11/14/2003	11/16/2004	
Construction			
Construction Start	01/13/2004	01/12/2005	
Completion	05/12/2004	05/13/2005	
Report of Award to B/T	12/03/2004	12/06/2005	

## Postle Hall - Fire Alarm Replacement



Office of Business and Finance  
Office of Facilities Planning and Development

March 13, 2003



## West Campus Chilled Water Upgrade & Scott Hall Chiller Repl.

315-2003-924

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): RIGHTMIRE HALL, GEORGE W.

Gross Sq. Ft. 97,671 Age: 1969

Location(s): PRESSEY HALL, SIDNEY L.

Gross Sq. Ft. 77,825 Age: 1971

Location(s): SCOTT HALL, WILLIAM H

Gross Sq. Ft. 58,109 Age: 1972

**Description:** This project will improve the air-conditioning and provide redundancy to buildings connected to the West Campus chilled water loop. It will also replace the chiller in Scott Hall.

### Project Team:

### Project Information:

Facility Planner: Is Unassigned

Project Manager: Larry Hunt  
(hunt.183@osu.edu)

Field Coordinator: Mark Hartmann  
(hartmann.16@osu.edu)

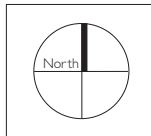
Project Coordinator: Karen Cogley  
(cogley.1@osu.edu)

Project Assistant: Lisa Baldwin  
(baldwin.10@osu.edu)

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Columbus Basic Renovation	\$1,000,000.00	\$1,000,000.00				
<b>Total:</b>	\$1,000,000.00	\$1,000,000.00				

Schedule:	Projected	Revised	Actual
Planning			
Arch/Engr Advertisement			04/01/2003
Arch/Engr Approved by B/T (\$1,000,000 Project)	04/04/2003		04/04/2003
Bidding Approved B/T (\$1,000,000 Project)	09/22/2004		
Design			
Arch/Engr Contract (approved copy to A/E)			01/16/2004
Design Dev Document Approval	07/15/2003		04/14/2004
Construction Document Approval	10/01/2003		06/18/2004
Bidding			
Bid Opening	12/01/2003	10/21/2004	
Construction			
Construction Start	03/01/2004	01/11/2005	
Completion	07/01/2004	04/15/2005	

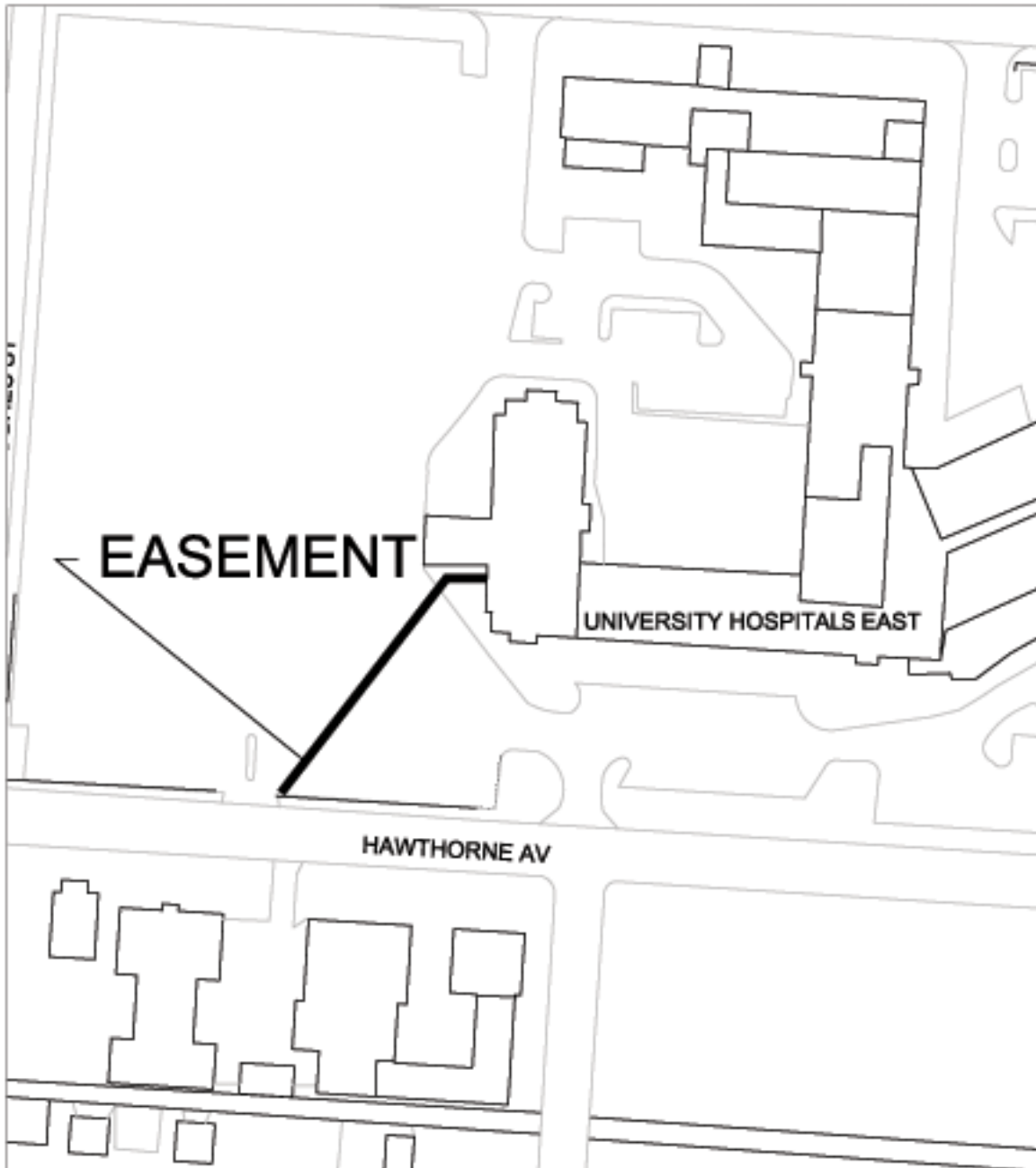
## West Campus Chilled Water Upgrade & Scott Hall Chilled Water Replacement



Office of Business and Finance  
Office of Facilities Planning and Development

March 13, 2003

**Electrical Easement  
Columbus Southern Power Company  
for  
The Ohio State University Hospitals East**



No True Scale

Office of Business and Finance

September 22, 2004



Map Provided by University Engineer's Office





**Fiscal Affairs Committee  
OSU Board of Trustees  
September 22, 2004**

**TOPIC:**

Final approval of the FY 2005 budget

**CONTEXT:**

At the July 7 Board of Trustees meeting the FY 2005 operating budget was approved for the Columbus Campus. However, due to timing issues, four items were carried over for final action at the September Board meeting: total budget for all campuses, regional campus budgets, funding source for sponsored dependent benefits and acceptance of budget books.

**RECOMMENDATIONS:**

- Budget for All Funds, All Campuses (Attachment A)
- Recommended operating budgets for regional campuses (Attachment B)
- Funding sources for sponsored benefits (Attachment C)
- Current fund budget books (Attachment D)
- Summary (Attachment E)

**CONSIDERATONS:**

- Is there any other information needed?
- What happens next?

**REQUESTED OF FISCAL AFFAIRS:**

Recommendation to the Board of Trustees for final approval of the FY 2005 budget.

Office of Academic Affairs  
Office of Business and Finance  
September 2, 2004

**FY 2005 Operating Budget Summary  
All Funds, All Campuses  
(in millions)**

Fund Group	Projected Resources	Projected Expenditures
General Funds	\$1,013	\$1,013
Earnings	1,374	1,352
Restricted	676	676
Grand Total	3,063	3,041

General Funds – includes student fees and State Share of Instruction

Earnings – includes Health System and other self-funded business units such as Athletics and Food, Housing and Event Centers.

Restricted – includes both federal and privately sponsored research and donor restricted gifts.

All Campuses – includes Columbus, all four regional campuses, ATI and OARDC.

## ATTACHMENT A-1

**FY 2005 Operating Budget  
All Campuses, All Funds  
(in millions)**

**Summary of Resources by Categories**

- Largest component of the budget is the Health System (34.5% of projected resources).
- Second largest component is student tuition (19.1%)
- State support accounts for 15.4% of total resources. (State support accounted for 24.8% of total resources in 1995).

*NOTE: State support accounts for 34% of Columbus Campus instructional (General Fund) income in FY 2005, down from 50% in FY 1995.*

Resource Category	Amount (millions)	Percent of Total
Health System	\$1,058	34.5%
Student Tuition and Fees	584	19.1%
State Support	473	15.4%
Federal Grants & Contracts	311	10.2%
Sales and Services <sup>1</sup>	303	9.9%
Private Grants & Contracts	256	8.4%
All Other <sup>2</sup>	78	2.5%
Total	\$3,063	100%

NOTE: For detail see p. II.7 of the Current Funds Budget Book.

<sup>1</sup> Includes both auxiliary sales and services (Housing, Athletics) and departmental services (e.g., Executive Education and Continuing Education).

<sup>2</sup> Includes interest earnings, endowment income.

**FY 2005 Operating Budget  
All Campuses, All Funds  
(in millions)**

**Summary of Growth by Category**

- The Health System accounts for 51.5% of revenue growth from FY 2004 - FY 2005.
- Student tuition accounts for 19.1%.
- State support accounts for less than 0.1%.

Resource Category	Amount (millions)	Percent of Total
Health System	\$167	51.5%
Student Tuition and Fees	62	19.1%
State Support	.02	0.1%
Federal Grants & Contracts	42	13.0%
Sales and Services <sup>3</sup>	18	5.6%
Private Grants & Contracts	23	7.1%
All Other <sup>4</sup>	12	3.6%
Total	\$324	100.0%

## ATTACHMENT B

**FY 2005 Operating Budgets for Regional Campuses, ATI and OARDC**

Recommended FY 2005 operating budgets for regional campuses are as follows:

Campus	Total Resources (millions)	Total Expenses (millions)	FY 2004 Current Equity Balance (millions)
Lima	\$14.0	\$14.0	\$4.0
Mansfield	13.9	14.1	9.0
Marion	14.9	14.9	7.4
Newark	18.7	18.7	8.1
ATI	12.3	12.5	2.0
OARDC	47.0	47.0	26.4

## COMMENTARY:

Marion and Newark have experienced steady enrollment growth. Lima and Mansfield have experienced slight enrollment declines and are aligning budgets accordingly. An enrollment management study is currently underway for all four regional campuses and is scheduled for completion in December 2004.

Agricultural Technical Institute has had an 18% enrollment decline since Fall 2000. Fund equity is below desired level. A financial stabilization plan currently is being developed.

Note: Additional information on regional campus budgets is included in Section II pages 4 to 9 of Current Funds Budget Book.

## ATTACHMENT C

<sup>3</sup> Includes auxiliaries (e.g., Housing, Athletics) and departmental services (e.g., Executive Education).

<sup>4</sup> Includes investment earnings, endowment income and misc. income.

## **Funding of Sponsored Dependent Benefits**

At the July 7 Board meeting, the Board of Trustees approved the administration's recommendation that the University fund sponsored dependent benefits for faculty and staff on the same basis as all other benefits. The Board resolution also required that non-appropriated funds be used to fund these benefits.

Estimated cost in FY 05 = \$890,000. Recommended funding source for FY 2005 is as follows:

For Non-General Fund Units:

- Funded through self-generated revenues, excluding state appropriations.

Health Systems	\$290,000
All Other <sup>1</sup>	<u>\$260,000</u>
Sub Total	\$550,000

For General Fund Units:

- Interest from existing unrestricted gift balances earmarked for use at President's discretion: \$340,000

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<sup>1</sup> All Other includes self-funded earnings units such as Athletics, Transportation and Parking and Food, Housing and Event Centers.

ATTACHMENT D

### **Acceptance of FY 2005 Current Use Budget**

Current Fund Budget Books are attached and include the following information:

- Board of Trustees Resolutions
- Budget in Brief
  - Priorities
  - Revenue Summary
  - Expense Summary
  - Multi-year commitments
  - Selected Definitions
- Budget highlights and allocations by fund and function for all campuses
- Government Support by source and campus
- Student Fee Information by source and campus
- Appendices

**SUMMARY****A. FY 2005 Operating Budget is now complete**

1. February – FY 2004 budget vs. actual
2. March – Financial Benchmarks
3. April – Compensation Benchmarks
4. May – Tuition Recommendations
5. June – Other Fee Recommendations
6. July – Budget Detail, Columbus Campus
7. September – Budget Detail, All Campuses

**B. Summary of Strategic Objectives**

1. This budget supports the priorities of the Academic Plan/Leadership Agenda
2. Revenue assumptions are reasonable and in compliance with state law.
3. Expenses are fully funded and within available revenues.
4. Financial stewardship is strengthened:
  - a. New state and federal mandates are funded.
  - b. Cost reduction initiatives are advanced.
  - c. Financial goals are met.
5. Next steps and strategic issues for the next budget cycle have been identified.

**AMENDED  
FY 2004-05 COLUMBUS CAMPUS UNDERGRADUATE TUITION**

Synopsis: Instructional, general, and nonresident fees at the Columbus Campus for Fiscal Year 2004-05, effective Autumn Quarter 2004, are proposed.

WHEREAS the Board of Trustees of The Ohio State University supports the University's continued implementation of the Academic Plan and its initiatives to meet the needs of Ohio State students; and

WHEREAS undergraduate instructional and general fees are subject to a 12.9% fee cap and no more than a 9.0% increase can be approved in a single vote of the Board of Trustees; and

WHEREAS consultations have taken place within the University to determine the appropriate instructional fee increases for undergraduate, graduate and professional programs; and

WHEREAS phase one of the Larkins Student Recreation Center project is scheduled to open before Autumn Quarter and is to be supported by a separate student fee on all students that falls outside the undergraduate fee cap; and

WHEREAS consultations continue to take place with regard to the regional campuses and ATI as well as specific undergraduate program fees on the Columbus Campus and those fees will be presented at the June 4, 2004 Board of Trustees meeting:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for all tiers of undergraduates enrolled at the Columbus Campus be increased 9.0% with the intention of a further 3.9% increase in a second vote at the June 4, 2004 Board meeting; and

BE IT FURTHER RESOLVED, That instructional and general fees for most graduate students will increase 12.9% and that the instructional fees for the professional colleges and selected graduate programs will increase between 6.0% and 14.5% in accordance with the attached schedule; and

BE IT FURTHER RESOLVED, That the nonresident surcharge for all programs be increased by 6.0%; and

BE IT FURTHER RESOLVED, That a flat \$12 per quarter recreation fee be implemented for all students taking more than three credit hours to support phase one of the new Larkins Recreation Center; and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2004.

**FY 2004-2005 COLUMBUS CAMPUS UNDERGRADUATE TUITION**

Synopsis: Undergraduate instructional and general fees at the Columbus Campus for Fiscal Year 2004-05 are proposed, effective Autumn Quarter 2004.

WHEREAS consultations have taken place within the University to determine the appropriate instructional and general fee increases for undergraduates; and

WHEREAS undergraduate and instructional fees at the Columbus Campus are subject to a 12.9% fee cap and no more than a 9.0% increase can be approved in a single vote of the Board of Trustees; and

WHEREAS the Board of Trustees approved a 9.0% increase in undergraduate instructional and general fees at the May 7 meeting, with the intention of a subsequent vote to increase tuition to the full 12.9%; and

WHEREAS increases in undergraduate instructional and general fees above 9.0% are to be earmarked for student financial aid and student technology; and

WHEREAS certain undergraduate curriculums are proposing additional fees that fall outside the fee caps:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for all tiers of undergraduates enrolled at the Columbus Campus be increased an additional 3.9% (total increase of 12.9%) in accordance with the attached schedule; and

BE IT FURTHER RESOLVED, That the additional 3.9% increase will be earmarked for undergraduate student financial aid and student technology; and

BE IT FURTHER RESOLVED, That additional undergraduate program/technology fees be approved for declared majors in the School of Music (increase from \$50 to \$100), the Fisher College of Business (increase from \$97 to \$162), the College of Nursing (increase from \$50 to \$100) and students in excess of 90 credit hours in the College of Biological Sciences (new fee of \$50); and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2004.

**INTERIM BUDGET FOR FY 2004-2005**

Synopsis: Authorization to make expenditures in FY 2004-2005 is proposed.

WHEREAS having approved student fee increases for FY 2004-2005, the University has not yet finalized its operating budget for FY 2004-2005; and

WHEREAS it is necessary to continue University expenditures, including payment of faculty and staff, prior to the time that the Current Funds Budget for 2004-2005 is developed and adopted:

NOW THEREFORE

BE IT RESOLVED, That the University be authorized to make expenditures consistent with the level of resources approved for FY 2003-2004, pending the adoption of the Current Funds Budget for FY 2004-2005 at the July Board of Trustees meeting.



**FY 2004-2005 ATI AND REGIONAL CAMPUS TUITION**

Synopsis: Instructional and general fees for all students enrolled at ATI and the Regional Campuses for Fiscal Year 2004-05 are proposed, effective Autumn Quarter 2004.

WHEREAS undergraduate instructional and general fees are subject to a 9.9% fee cap before adjustments for the Access Challenge offset and no more than a 6.0% increase can be approved in a single vote of the Board of Trustees; and

WHEREAS consultations have taken place among ATI and the Regional Campuses to determine the appropriate instructional and general fee increases for all students:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for both upper and lower division undergraduates enrolled at the Agricultural Technical Institute and the Regional Campuses be increased 6.0%, before adjustments for Access Challenge offsets, with the intention of a further 3.9% increase in a second vote at the July 9 meeting; and

BE IT FURTHER RESOLVED, That instructional and general fees for graduate students at ATI and the Regional Campuses be increased 12.9%; and

BE IT FURTHER RESOLVED, That the nonresident surcharge for all ATI and Regional Campus students be increased by 6.0%; and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2004.

**FY 2005 ATI AND REGIONAL CAMPUS TUITION  
SECOND VOTE**

Synopsis: Instructional and general fees for undergraduate students enrolled at ATI and the Regional Campuses for Fiscal Year 2005 are proposed, effective Autumn Quarter 2004.

WHEREAS consultations have taken place among ATI and the Regional Campuses to determine the appropriate instructional and general fee increases for all students; and

WHEREAS undergraduate instructional and general fees are subject to a 9.9% fee cap before adjustments for the Access Challenge offset and no more than a 6.0% increases can be approved in a single vote of the Board of Trustees; and

WHEREAS the Board of Trustees approved a 6.0% increase in undergraduate instructional and general fees, before adjustments for Access Challenge offsets, at ATI and the Regional Campuses at the June 4<sup>th</sup> meeting, with the intention of a subsequent vote to increase tuition to the full 9.9%; and

WHEREAS increases in undergraduate instructional and general fees at the Agricultural Technical Institute and the Regional Campuses above 6.0% are to be earmarked for student financial aid and student technology:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for both upper and lower division undergraduates enrolled at ATI and the Regional Campuses be increased an additional 3.9%, for a total of 9.9% before adjustments for Access Challenge; and

BE IT FURTHER RESOLVED, That the additional 3.9% increase will be earmarked for undergraduate student financial aid and student technology; and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2004.

**APPROVAL OF COLUMBUS CAMPUS FISCAL YEAR 2005 CURRENT FUNDS BUDGET**

Synopsis: Approval of the Current Funds Budget for Fiscal Year 2005 for the Columbus Campus is proposed.

WHEREAS the State budget for Fiscal Year 2005, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2005 have been previously approved by the Board of Trustees; and

WHEREAS other levels of resources and expenditures, including compensation, have been identified for the 2005 fiscal year; and

WHEREAS planning and consultation is underway at the Regional Campuses and ATI and their Fiscal Year 2005 budgets will be presented for approval at the September Board meeting; and

WHEREAS appropriate planning and consultation within the University has been accomplished with regard to the Columbus Campus budget, and the President now recommends approval of the Columbus Campus Fiscal Year 2005 budget:

NOW THEREFORE

BE IT RESOLVED, That the Columbus Campus Current Funds Budget for Fiscal Year 2005, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels; and

BE IT FURTHER RESOLVED, That the Regional Campuses and ATI be authorized to make expenditures consistent with the level of resources approved for the Fiscal Year 2004, pending adoption of their Current Funds Budgets for Fiscal Year 2005.

**APPROVAL OF FISCAL YEAR 2005 TOTAL UNIVERSITY CURRENT FUNDS BUDGET**

Synopsis: Approval of the total University Current Funds Budget for Fiscal Year 2005 is proposed.

WHEREAS the State budget for Fiscal Years 2004 and 2005, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2005 have been approved at previous meetings of the Board of Trustees; and

WHEREAS other levels of resources and expenditures, including compensation, have been identified for FY 2005; and

WHEREAS the Columbus Campus budget was approved at the July 2004 meeting of the Board and appropriate planning and consultation with the regional campuses has been accomplished, and the President now recommends approval of the total University Fiscal Year 2005 budget:

NOW THEREFORE

BE IT RESOLVED, That the University's Current Funds Budget for Fiscal Year 2005, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels.

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# I. Setting Budget Priorities

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## Academic Plan

Three and a half years ago the Board of Trustees approved the Academic Plan. This document defines six strategies and related initiatives that are necessary for The Ohio State University to become a truly great teaching and research university. Pursuing such an ambitious goal is important because top-tier research universities are essential to today's knowledge economy to ensure economic growth and social progress for the people and the regions they serve. Ohio lacks such a top-tier institution, but The Ohio State University is poised to fill that role.

### The Six Core Strategies

- Build a World-Class Faculty.
- Develop Academic Programs that Define Ohio State as the Nation's Leading Public Land-Grant University.
- Enhance the Quality of the Teaching and Learning Environment.
- Enhance and Better Serve the Student Body.
- Create a Diverse University Community.
- Help Build Ohio's Future.

These strategies and their related initiatives serve as a roadmap for the university. However, almost since its inception, the Academic Plan had to be adapted to fiscal constraints brought about by a series of reductions in state funding.

In late spring 2001, the governor of Ohio reduced FY 2001 operating appropriations for higher education by 1%, for a total cut to OSU of \$4.5 million.

In FY 2002, the continued decline in the growth of state revenues resulted in the smallest increase in the university's state support in nine years. Before the close of FY 2002, the university's state support was reduced by an additional \$28 million; \$20 million from the Columbus campus State Share of Instruction (SSI), and over \$8 million from specific line-item appropriations and the regional campuses – reducing State Share of Instruction to 1999 levels.

State budget reductions in FY 2003 reduced the State Share of Instruction as well as most state-funded university line items by an additional 2.5% or \$11.1 million, which was absorbed by cash balances in FY 2003 and allocated as permanent reductions in the college and academic support unit budgets in FY 2004.

In March 2004, the governor issued an Executive Order both mandating state budget cuts for the remainder of FY 2004 and anticipating additional reductions in FY 2005 to

address a budget deficit caused by a shortfall in anticipated revenues. The State Share of Instruction was exempted from these cuts, but most other categories of state support for Ohio State were reduced by 4.0% in FY 2004 or \$3.5 million and were expected to be reduced by 6.3% or \$6.4 million below the FY 2005 appropriated amounts.

The ongoing cuts continue to constrain progress on the Academic Plan and have led the university to focus on the most critical elements. Four strategic principles were developed to guide the allocation of university resources for FY 2005:

- Resource allocations should support the Academic Plan/Leadership Agenda;
- Resource allocations should support the flow of discretionary resources to the colleges to carry out their missions;
- Resource allocations should enhance core support services;
- Resource allocations should advance financial stewardship.

Based on the state tuition caps and state appropriation levels, and taking into account subsequent planned FY 2005 reductions in state support, tuition was set at the May and June meetings of the Board of Trustees. Undergraduate student tuition increases effective Autumn Quarter were set at the university's cap of 12.9% plus a previously approved mandatory student recreation fee of \$12 per quarter. However, for the over 54% of the undergraduate resident students who receive some form of university financial aid the effective increase is less, and in some cases zero.

The university implemented tiered tuition increases in FY 2004, which meant that new undergraduate students starting in Summer Quarter 2003 or later paid higher tuition than students who started in spring 2003, who in turn paid higher tuition than students who were enrolled prior to summer 2002. The university will not be repeating the strategy of tiered increases (i.e., creating additional tiers) for FY 2005. However, the existing tiered tuition levels continue to result in various differential tuition levels depending on the undergraduate's date of enrollment.

## Budget Context

At the beginning of the FY 2005 budget planning process, the university presented a financial benchmark report to its Board of Trustees. The report compares OSU's financial trends with eight benchmark institutions in a number of key areas.

The eight benchmark institutions include, in order of their relative rank: Michigan, UCLA, Wisconsin-Madison, Illinois-Urbana/Champaign, Washington, Texas-Austin, Minnesota-Twin Cities, and Arizona.<sup>1</sup>

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<sup>1</sup> In previous years, Penn State was also included among the benchmark institutions, but it was excluded from the analysis for FY 2002 due to vast differences in reporting on the Integrated Post Secondary Education Data System (IPEDS) of the U.S. Department of Education.

These eight institutions represent public higher-education institutions of the highest quality that most closely resemble Ohio State in organization and missions. The institutions represent Ohio State's peers and aspirational peers. Using the most recent audited financial data available, FY 2002, the financial comparisons follow:

Current funds revenues per FTE student at Ohio State are significantly (17%) below the average of our benchmark institutions. However, this represents a 9-percentage point improvement over FY 1996.

State support per student FTE at Ohio State (\$9,485) in FY 2002 is also less (13.7%) than the average of the benchmark institutions. State appropriations are the slowest growing revenue source: the average annual growth rate from 1992 to 2002 is 0.5% in real terms (2002 constant dollars).

While instructional expenditures per student FTE at Ohio State are 1% higher than the benchmark average, all non-instructional expenditures are 28.9% below the benchmark average.

The comparison of fees and tuition based on FY 2004 data shows:

Because of reductions in state support and an increased reliance on tuition and fees, average resident undergraduate tuition and fees at Ohio State are 11% above the benchmark in FY 2004.

Ohio State is ranked higher than any other Ohio public university in academic reputation. Yet, Ohio State's resident continuing undergraduate tuition and fees are 4.5% below the state average, while the newest student fees are just 5.6% above. This makes Ohio State an excellent value for students and taxpayers, but at the same time it also means Ohio State does not have the resources to match its competition in key academic and support areas.

The university will continue to develop benchmarking of key academic, demographic and administrative issues to inform decisions on budget prioritization.

## **FY 2005 Priorities**

The FY 2005 budget process continues to be guided by the Academic Plan. The combination of a slowing state economy and increasing demand for other state services means state support to higher education will grow by less than .8%. The state is providing the Columbus campus with \$320 million in state support in FY 2005. (This figure includes State Share of Instruction and Success Challenge.) Total state support remains lower than FY 2000 levels.

Recognizing the difficult financial challenges facing Ohio State, the governor and legislature allowed the university to raise tuition above the 9.9% tuition cap placed on most state institutions – up to a maximum of 12.9% above the prior academic year –

under the condition that 3.9% of the increase would be earmarked for financial aid and student technology.

Increased revenue generated from the tuition increase, as well as a 1.2% increase in enrollments, will allow the university to focus resources on the following parts of the Academic Plan:

- Produce cutting edge interdisciplinary research that will provide short- and long-term benefits for society. To achieve this, the university will create a research faculty track, support multidisciplinary research with competitive awards of cash and release time, and work to identify and propose solutions to procedural and/or financial barriers to progress in cross-disciplinary research and teaching;
- Create a distinctive undergraduate education experience by introducing new undergraduate research experiences in every discipline, recruiting an entering class with a median ACT score of 26 by 2006, increasing diversity by expanding recruitment and financial aid and improving campus climate, investing in technology to support teaching and learning, and making student housing an institutional priority to enhance academic achievement;
- Connect areas of academic excellence with societal needs through development of a plan for a “live, learn, create, work” community.

Other strategic financial issues for FY 2005 include the changing capital funding environment, current deferred maintenance needs, and the funding of graduate education. The FY 2005 budget continues to support the review of core academic support processes for capital project approval, design, and construction; purchasing goods and services; energy cost management; health care benefits management; minimizing university borrowing costs; and maximizing the university's return on its investment in major systems projects. The university will continue to diversify its revenue sources through increased private fund raising and sponsored research. Although the university will make progress on its strategic goals during the coming year, implementation of the Academic Plan will continue to be accomplished at a slower pace than originally anticipated.

The university will continue to examine everything it does to determine what it needs to do better and what it no longer needs to do at all.

## **Revised Budget Process**

Over the past seven years the university has moved toward a budget methodology that more directly aligns financial incentives for the colleges with the academic goals of the university. The revised budget process aligns the allocation of new revenues with the Academic Plan and reconciles college base budgets with academic goals. Beginning in FY 2003, annual increases in revenues and expenditures were shared with the colleges based on the following principles of the new budget system:



- The allocation of resources should be mission driven.
- In a large, complex organization, decentralized decision-making works best.
- Any budget system, but particularly one that is decentralized, depends on the creation and maintenance of a timely and user-friendly information system.
- A significant portion of revenues should be explicitly linked to the generating units, specifically the colleges.
- A portion of all revenues should be dedicated to the support of university-wide goals.
- Costs should also be explicitly linked to the generating college or vice presidential area.
- Although the system should be mission driven, predictability and stability are also important characteristics.
- Appropriate oversight and accountability should be provided by the university's governance and administrative structure.
- A carefully thought out transition is essential to the ultimate success of any changes in the budget system.

While the revised budget process is not a panacea for the university's resource issues, it does provide greater incentives for units to generate resources consistent with the goals of the Academic Plan.

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## II. FY 2005 Revenue Summary

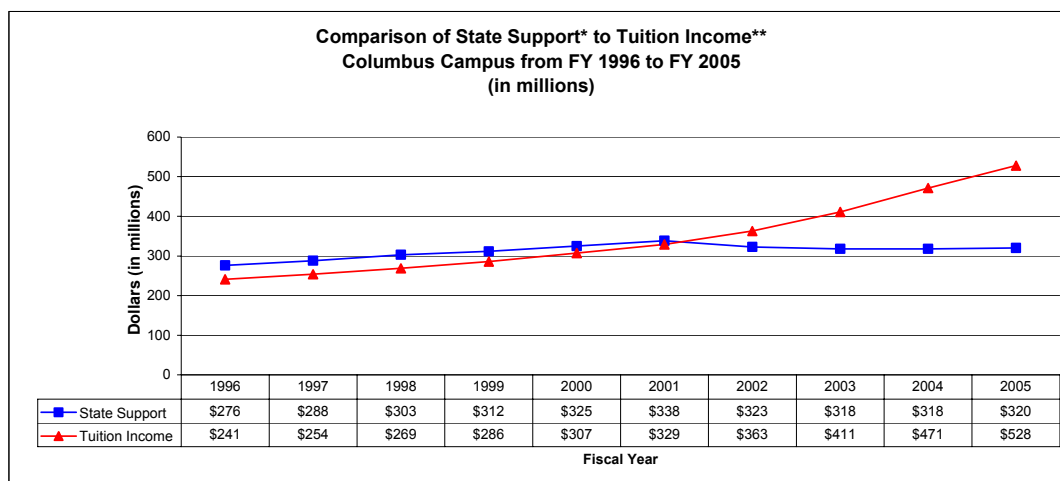
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### Overview

The university's total current funds revenues (including general funds, earnings and restricted revenue) are projected to increase by 11.8% from \$2.74 billion to \$3.06 billion between FY 2004 and FY 2005.

General fund revenues for the Columbus campus consist primarily of state support (the state share of instruction, challenge funding and state line-item appropriations), and student tuition and fees. General fund revenues are projected to increase by \$65.4 million. Of that amount, \$15.3 million is earmarked for increased graduate and undergraduate financial aid. That equates to a 24% increase above FY 2004 in funds available for undergraduate financial aid and a 7% increase in graduate financial aid.

The FY 2002 current funds budget marked the first time revenue from student tuition exceeded state instructional support at the Columbus campus. Ohio has gone from a position seventeen years ago, when Ohio State's share of instructional support was nearly double its student fee income, to a position today where the state provides a minority share of the instructional funding for Ohio State. The forecast for the foreseeable future is that state support will continue to fall further behind student fee income, putting an even greater financial burden on students and the university.



\* As used here, "State Support" includes State Share of Instruction and Success and Research Challenge funding.

\*\* "Tuition Income" includes instructional, general, student activity, and recreation fees, and non-resident surcharge.

### State Support

Core funding for the university includes State Share of Instruction and five other line-item appropriations, which are known as challenge funding. The amount of challenge

funding received is dependent upon the university's performance in meeting the following statewide goals:

**Success Challenge** – rewards successful completion of baccalaureate programs by at-risk students (OIG-eligible undergraduates) and successful, timely completion of baccalaureate degrees by state residents.

**Research Challenge** - rewards success in securing sponsored research from external sources.

**Access Challenge** - reduces financial barriers to entry-level higher education (two-year campuses only). Reductions in Access Challenge make it more difficult for the regional campuses to keep their tuition low.

**Jobs Challenge** - rewards successful efforts at meeting community needs for non-credit job training (two-year campuses only).

**Priorities in Graduate Education** – supports improvements in graduate programs in computer science.

## Student Fees

### Student Enrollments

Undergraduate student retention rates and an increase in the average number of credit hours undergraduates are taking per person, along with a slightly larger freshmen class have increased undergraduate enrollment levels 1.2% above original FY 2004 projections.

Graduate enrollments continued to stabilize in FY 2004 after several years of decline and are projected to grow slightly in FY 2005. Professional student enrollments are projected to continue growing as well. Total undergraduate, graduate and professional Columbus campus enrollments for FY 2005 are projected to be 50,844, the highest projected enrollment level since autumn of 1994.

### Undergraduate Instructional, General, and Recreation Fees

In recognition of Ohio State's historically low tuition, the governor recommended and the legislature concurred with an exception to the tuition caps established in the FY 2004-2005 biennial state budget. The exception allows Ohio State to increase tuition to 12.9% above the prior academic year's average tuition costs. The 12.9% tuition cap requires that 3.9% of the increase be earmarked for financial aid and/or student related technology. This cap is 3% higher than the tuition cap that applies to other state-supported institutions.

For all students enrolled for four or more credit hours, a mandatory student recreation fee of \$12 per quarter (prorated by credit hours) is to be implemented during Autumn Quarter with the opening of the new satellite recreation center. This fee will be increased by \$30 in spring or summer 2005 and again by a similar amount in spring or summer 2006 as the construction of the new main recreation building is completed.

Each of the three undergraduate tuition tiers effective Autumn Quarter reflects a 12.9% increase in instructional and general fees plus the recreation fee and a \$9-per-quarter Central Ohio Transportation Authority (COTA) fee:

- Tier 1 - \$6,828 annually (\$2,276 per quarter) for full-time continuing undergraduates who were first enrolled at Ohio State prior to Summer Quarter 2002.
- Tier 2 - \$7,446 annually (\$2,482 per quarter) for full-time continuing undergraduates who were first enrolled at Ohio State between Summer Quarter 2002 and Spring Quarter 2003.
- Tier 3 - \$7,542 annually (\$2,514 per quarter) for full-time students who first enrolled at Ohio State for Summer Quarter 2003 or later.

These tuition increases will provide the university with resources to continue to make progress toward the goals of the Academic Plan, meet the needs of its students and continue to be an investment of high value to the citizens of Ohio. In 2005 new students at the OSU campus will pay less tuition than new students at four other Ohio public universities.

#### **Ohio Public 4-Year Universities Undergraduate Resident Tuition and Fees Rates (new students)**

<b>Universities</b>	<b>Credit Hours (Range)</b>	<b>Academic Year 2004-05 Fees<sup>2</sup></b>
Miami <sup>3</sup>	12+	\$8,442 - \$9,642
Cincinnati	12-19	\$8,379
Bowling Green	11-18	\$8,072
Ohio University	11-20	\$7,770
Ohio State-Columbus Campus <sup>4</sup>	12+	\$7,515
Akron	12-15	\$7,510
Kent State	11+	\$7,504
Toledo	12-16	\$7,054
Cleveland State	12-16	\$6,792
Wright State	11-18	\$6,477
Youngstown State	12-16	\$5,884
Shawnee State	12-18	\$5,202
Central State	12-18	\$4,710
<b>Ohio Average</b>		<b>\$7,024 - \$7,116</b>

Data Source: Institutional research campus representatives and web sites.

#### **Student Activity Fees**

The basic general fee for all undergraduate students and all graduate and professional students effective Autumn Quarter 2004 will be \$119 per quarter. However, for all undergraduate students who were first enrolled Autumn Quarter 2003 or later, the

<sup>2</sup> Fees for full-time resident students entering in Fall 2004. Data Source: Institutional campus representative or web site.

<sup>3</sup> Miami's annualized fall tuition and fees rate is \$19,642. However, Ohio residents receive scholarships of \$10,000 - \$11,200 resulting in a net tuition of \$8,442 - \$9,642.

<sup>4</sup> OSU's number excludes COTA fee.

general fees also include an additional \$15 per quarter which is earmarked for student activities. There is also a separate student activity fee of \$15 per quarter for all graduate and professional students who were first enrolled Autumn Quarter 2003 or later. (Part-time students – undergraduate, graduate, and professional - pay a prorated portion.) Starting in FY 2006, all students will pay the student activity fee, generating approximately \$2.2 million for student activities outside the classroom.

### **Graduate and Professional Fees**

Masters and PhD instructional, general, and student activity fees will increase \$936 per year (12.9%), or \$312 per quarter.

Some graduate and professional students pay a higher, or differential, fee. Revenue generated from FY 2005 differential fee increases is earmarked to support the graduate and professional programs that generate the fee income.

Sixteen graduate and professional programs will have differential fees in FY 2005. The Part-time MBA is a new differential fee in FY 2005. (In the past, this program was included in the MBA differential fee.) Fourteen of these programs will have differential fee increases in excess of a base fee increase of 6.0% in FY 2005. The following table specifies the various graduate and professional programs' differential fee increases for full-time graduate and professional students effective in Autumn 2004.

**FY05 Quarterly Increase for Graduate and Professional Programs in Differential Fee Categories**

College/Program	Resident Instructional Fee	
	% Chg	\$ Chg
College of Business MAcct	6.0%	\$344
College of Business MBA	10.0%	\$443
College of Business Part-time MBA	9.8%	\$434
College of Business EMBA	10.1%	\$1,057
College of Business MLHR	12.0%	\$289
Dentistry	14.5%	\$757
Law (semester)	10.0%	\$634
Medicine	13.8%	\$871
Occupational Therapy	13.2%	\$303
Physical Therapy	13.5%	\$338
Optometry	6.0%	\$240
Pharmacy	12.0%	\$370
School of Public Health MPH	13.5%	\$327
School of Public Health PEP	13.5%	\$327
School of Public Health MHA	13.5%	\$369
Vet Medicine	12.0%	\$570

### Non-Resident Surcharges

Effective Autumn Quarter 2004, the undergraduate non-resident surcharge at all campuses is to increase 6% to \$3,529 per quarter for a full-time student. The non-resident surcharge is also to increase by 6% for all graduate and professional programs at each campus.

### Regional Campuses and ATI

The 2005 instructional and general fees for undergraduates attending the regional campuses and ATI, before applying Access Challenge credits, are increasing 9.9% above the 2004 academic year fees.

### Technology Fees

Several colleges and programs have established learning technology fees in the past to fund the additional technology needed to remain competitive within their fields. For FY 2005, these fees will be as follows:

College/Program	FY 2005	
	Undergrad	Grad
College of Arts	\$50.00	\$50.00
College of Business	--	159.00
College of Engineering	110.00	120.00
College of Nursing	--	50.00
MAPS students in CSE	73.00	120.00
Engineering Physics	73.00	--
School of Public Policy & Mgt	--	120.00

### New Undergraduate Program and Program/Technology Fees

The following four programs will implement new undergraduate program fees or combined technology/program fees:

College/Program	FY 2005 fee per quarter	
School of Music	\$100	Combined technology/program fee, prorated by credit hr
College of Business	162	\$112 technology fee + \$50 program fee, prorated by credit hr
College of Nursing	100	Combined technology/program fee, prorated by credit hr
College of Biological Sciences	50	Program fee for ranks 3 and 4 only, prorated by credit hr

For the Colleges of Business and Nursing, these fees replace undergraduate technology fees that were set at \$97 and \$50 respectively in FY 2004.

### Residence Halls and Other Charges

Housing fees (the second highest expense after tuition) for a resident undergraduate at Ohio State's Columbus campus are set to increase by 5.6%, or \$363, for FY 2005.

The total cost for a resident undergraduate to attend Ohio State's Columbus campus and live in university housing in FY 2005 will increase approximately \$1,385 (9.3%) for a three quarter academic year for a Tier 1 continuing student and \$1,466 (9.5%) for a three quarter academic year for a new student.

However, for undergraduate resident students who receive some form of university financial aid the increase will be less and in some cases zero.

<b>Fees and Charges (Annual)</b>	<b>Percent Increase</b>	<b>Dollar Increase</b>
Tuition <sup>5</sup>	12.9%	\$855
Room & Board <sup>6</sup>	5.6%	363
Books & Supplies	3.0%	30
Health Insurance <sup>7</sup>	13.8%	147
Parking <sup>8</sup>	5.8%	10
COTA Bus Pass	0.0%	0
Football Tickets (five games)	22.7%	25
Basketball Tickets	0.0%	0
Recreation Fee	--	36
Total	9.5%	\$1,466

## Cost Recoveries

Over the last three years, indirect cost recovery from sponsored research has been one of the fastest-growing components of the university's general funds budget. Indirect cost recoveries grow as a function of the level of external research grants awarded to the university. The negotiated indirect cost recovery reimbursement rate is a function of the university's actual expenditures (subject to certain established ceilings) for general administrative and library support services, and research facility and equipment costs. In FY 2005, the university is currently projecting the growth in indirect cost recoveries will level off, growing by 5.1% over FY 2004 recoveries. The regional campus service charge is growing at 3%, providing additional revenues in the amount of \$139,000 in FY 2005. University overhead paid by earnings units and auxiliaries is increasing by more than 15%, providing almost \$5 million in FY 2005. \$3 million of the increase will be generated primarily as a result of the growth in hospital revenues and will be used to fund university commitments to the Health Center.

## Other Income

Additional sources of general funds income include interest income, unrestricted endowment and designated income, and miscellaneous administrative fees and charges income. As a category, other income is projected to increase 2.2% over FY 2004 levels.

<sup>5</sup> Instructional and general (includes student activity) fee for students first enrolled after Spring Quarter 2003.

<sup>6</sup> Represents the average undergraduate room rates plus the base meal plan.

<sup>7</sup> Based on the single comprehensive rate.

<sup>8</sup> Rates are for the Columbus main campus. West campus rates are increasing \$3.60 annually (5.9%).

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## III. FY 2005 Expenditure Summary

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### Resource Allocation

FY 2005 is the third year of Ohio State's new budget process. The new budget process aligns the allocation of resources with the Academic Plan and decentralizes much of the decision making about expenditure priorities to the college and vice-presidential level.

In FY 2005, Ohio State's current funds budgeted expenditures for all campuses total \$3.042 billion including \$1.013 billion in general funds, \$1.374 billion in funds generated from earnings and auxiliary operations and \$676 million in estimated restricted expenditures.

At the Columbus campus, support of a continuing level of services for FY 2005 requires \$950 million, including \$46.9 million to support FY 2004 levels of undergraduate student financial aid, \$564 million to support existing faculty and staff levels and \$62.5 million to support existing facilities operations costs. An additional \$11.3 million in new revenues has been earmarked for undergraduate financial aid to ensure that otherwise qualified needy students are not denied access as a result of tuition increases. The total FY 2005 financial aid budget including graduate fee authorizations is \$164.6 million or 16.9% of the university's general funds budget.

The new budget allocation methodology for subsidy, tuition and indirect cost recoveries has, as anticipated, resulted in differential net marginal revenue growth among the colleges. The colleges teaching a growing number of credit hours or expanding their research are realizing more growth in general funds revenues than the colleges with flat or declining credit hours or research initiatives. In addition, some professional colleges and programs charge differential fees. Differential tuition revenues are earmarked for the support of the programs in which the students paying the tuition are enrolled.

It is important to recognize that some colleges rely more heavily on general fund revenue than others and therefore are more directly affected by the new allocation methodologies.



**Sources of Funds by College - FY 2003**  
**(In Order of Percent of General Funds)**

<b>College</b>	<b>Total Funds (in millions)</b>	<b>General Funds % of Total <sup>9</sup></b>	<b>Earnings % of Total <sup>10</sup></b>	<b>Restricted % of Total <sup>11</sup></b>
Social Work	\$4.3	95.2%	1.2%	3.6%
Humanities	\$51.9	90.4%	0.3%	9.3%
Arts	\$25.3	87.2%	3.6%	9.1%
Law	\$17.4	74.1%	1.6%	24.3%
Human Ecology	\$8.4	73.3%	3.0%	23.6%
Nursing	\$8.0	69.3%	0.3%	30.4%
Math & Physical Sci	\$85.1	66.1%	0.3%	33.6%
Fisher College of Business	\$39.9	64.5%	6.4%	29.1%
Social & Behavioral Sci	\$70.8	62.9%	6.4%	30.6%
Biological Sciences	\$35.8	56.6%	1.9%	41.4%
Dentistry	\$34.7	53.7%	24.0%	22.3%
Education	\$57.7	49.4%	12.0%	38.6%
Public Health	\$6.1	44.6%	0.2%	55.3%
Engineering	\$137.0	42.5%	9.3%	48.2%
Veterinary Medicine	\$44.4	40.2%	29.2%	30.7%
Pharmacy	\$23.1	39.2%	23.0%	37.8%
Optometry	\$14.3	29.8%	13.8%	56.5%
Medicine	\$178.2	25.4%	1.4%	73.2%
Food, Agric & Env Sci <sup>12</sup>	\$175.8	15.2%	5.3%	79.4%
<b>Total</b>	<b>\$1,018.3</b>	<b>44.8%</b>	<b>6.9%</b>	<b>48.4%</b>

Note: Due to interfund transfers, sources may be slightly overstated in certain situations.

Over 45%, or \$29.8 million, of the FY 2005 planned increases in continuing funds will be directly allocated to the college budgets, \$21.4 million or 33% will be applied to financial aid, \$5.3 million or 8% invested selectively in university-wide initiatives identified in the Academic Plan; and \$8.7 million, or 13%, will be allocated to the academic support unit budgets (as shown on the following tables).

<sup>9</sup> **General Funds** are unrestricted resources available for allocation in support of core instruction; instructional support and related general administrative and physical plant expenditures. Also includes fee authorizations.

<sup>10</sup> **Earnings Operations** are also unrestricted with resources generated from the sales and services of the earnings units. While not a requirement, these resources are generally designated to the unit generating the revenue. Included are Veterinary Hospital, University Airport, Reading Recovery, Engineering Experiment Station, Dental Clinic, Optometry Clinic, University Press, The Lantern, etc.

<sup>11</sup> **Restricted Funds** are funds whose use has been designated by an external agency or individual and limited to support a specific purpose and/or unit. Included are Sponsored Programs.

<sup>12</sup> Includes the College of Food, Agricultural & Environmental Sci. as well as ATI, OSUE and OARDC.

**Summary of Annual Funds Budget Changes - Colleges  
(Columbus Campus General Funds Budget – In Millions)**

	<b>Final 2004 PBA</b>	<b>Increase from FY 2004 to FY 2005<sup>13</sup></b>	<b>Budget Rebasing</b>	<b>Total Increase from FY 2004 to FY 2005</b>	<b>Percent Increase</b>
02-COLLEGE OF THE ARTS	\$21.7	\$0.8		\$0.8	3.7%
03-BIOLOGICAL SCIENCES	20.9	0.9	0.15	1.1	5.3%
05-COLLEGE OF HUMANITIES	50.7	1.8	0.75	2.6	5.1%
06-MATHEMATICAL & PHYSICAL SCI (MAPS)	59.4	2.0		2.0	3.4%
07-SOCIAL & BEHAVIORAL SCIEN (SBS)	49.3	3.8	0.33	4.1	8.3%
EXECUTIVE DEAN OF ARTS AND SCIENCES	6.1	0.2		0.2	3.3%
<b>ARTS AND SCIENCES</b>	<b>\$208.1</b>	<b>\$9.5</b>	<b>\$1.23</b>	<b>\$10.7</b>	<b>5.1%</b>
10-COLLEGE OF BUSINESS	29.7	0.5		0.5	1.6%
11-FOOD, AGRICULTURAL & ENV SCI (FAES)	17.3	0.7		0.7	4.1%
12-COLLEGE OF EDUCATION	29.5	1.7		1.7	5.8%
14-COLLEGE OF ENGINEERING	59.3	2.5		2.5	4.2%
15-COLLEGE OF HUMAN ECOLOGY	7.6	1.0		1.0	13.2%
17-COLLEGE OF NURSING	5.7	0.6		0.6	10.5%
18-COLLEGE OF PHARMACY	10.3	1.7		1.7	16.5%
19-COLLEGE OF SOCIAL WORK	3.9	0.3	0.08	0.4	10.3%
21-COLLEGE OF DENTISTRY <sup>14</sup>	17.8	1.5		1.5	8.4%
23-COLLEGE OF LAW	11.4	0.3		0.3	2.3%
25-COLLEGE of MEDICINE <sup>15</sup>	49.2	1.1	1.40	2.5	5.1%
26-SCHOOL of PUBLIC HLTH	3.7	(0.1)	0.20	0.1	2.6%
27-COLLEGE OF OPTOMETRY <sup>14</sup>	4.3	0.3	0.12	0.4	9.3%
29-COLLEGE OF VETERINARY MED <sup>14</sup>	19.2	1.7		1.7	9.0%
AA-INTERDISCIPLINARY GRADUATE PGMS	N/A	0.2		0.2	N/A
<b>ALL OTHER COLLEGES</b>	<b>\$268.9</b>	<b>\$14.0</b>	<b>\$1.80</b>	<b>\$15.8</b>	<b>5.9%</b>
Add'l Funds to be distributed to Colleges <sup>16</sup>		3.3		3.3	N/A
<b>Total Increase</b>	<b>\$477.0</b>	<b>\$26.8</b>	<b>\$3.03</b>	<b>\$29.8</b>	<b>6.2%</b>

<sup>13</sup> Includes the total marginal resources allocation minus marginal assessments for space, research administration, student services and the central tax. Figures also include faculty promotions and differential fees.

<sup>14</sup> Includes Med II SSI allocation based on preliminary data. Will be updated once end of year data are available.

<sup>15</sup> College of Medicine includes \$.5M in continuing funds for Hospital rent and \$1.8M in one-time funds.

<sup>16</sup> Includes \$310,000 of undistributed subsidy, \$312,000 in FY 2004 fee revenue, \$2.5 M in FY 2005 fees earmarked for technology and \$167,000 in Success Challenge funding.

**Summary of Annual General Funds Budget Changes – Academic Support Units  
(Columbus Campus General Funds Budget - In Millions)**

<b>ACADEMIC SUPPORT UNIT</b>	<b>FY 2004 PBA</b>	<b>Base Increase from FY2004 to FY 2005<sup>17</sup></b>	<b>Service Imp. &amp; Mandates</b>	<b>Total Incr.</b>	<b>Percent Incr.</b>	<b>One Time Funds</b>
<b>Academic Affairs</b>						
OAA <sup>18</sup>	\$45.0	\$1.7	\$1.8	\$3.5	7.7%	2.9
Graduate School	14.6	1.2		1.2	8.2%	0.3
University Libraries <sup>19</sup>	23.9	0.5	0.2	0.7	2.9%	
Undergraduate Studies	25.5	0.6	1.2	1.8	3.5%	0.5
<b>Academic Affairs Total</b>	<b>\$109.0</b>	<b>\$4.0</b>	<b>\$3.2</b>	<b>\$7.2</b>	<b>6.3%</b>	<b>3.7</b>
Ag Admin (Continuing Education)	\$1.0	NA		NA	NA	
Ag Admin. (OARDC)	1.8	NA		NA	NA	
Board of Trustees	0.6	NA		NA	NA	
Business and Finance	67.7	2.2	0.3	2.5	3.7%	2.3
Health Sciences	8.0	0.8	1.8	2.6	32.5%	7.5
Legal Affairs	1.4	0.1		0.1	7.1%	
President	2.1	0.1		0.1	4.8%	
Research <sup>20</sup>	17.8	0.3	0.5	0.8	10.8%	5.29
Student Affairs <sup>21</sup>	16.5	0.8	0.1	0.9	5.7%	1.4
Government Relations	1.1	NA	0.1	0.1	11.4%	0.1
University Relations	4.3	0.2	0.1	0.3	6.0%	0.7
External Relations						0.5
Development <sup>22</sup>		0.3		0.3		0.5
<b>Total</b>	<b>\$231.3</b>	<b>\$8.8<sup>23</sup></b>	<b>\$6.1</b>	<b>\$14.9</b>	<b>6.4%</b>	<b>\$20.7</b>

<sup>17</sup> Increase/decrease of less than \$50,000 shows as NA.

<sup>18</sup> Includes OIT, Human Resources and Provost Office Administration

<sup>19</sup> Library increase does not include \$100,000 previously committed to the libraries and to be distributed according to library directors plan, library portion of institution-wide increase in Indirect Cost recoveries, or library share of trademark and licensing revenue.

<sup>20</sup> Research Grant Administration in OIT funded from \$1M reallocation. Does not include \$288,000 increase for OSURF. OSURF will be included in GL in FY05.

<sup>21</sup> Does not include revenue collected from new student recreation fee that is earmarked for recreation center debt service and operations.

<sup>22</sup> University Development's budget is primarily funded by earnings on Development funds. The FY05 budget and was increased in total by \$1.3 million to support increased costs of providing existing services and to expand support for the Arts and Sciences, the Medical Center and WOSU, and enhance current technology. This year Development received general funds to supplement lower than historical levels of earnings on development funds.

<sup>23</sup> Does not match Academic Support Unit total on page 19. Numbers on this table include: Grad Fellows in Graduate School budget \$900,000, Support Unit's Teaching Credit Hours Fee allocations of \$440,000 (mostly OAA), and Indirect Cost Recoveries of \$590,000 (mostly Research and Health Sciences).

Marginal increases in revenues are budgeted according to the priorities of the Academic Plan and include:

- Increased student financial aid
- Competitive faculty and staff salaries
- Student activity fee and new recreation fee to support out-of-classroom student experiences
- Enhanced student services
- Research support, with a special emphasis on the removal of barriers to multidisciplinary research
- Technological support
- Outreach and engagement

**Increased Student Financial Aid** – A significant portion of the FY 2005 growth in revenues, 33%, was allocated to student financial aid. Financial aid funds are increased at a rate that ensures students who are otherwise qualified will not be denied admission for financial reasons. As required by state law and mandated by the Ohio State Board of Trustees in the resolution approving the 12.9% increase in undergraduate tuition, the revenue generated by 3.9% of the undergraduate tuition increase is set aside for financial aid for low-income students and enhancements in student technology. \$5.35 million of the revenues generated by the 3.9% portion of the increase are set aside for undergraduate student financial aid specifically for low-income students. This raises the amount budgeted for undergraduate student financial aid in FY 2005 by \$11.3 million above FY 2004 levels. An additional \$10.1 million is budgeted to support the growth in graduate fee authorizations.

**Competitive faculty and staff salaries** – Pay increases for faculty in FY 2005 averaged 3.3%. Some colleges reallocated current budget to reach the desired pay raise level; others were able to fund pay increases from revenue growth. The variance in the average pay increases is a reflection of the competitiveness of the faculty salaries with each department's benchmark institutions.

University staff pay increases in FY 2005 averaged 3.2% for unclassified staff and 3.1% for classified staff across the university. Staff pay increases are partially funded by a reallocation of existing budget. In some instances, this was accomplished by not filling vacant positions, in other cases by using internal funding sources or by reducing operating costs.

Beginning in FY 2006, the effective date of annual salary increases for 12-month faculty and non-bargaining unit staff will move from July 1 (or the first pay period for employees paid biweekly) of each fiscal year to October 1 (or the corresponding pay period for biweekly). To help offset the effects on future income and on pension calculations, affected faculty and staff will be given a one-time base salary adjustment effective October 1, 2004. This adjustment will be calculated as  $\frac{1}{4}$  of the faculty or staff member's FY 2005 regularly scheduled annual increase.

**Student Activity and Recreation Fees** – The \$15-per-quarter student activity fee for all students who first enrolled Autumn Quarter 2003 or later is being phased in over three years, and is anticipated to generate approximately \$2.2 million annually by FY 2006, when all students will pay the fee. This funding will support undergraduate, graduate and professional out-of-the-classroom student experiences including student government, clubs and student-sponsored activities. The student activity fee revenue is to be supplemented with one-time funds during FY 2004 and 2005, thus permitting these programs to operate before the fee goes into full effect.

The flat \$12-per-quarter recreation fee that goes into effect Autumn Quarter 2004 will be used for the support of the new satellite recreation center. This fee will increase to \$42 when the first phase of the new main recreation building is complete in spring or summer 2005, and will increase again, to \$72, in spring or summer 2006 as the final phase of the project is completed. Using this fee revenue, the recreation center will be self-supporting.

**Research Support** – \$1.3 million is budgeted to increase research capacity at the Comprehensive Cancer Center. Funds are also budgeted for an Associate Vice-President for Research and the operation of the Office of Technology Partnerships/Licensing. \$1.5 million is set aside as the first of four installments to cover the cost of the new research grants management system.

**Technology** – \$3.4 million generated by 3.9% of the undergraduate tuition increase is set aside for enhancements of student technology. In addition, continued support is provided for the on-going operations of the Office of Information Technology.

Other significant budget allocations include:

**President's Strategic Investment** – The President's Strategic Investment funds provide discretionary funds to the president to invest in academic priorities. For FY 2005, almost \$9 million is budgeted for the continuation of such programs as Arts and Humanities Seed Grants and Freshman Seminars, as well as Medical Center support and the expansion of laboratory animal facilities. Also included is funding for the promotion of interdisciplinary programs and the continuation of interdisciplinary grants. Funding for programs that extend outreach and engagement into the community includes \$1 million for the Weinland Park Child Development Center, \$75,000 for a study of the feasibility of a university living-learning community for senior citizens, and \$500,000 to support WOSU's partnership with the Center of Science and Industry.

**Success Challenge** – \$220,000 in state Success Challenge funds awarded to Ohio State based on timely graduation by Ohio resident students and graduation of at-risk students has not yet been allocated. Success funds will be distributed to strengthen initiatives promoting timely student graduation and degree completion by at-risk students.

**Other Service Improvements and Mandates** – An additional \$465,000 continuing and \$573,000 one-time funding is budgeted in response to legal mandates, primarily related to health and safety, including the Americans with Disabilities Act (ADA), the federal Student and Exchange Visitor Information System (SEVIS) requirements, and Environmental Protection Agency (EPA) requirements in regard to street sweeping and storm water. An additional \$.5 million in continuing funds is budgeted to cover service improvements, including library improvements, the establishment of a government relations office in Washington, D.C., additional reviewers for undergraduate applications, and improvements to the university's human resources and financials reporting systems.

### **Support of Facilities**

In addition to funding salary and benefit increases, the colleges and support units funded from their net marginal resources an estimated \$5.9 million inflationary increase in budgeted facility costs including utilities, rent, repair and renovations.

In response to a resolution adopted at the April 2004 meeting of the Board of Trustees, an endowment was established to address long-term maintenance and renovation needs of all general fund space added since FY 2000. The source of this funding is a deferred maintenance fee assessed to all general funds units, to be prorated by assignable square feet. This surcharge, which is to be added to the annual plant, operations, and maintenance charges of these units, is to be phased in over a three-year period, starting at the rate of \$0.06 per assignable square foot in FY 2005. The first projects to use these funds are not expected to occur until 2015. Funding of these expenses for general funds space assigned as of FY 2000 continues to come from central funds.

The major auxiliary operations, regional campuses, the Ohio Agricultural Research and Development Center, and the Agricultural Technical Institute are each responsible for funding maintenance and renewal of their own facilities, and were required to have plans and funding mechanisms for these expenses approved by June 30, 2004.

FY 2005 Allocation of Increased General Fund Support (in Millions)		
Focused Initiatives	Continuing Funds	Additional One-Time Funds
Cutting Edge Research	\$2.2	\$12.8
Distinctive Undergrad Education <sup>24</sup>	11.5	2.0
Outreach and Engagement	0.2	2.5
Teaching/Learning Environment	7.1	2.1
Funds to Colleges	26.3	0
<b>Sub Total</b>	<b>\$47.3</b>	<b>\$19.4</b>
Other Financial Aid	\$10.1	0
Support Unit Operations <sup>25</sup>	6.1	0
All Other	1.7	3.5
<b>Sub total</b>	<b>\$17.9</b>	<b>\$3.5</b>
<b>Grand Total</b>	<b>\$65.2</b>	<b>\$22.9</b>

<sup>24</sup> Includes undergraduate student financial aid.

<sup>25</sup> Does not include Graduate School Fee Authorizations which are included in Financial Aid, OSUE, Continuing Education & OARDC which are included in Research.

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## IV. Multi-Year Commitments

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### Multi-Year Commitments

*(All figures in millions of \$)*

The documentation of multi-year commitments has been part of the annual budget report every year since FY 1996. The purpose of this review is to share with the campus community a sense of what these commitments are and how they change from year to year. In order to plan effectively, the university needs to be able to make commitments across fiscal years, but do so in a way that does not jeopardize future financial flexibility.

#### Continuing General Funds

The following table lists the explicit multi-year commitments against continuing general funds. The Provost's Strategic Investment Fund is a continuation of existing commitments. Campus Partners continuing general fund commitments include projected rental costs for the move of Human Resources and other units into Gateway in FY 2006. GA benefits are contributions made by the university toward the costs of graduate assistant health care benefits in order to keep the student's cost at \$150 per quarter. Cancer Center Match is to fund ten percent of the marginal increase in indirect cost recoveries on cancer research over a five year period. OIT PeopleSoft Conversion is the second year of a three year plan to support the conversion to PeopleSoft. Enrollment Plan is to enhance the quality of undergraduate recruitment. Research Compliance and Physical Facilities Compliance are to fund government mandates. Government Relations is for the support of the Washington, D.C. office. All amounts are additional funds required in that year.

Commitment	FY05 New	FY 06 Est.	FY 07 Est.
Provost Strategic Investment Fund	\$2.0	\$2.0	\$2.0
Campus Partners	0.5	0.5	0.0
Budget Rebased	2.8	1.4	1.4
GA Benefits	1.1	0.5	0.9
Cancer Center Match <sup>26</sup>	0.3	TBD	TBD
OIT PeopleSoft Conversion	0.5	0.5	
Enrollment Plan	0.5	1.0	TBD
Research Compliance	0.1	0.1	
Physical Facilities Compliance	0.1	0.1	
Government Relations	0.1	0.1	
<b>Total</b>	<b>\$8.0</b>	<b>\$6.2</b>	<b>\$4.3</b>



## Budget Rebasing

In accordance with the Academic Plan and the principles of the revised budget process, it was determined that some colleges' base budgets were not in alignment with the goals of the Academic Plan. The table below documents the rebasing transfers to and from these colleges over a five-year period beginning in FY 2002. As of FY 2005, six of the seven colleges entitled to rebasing transfers have access to funds equal to the minimum five-year rebasing goal.

College	Total Thru FY04	FY05	Total Thru FY05	5 Year Goal
Humanities	\$1.75	\$0.75	\$2.50	\$2.50-\$4.40
Social & Behavioral Sciences	0.77	0.33	1.10	1.10
Biological Sciences	0.45	0.15	0.60	0.60
<b>Arts &amp; Sciences Subtotal</b>	<b>\$2.97</b>	<b>\$1.23</b>	<b>\$4.20</b>	<b>\$4.2 – 6.1</b>
Medicine	4.90	1.40	5.70 <sup>27</sup>	6.70
Optometry	0.38	0.12	0.50	0.50
Social Work	0.22	0.08	0.30	0.30
Human Ecology	0.20		0.20	0.20
<b>Subtotal</b>	<b>\$8.67</b>	<b>\$2.83</b>	<b>\$10.90</b>	<b>\$11.90-13.80</b>
Nursing	(0.04)		(0.04)	(0.10-0.30)
Pharmacy	(0.04)		(0.04)	(0.10-0.60)
Dentistry	(0.49)		(0.49)	(1.20-2.10)
<b>Subtotal</b>	<b>(0.57)</b>		<b>(0.57)</b>	<b>(1.40-3.00)</b>
<b>Net Transfers</b>	<b>\$8.10</b>	<b>\$2.83</b>	<b>\$10.33</b>	<b>\$8.90-12.40</b>

The desired ceiling for multi-year commitments is that commitments in the succeeding three years not exceed 1% of the current year's budget. This guideline was established in the mid 1990's in order to preserve future financial flexibility. One percent of the FY 2005 Columbus campus general funds budget is \$9.8 million. The total of new multi-year commitments in FY 2006 and FY 2007 equals \$7.7 million in excess of budget rebasing commitments. Therefore, caution needs to be exercised in making additional future multi-year commitments until the university's financial picture improves.

In addition to the specific multi-year commitments listed above, the university will continue to need to fund increases in a number of areas of the general funds budget. These include:

- Competitive annual compensation increases for faculty, staff and student employees
- Support of research
- Deferred maintenance and other capital needs
- Academic and administrative computing needs

<sup>26</sup>Comprehensive Cancer Center Match figure of \$300,000 is an estimate, and will be based on a formula yet to be finalized. FY 2005 figure is one time only. Founding source for continuing commitment will be identified in FY 2006.

<sup>27</sup> Rebasing total through FY 2005 includes \$3.9M new annual rate and \$1.8M of the PSR cash. A total of \$2.8M in continuing rebasing funds is to be transferred in FY 2006 and 2007.

- Student financial aid
- Unfunded legal mandates
- Diversity and outreach
- Other Academic Plan initiatives

### **One-Time General Funds**

In FY 2005, \$2.0 million of the commitment to the Medical Center support of \$5.0 million per year for five years will be transferred from the President's Strategic Investment Fund and \$3.0 million from one-time general funds. The sources for the remaining commitment in future years still need to be identified. The WOSU Digital TV conversion is a two year commitment that ends in FY 2006.

<b>Commitment</b>	<b>Initial FY</b>	<b>FY 05 Inc</b>	<b>FY 06 Est.</b>	<b>FY 07 Est.</b>	<b>FY 08 Est.</b>	<b>FY 09 Est.</b>
Medical Center Support	2005	\$5.00	\$5.00	\$5.00	\$5.00	\$5.00
WOSU Digital TV Conversion	2005	0.17	0.17	0	0	0

### **President's Strategic Investment Fund**

In October 2000, the University Board of Trustees approved the creation of the President's Strategic Investment Fund. The purpose of this fund is to allow the President to direct one-time resources into areas of strategic investment supportive of the Academic Plan.

Resources for the President's Strategic Investment Fund come from both general funds freed up by moving Development off the General Fund and from a portion of the Research Challenge Appropriation. These are one-time funds only. The Board resolution prohibits use of these resources for continuing commitments. Use of these funds is at the President's discretion and must be reported annually to the Board of Trustees. Commitments to date total \$41.43 million and are distributed as identified in the following table.

**The Strategic Investment Fund  
Planned Resources and Commitments**

<b>Resources:</b>		<b>FY04</b>	<b>FY05</b>	<b>FY06</b>	<b>FY07</b>	<b>Total</b>
Research Challenge A/R <sup>28</sup>		\$2.58	\$2.54			
Development A/R		5.45	5.45			
Cash Carried Forward		13.55	11.95	5.16	1.59	
<b>Total Available</b>		<b>\$21.57</b>	<b>\$19.95</b>	<b>\$5.16</b>	<b>\$1.59</b>	
<b>Commitments:</b>	<b>Previous Expenditures</b>					
Bio Mems Facility (Micro MD)	\$5.70					\$5.70
Medical Informatics	2.75	1.25				4.00
P-12 Initiative	.37	.17	.20			.74
World Class Faculty		.38				.38
Library Acquisitions	.71					.71
Grad Student Diversity Recruit	.36					.36
Math, Biosciences Institute	.20					.20
COMPH Pharmacology			.93	.93		1.85
Main Library Renovation			.30			.30
Biomedical Research Tower		2.40	1.80	.80		5.00
Outreach & Engagement	.34	.15				.49
Technology Transfer	.80	.80	.80			2.40
Undergrad Experience	.60	.60	.60	.60	.60	3.00
Comp Cancer Center Base Supp		1.00				1.00
Comp Cancer Center Match			.30			.30
Interdisciplinary Research		.50				.50
Humanities & Arts Seed Grants		.50	.30	TBD		.80
Freshman Seminars		.25				.25
Campus Beautification		.25				.25
Technology Transfer Innovations		.50				.50
Regional Campus Incentive Prog		.25				.25
Research Support		.33				.33
Student Activity Fee Phase-in		.30	1.20			1.50
Weinland Park Child Care			1.00			1.00
Grad School Interdisciplinary Maj			.25			.25
WOSU/COSI Partnership			.50			.50
Senior Living Project			.11			.11
ULAR Lab Animal Match			1.50			1.50
Interdisciplinary Research			1.00			1.00
School of Public Health			2.00	.50	.50	3.00
Additional Medical Support			2.00			2.00
Math & Bioscience Institute				.75	.50	1.25
<b>Total Commitments</b>	<b>\$11.83</b>	<b>\$9.63</b>	<b>\$14.79</b>	<b>\$3.58</b>	<b>\$1.60</b>	<b>\$41.43</b>
<b>Uncommitted Funds</b>		<b>\$11.95</b>	<b>\$5.16</b>	<b>\$1.59</b>	<b>(\$ .01)</b>	

<sup>28</sup> Represents 35.5% of Research Challenge budget.

These figures do not include capital commitments which will be addressed as part of the biennial capital planning process.

**Conclusions**

Financial projections indicate that if present trends continue, the university will have sufficient funds to cover its commitments, but will not have discretionary funds available to embark on other significant new initiatives. Thus, if any new initiatives are desired or existing initiatives expanded, the university needs to reduce other commitments or secure additional funds.

## SELECTED DEFINITIONS

**Current Funds** are those funds that are earned and expended in the current fiscal year. They include the General Fund, Earnings Operations and Restricted Funds. Excluded entirely from this report are Non-Current Funds such as Plant Funds, Loan Funds and Endowment Principal.

**General Funds** are unrestricted resources available for allocation in support of core instruction; instructional support and related general administrative and physical plant expenditures.

**Earnings Operations** are also unrestricted with resources generated from the sales and services of the earnings units. While not a requirement, these resources are generally designated to the unit generating the revenue. Included are the Hospitals & CHRI, Auxiliaries and departmental earnings units.

**Auxiliaries** are specifically identified by the State as the following earnings operations: Residence & Dining Halls, Intercollegiate Athletics, Student Unions, Bookstores, Transportation & Parking, Fawcett Center, University Airport and Property Management.

**Unrestricted** refers to the sum of general funds plus earnings operations.

**Restricted Funds** are funds whose use has been designated by an external agency or individual and limited to support a specific purpose and/or unit. Included is Sponsored Programs.

**Instruction and Departmental Research** includes all direct and applicable allocated expenditures for all activities that are part of the University's instructional program. It includes expenditures for departmental research and public service that are not separately budgeted.

**Academic Support** includes all funds expended for activities carried out primarily to provide support services that are an integral part of the operations of one of the three primary missions - instruction, research and public service. Included in this category are Academic Affairs Administration, Libraries, Museums & Galleries and the Deans' offices.

**Student Services** includes funds expended for those activities whose primary purpose is to contribute to students' emotional and physical well being, as well as their cultural and social development outside the context of the formal instructional program. Included in this category are Admissions and Registration, Counseling, Student Health Service, Recreation & Intramural Sports, Student Financial Aid and the Student unions.

***Institutional Support*** contains expenditures for operations that provide support services to the total University. Included in this category are Executive Management, Business and Finance, Human Resources, University Relations and Development.

***Plant, Operations and Maintenance*** includes all expenditures of current funds for the operation and maintenance of the physical plant, net of amounts charged to auxiliary operations and hospitals. Included in this category are utilities, repair and renovations, custodial services, grounds maintenance, space rental and property insurance.

***Separately Budgeted Research*** includes all expenditures for activities specifically organized to produce research outcomes, whether commissioned by an external agency to the University (restricted) or the University (unrestricted) and includes matching funds applicable to the conditions set forth by the grant or contract. It does not include training grants or equipment grants.

***Public Service*** includes all funds expended for activities that are established primarily to provide non-credit designated course offerings and services beneficial to individuals and groups external to the University. Included in this category are Continuing Education and Cooperative Extension Services.

***Scholarships and Fellowships*** include expenditures in the form of outright grants and trainee stipends to individuals enrolled in formal coursework, either for credit or non-credit.

***One-Time Funds*** are cash payments made to colleges and departments on a discretionary basis. The source of the funds is the cash balance carried forward from the previous year.

# SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES TOTAL UNIVERSITY (IN THOUSANDS)

2003-04 Revised Budget	2004-05 Revised Budget	Dollar Change	Percent Change
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## **RESOURCES**

### **Government Support**

State

Federal

Local

Subtotal Government Support

### **Student Fees**

Instructional, General & Tuition

Other

Subtotal Student Fees

### **Other Resources**

Health System

Auxiliaries

Departmental Sales & Services

Private Grants & Contracts

Other

Subtotal Other Resources

Total Resources

472,207	472,410	203	0.0%
268,520	310,938	42,418	15.8%
27,206	31,224	4,018	14.8%
767,933	814,572	46,639	6.1%
500,902	560,421	59,519	11.9%
20,965	23,655	2,690	12.8%
521,867	584,076	62,209	11.9%
891,381	1,058,132	166,751	18.7%
204,305	211,296	6,991	3.4%
80,615	91,740	11,125	13.8%
233,488	256,025	22,537	9.7%
39,518	47,226	7,708	19.5%
1,449,307	1,664,419	215,112	14.8%
2,739,107	3,063,067	323,960	11.8%

## **EXPENDITURES**

Instructional & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

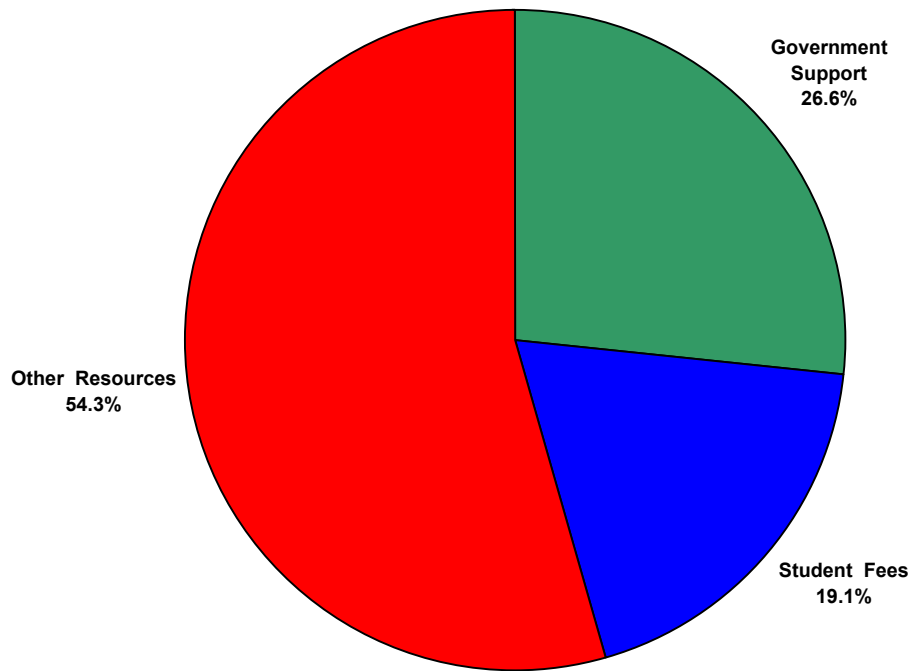
Total Expenditures

1,041,989	1,117,416	75,427	7.2%
333,098	366,915	33,817	10.2%
119,260	121,420	2,160	1.8%
128,682	161,425	32,743	25.4%
212,646	217,282	4,636	2.2%
888,346	1,057,037	168,691	19.0%
2,724,021	3,041,495	317,474	11.7%

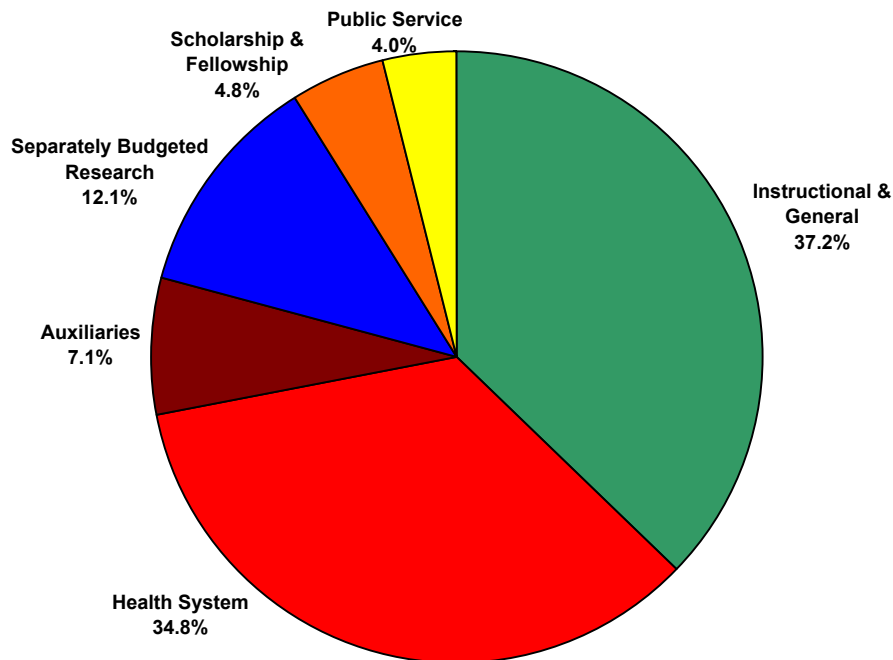
(1) Health Systems budget includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Ross Heart Hospital, Harding Hospital, and Hospital Physician Network.

# SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES TOTAL UNIVERSITY - FY 2005

## RESOURCES BY SOURCE



## EXPENDITURES BY FUNCTION





# SUMMARY OF ANNUAL STUDENT FEES COLUMBUS CAMPUS

INSTRUCTIONAL & GENERAL FEES				NONRESIDENT SURCHARGE			
FY 2004 Fees	FY 2005 Fees	Dollar Change	Percent Change	FY 2004 Fees	FY 2005 Fees	Dollar Change	Percent Change
<b>Undergraduate:</b>							
(1)							
Tier 1	5,991	6,765	774 12.9%	9,987	10,587	600 6.0%	
Tier 2	6,540	7,383	843 12.9%	9,987	10,587	600 6.0%	
Tier 3	6,624	7,479	855 12.9%	9,987	10,587	600 6.0%	
<b>Graduate Programs:</b>							
Graduate	7,206	8,142	936 13.0%	11,211	11,883	672 6.0%	
MLHR	7,575	8,448	873 11.5%	11,211	11,883	672 6.0%	
MBA	13,635	14,970	1,335 9.8%	11,211	11,883	672 6.0%	
Part-time MBA	13,635	14,943	1,308 9.6%	11,211	11,883	672 6.0%	
EMBA	31,749	34,926	3,177 10.0%	11,211	11,883	672 6.0%	
Master of Accounting	17,556	18,594	1,038 5.9%	11,211	11,883	672 6.0%	
Health Administration	8,556	9,669	1,113 13.0%	11,211	11,883	672 6.0%	
Public Health MPH	7,620	8,607	987 13.0%	11,211	11,883	672 6.0%	
Public Health PEP	7,620	8,607	987 13.0%	11,211	11,883	672 6.0%	
MPT	7,866	8,886	1,020 13.0%	11,211	11,883	672 6.0%	
MOT	7,227	8,142	915 12.7%	11,211	11,883	672 6.0%	
<b>Professional:</b>							
Pharmacy	9,591	10,707	1,116 11.6%	11,925	12,642	717 6.0%	
Dentistry	16,020	18,297	2,277 14.2%	23,022	24,402	1,380 6.0%	
Optometry	12,369	13,095	726 5.9%	23,022	24,402	1,380 6.0%	
Veterinary Medicine	14,589	16,305	1,716 11.8%	23,796	25,224	1,428 6.0%	
Law	13,024	14,298	1,274 9.8%	12,106	12,832	726 6.0%	
Medicine	19,278	21,897	2,619 13.6%	24,558	26,031	1,473 6.0%	
(3)							

## Notes:

Full time fees for Undergraduate Students are for 12+ credit hours.

Full time fees for Graduate and Professional Students are for 10+ credit hours.

- (1) Tier 1 are students who were first enrolled prior to Summer 2002.  
Tier 2 are students who were first enrolled between Summer 2002 and Spring 2003.  
Tier 3 are students who were first enrolled after Spring 2003.
- (2) This program will have its own differential fee for the first time. Previously, it was included in the regular MBA differential fee.
- (3) Medicine Level 1 fees. Medicine will offer tuition credits to students in Levels 2, 3 and 4 bringing their effective instructional fee lower than Level 1 by 1.7% for Level 2, 5.9% for Level 3, and 8.4% for Level 4.

# DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

## TOTAL UNIVERSITY BY FUND

(IN THOUSANDS)

2003-04 Revised Budget	2004-2005			Total Budget	Percent Change
	General	Earnings	Restricted		

### RESOURCES

#### Government Support

##### State Support

State Share of Instruction	320,945	322,237	0	0	322,237	0.4%
Appropriations	113,665	22,311	0	93,292	115,603	1.7%
Ohio Grants & Contracts	37,597	1,530	0	33,040	34,570	-8.1%
Subtotal State Support	472,207	346,078	0	126,332	472,410	0.0%
Federal Grants & Contracts	268,520	49,812	0	261,126	310,938	15.8%
Local Grants & Contracts	27,206	5,223	0	26,001	31,224	14.8%
Subtotal Government	767,933	401,113	0	413,459	814,572	6.1%

#### Student Fees

Instructional, General and Tuition	500,902	560,421	0	0	560,421	11.9%
Other	20,965	17,641	6,014	0	23,655	12.8%
Subtotal Student Fees	521,867	578,062	6,014	0	584,076	11.9%

#### Other Resources

Health System	891,381	0	1,058,132	0	1,058,132	18.7%
Auxiliary Sales & Services	204,305	0	211,296	0	211,296	3.4%
Departmental Sales & Services	80,615	0	91,740	0	91,740	13.8%
Private Grants & Contracts	233,488	10,675	0	245,350	256,025	9.7%
Endowment Income	21,050	5,250	0	17,600	22,850	8.6%
Investment Income	11,247	11,075	1,300	0	12,375	10.0%
Other	7,221	6,607	5,394	0	12,001	66.2%
Subtotal Other	1,449,307	33,607	1,367,862	262,950	1,664,419	14.8%

#### Total Resources

	2,739,107	1,012,782	1,373,876	676,409	3,063,067	11.8%
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### EXPENDITURES

#### Instruction & General

Instruction & Departmental Research	665,592	548,393	59,873	94,247	702,513	5.5%
Academic Support	114,285	113,485	1,690	16,145	131,320	14.9%
Student Services	75,649	69,983	6,830	1,629	78,442	3.7%
Institutional Support	100,827	69,199	5,324	45,925	120,448	19.5%
Plant, Operations & Maintenance	85,636	77,266	613	6,815	84,694	-1.1%
Subtotal Instruction & General	1,041,989	878,326	74,329	164,761	1,117,416	7.2%

#### Separately Budgeted Research

Public Service	333,098	33,579	8,618	324,718	366,915	10.2%
Scholarships & Fellowships	119,260	9,264	15,531	96,625	121,420	1.8%
Auxiliaries	128,682	89,534	36	71,855	161,425	25.4%
Health System	212,646	1,965	206,867	8,450	217,282	2.2%
	888,346	0	1,047,037	10,000	1,057,037	19.0%

#### Total Expenditures

	2,724,021	1,012,668	1,352,418	676,409	3,041,495	11.7%
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- (1) Health System budget includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Ross Heart Hospital, Harding Hospital, and Hospital Physician Network.
- (2) Restricted Endowment reflects reclassification of some revenue to Private Grants & Contracts.
- (3) General funds expenditures reflects a new budgeting methodology whereby the budget more closely tracks expenditures in accordance with the financial statements.

# **DETAIL OF BUDGETED RESOURCES AND EXPENDITURES** **COLUMBUS CAMPUS BY FUND** (IN THOUSANDS)

2003-04 Revised Budget	2004-2005			Percent Change
	General	Earnings Restricted	Total Budget	

## **RESOURCES**

### **Government Support**

#### **State Support**

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

### **Student Fees**

Instructional, General and Tuition

Other

Subtotal Student Fees

### **Other Resources**

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

### **Total Resources**

299,998	301,457			301,457	0.5%
74,906	18,932	56,688		75,620	1.0%
36,389	1,530	32,000		33,530	-7.9%
411,293	321,919	0	88,688	410,607	-0.2%
254,560	49,812	247,015		296,827	16.6%
27,185	5,196	26,000		31,196	14.8%
693,038	376,927	0	361,703	738,630	6.6%
470,334	526,039			526,039	11.8%
20,032	16,793	6,000		22,793	13.8%
490,366	542,832	6,000	0	548,832	11.9%
(1) 891,381	1,058,132			1,058,132	18.7%
204,220	211,187			211,187	3.4%
77,000	88,000			88,000	14.3%
230,758	10,675	242,500		253,175	9.7%
(2) 20,750	5,250	17,000		22,250	7.2%
10,350	10,350	1,300		11,650	12.6%
5,964	5,260	5,000		10,260	72.0%
1,440,423	31,535	1,363,619	259,500	1,654,654	14.9%
2,623,827	951,294	1,369,619	621,203	2,942,116	12.1%

## **EXPENDITURES**

### **Instruction & General**

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

### **Separately Budgeted Research**

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

(3)

633,233	516,767	58,826	93,000	668,593	5.6%
103,621	105,959	60	15,000	121,019	16.8%
68,722	61,566	6,808	1,550	69,924	1.7%
93,536	61,795	5,315	45,000	112,110	19.9%
75,766	72,016	607	2,500	75,123	-0.8%
974,878	818,103	71,615	157,050	1,046,768	7.4%
295,615	33,529	8,618	285,703	327,850	10.9%
116,582	9,264	13,731	95,000	117,995	1.2%
121,294	88,610	36	65,000	153,646	26.7%
212,562	1,965	206,629	8,450	217,044	2.1%
(1) 888,346	1,047,037	10,000		1,057,037	19.0%
				0	
2,609,277	951,471	1,347,666	621,203	2,920,340	11.9%

### **Total Expenditures**

(1) Health Systems budget includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Ross Heart Hospital, Harding Hospital, and Hospital Physician Network.

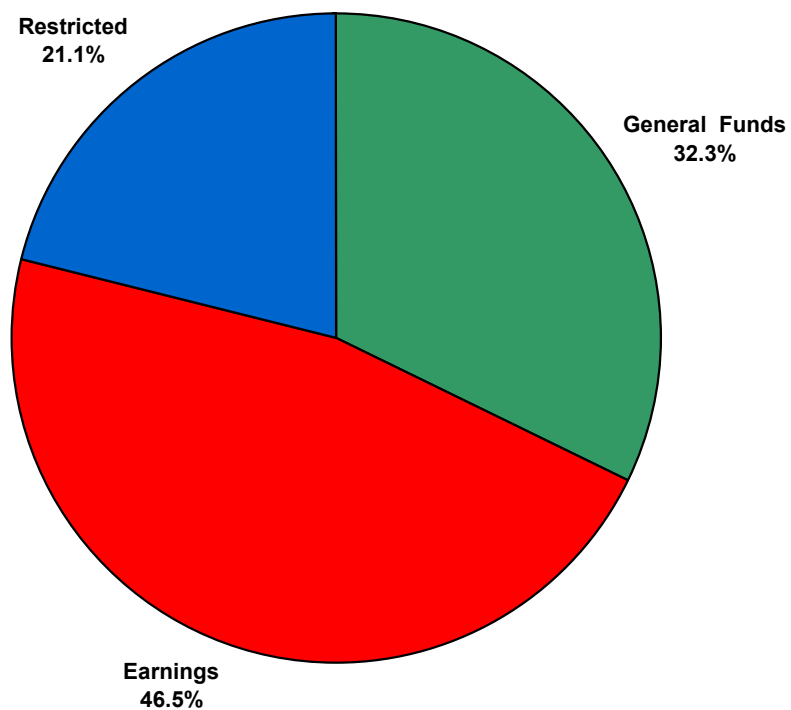
(2) Restricted Endowment reflects reclassification of some revenue to Private Grants & Contracts.

(3) General funds expenditures reflects a new budgeting methodology whereby the budget more closely tracks expenditures in accordance with the financial statements.

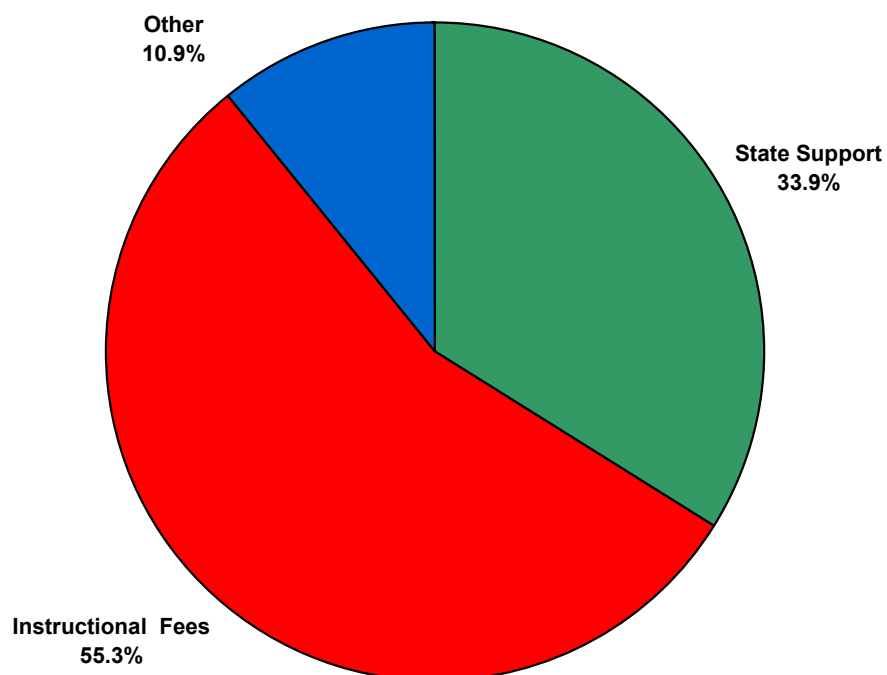
# BUDGETED RESOURCES

## Columbus Campus - FY 2005

### RESOURCES BY FUND



### GENERAL FUNDS BY SOURCE



# DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

## LIMA CAMPUS BY FUND

(IN THOUSANDS)

2003-04 Revised Budget	2004-2005			Percent Change
	General	Earnings Restricted	Total Budget	

### RESOURCES

#### Government Support

##### State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

#### Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

#### Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

#### Total Resources

3,978	3,875			3,875	-2.6%
673	648			648	-3.7%
140		140		140	0.0%
4,791	4,523	0	140	4,663	-2.7%
1,220			1,410	1,410	15.6%
	27			27	
6,011	4,550	0	1,550	6,100	1.5%
5,490	6,335			6,335	15.4%
513	325	14		339	-33.9%
6,003	6,660	14	0	6,674	11.2%
				0	
				0	
				0	
				0	
110	110			110	0.0%
473	762	394		1,156	144.4%
583	872	394	0	1,266	117.2%
12,597	12,082	408	1,550	14,040	11.5%

### EXPENDITURES

#### Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

#### Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

#### Total Expenditures

6,536	7,235	100		7,335	12.2%
1,271	1,518	50		1,568	23.4%
1,013	1,112	25		1,137	12.2%
1,197	1,193	50		1,243	3.8%
867	982	5		987	13.8%
10,884	12,040	0	230	12,270	12.7%
35		45		45	28.6%
619		408	25	433	-30.0%
1,050			1,250	1,250	19.0%
				0	
				0	
				0	
12,588	12,040	408	1,550	13,998	11.2%

# **DETAIL OF BUDGETED RESOURCES AND EXPENDITURES** **MANSFIELD CAMPUS BY FUND** **(IN THOUSANDS)**

2003-04 Revised Budget	2004-2005			Percent Change
	General	Earnings Restricted	Total Budget	

## **RESOURCES**

### Government Support

#### State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

### Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

### Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

### Total Resources

4,025	3,920		3,920	-2.6%	
1,158	677	391	1,068	-7.8%	
265		250	250	-5.7%	
5,448	4,597	0	5,238	-3.9%	
1,340		1,024	1,024	-23.6%	
			0		
6,788	4,597	0	1,665	6,262	-7.7%
6,422	6,594		6,594	2.7%	
118	200		200	69.5%	
6,540	6,794	0	0	6,794	3.9%
			0		
32	46		46	43.8%	
396	416		416	5.1%	
30		30	30	0.0%	
			0		
291	240		240	-17.5%	
166	120		120	-27.7%	
915	360	462	30	852	-6.9%
14,243	11,751	462	1,695	13,908	-2.4%

## **EXPENDITURES**

### Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

### Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

### Total Expenditures

5,639	5,658	120	5,778	2.5%	
1,500	1,163	14	40	1,217	-18.9%
1,458	1,564	20	1,584	8.6%	
1,725	1,574	3	30	1,607	-6.8%
1,073	999	75	1,074	0.1%	
11,395	10,958	17	285	11,260	-1.2%
111	50	90	140	26.1%	
414	418		418	1.0%	
2,276	740	1,320	2,060	-9.5%	
32	175		175	446.9%	
			0		
			0		
14,228	11,748	610	1,695	14,053	-1.2%

# DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

## MARION CAMPUS BY FUND

(IN THOUSANDS)

2003-04 Revised Budget	2004-2005			Percent Change
	General	Earnings Restricted	Total Budget	

### RESOURCES

#### Government Support

##### State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

#### Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

#### Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

#### Total Resources

3,496	3,519			3,519	0.7%
673	688	6		694	3.1%
200		200		200	0.0%
4,369	4,207	0	206	4,413	1.0%
1,500			2,200	2,200	46.7%
				0	
5,869	4,207	0	2,406	6,613	12.7%
6,596	7,644			7,644	15.9%
100	105			105	5.0%
6,696	7,749	0	0	7,749	15.7%
				0	
				0	
423		96		96	-77.3%
200			300	300	50.0%
				0	
216	125			125	-42.1%
233	64			64	-72.5%
1,072	189	96	300	585	-45.4%
13,637	12,145	96	2,706	14,947	9.6%

### EXPENDITURES

#### Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

#### Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

#### Total Expenditures

5,713	5,931	68	70	6,069	6.2%
2,088	2,124	2	35	2,161	3.5%
1,592	1,731	22	29	1,782	11.9%
1,360	1,246	1	750	1,997	46.8%
905	832	6	2	840	-7.2%
11,658	11,864	99	886	12,849	10.2%
				0	
225			300	300	33.3%
1,612	184		1,520	1,704	5.7%
1				0	-100.0%
				0	
				0	
13,496	12,048	99	2,706	14,853	10.1%

# DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

## NEWARK CAMPUS BY FUND

(IN THOUSANDS)

2003-04 Revised Budget	2004-2005			Percent Change
	General	Earnings Restricted	Total Budget	

### RESOURCES

#### Government Support

##### State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

#### Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

#### Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

#### Total Resources

5,156	5,022		5,022	-2.6%	
1,153	963	123	1,086	-5.8%	
53		150	150	183.0%	
6,362	5,985	0	273	6,258	-1.6%
1,500		1,677	1,677	11.8%	
			0		
7,862	5,985	0	1,950	7,935	0.9%
8,399	10,020		10,020	19.3%	
202	218		218	7.9%	
8,601	10,238	0	0	10,238	19.0%
			0		
53	63		63	18.9%	
			0		
			0		
			0		
255	225		225	-11.8%	
244	260		260	6.6%	
552	485	63	0	548	-0.7%
17,015	16,708	63	1,950	18,721	10.0%

### EXPENDITURES

#### Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

#### Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

#### Total Expenditures

8,454	8,146	50	8,196	-3.1%	
1,692	1,897		1,897	12.1%	
2,016	3,123		3,123	54.9%	
1,808	2,185	75	2,260	25.0%	
1,410	1,340		1,340	-5.0%	
15,380	16,691	0	125	16,816	9.3%
20	60		60	200.0%	
			0		
1,400	1,765		1,765	26.1%	
51	63		63	23.5%	
			0		
			0		
16,851	16,691	63	1,950	18,704	11.0%



# DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

## AGRICULTURAL TECHNICAL INSTITUTE BY FUND

(IN THOUSANDS)

2003-04 Revised Budget	2004-2005			Percent Change
	General	Earnings Restricted	Total Budget	

### RESOURCES

#### Government Support

##### State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

#### Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

#### Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

#### Total Resources

4,292	4,444			4,444	3.5%
705	403	254		657	-6.8%
200		300		300	50.0%
5,197	4,847	0	554	5,401	3.9%
1,400			1,300	1,300	-7.1%
1			1	1	0.0%
6,598	4,847	0	1,855	6,702	1.6%
3,661	3,789			3,789	3.5%
				0	
3,661	3,789	0	0	3,789	3.5%
				0	
				0	
1,556		1,638		1,638	5.3%
		20		20	
				0	
25	25			25	0.0%
141	141			141	0.0%
1,722	166	1,638	20	1,824	5.9%
11,981	8,802	1,638	1,875	12,315	2.8%

### EXPENDITURES

#### Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

#### Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

#### Total Expenditures

5,617	4,656	979	407	6,042	7.6%
873	824	24	20	868	-0.6%
848	887		5	892	5.2%
1,201	1,206	5	20	1,231	2.5%
1,065	1,097		3	1,100	3.3%
9,604	8,670	1,008	455	10,133	5.5%
250			320	320	28.0%
1,120		974	300	1,274	13.8%
800			800	800	0.0%
				0	
				0	
				0	
11,774	8,670	1,982	1,875	12,527	6.4%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES**  
**OHIO AGRICULTURAL AND RESEARCH DEVELOPMENT CENTER BY FUND**  
(IN THOUSANDS)

2003-04 Revised Budget	2004-2005			Percent Change
	General	Earnings Restricted	Total Budget	

**RESOURCES**

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

				0	
34,397		35,830		35,830	4.2%
350		0		0	-100.0%
34,747	0	0	35,830	35,830	3.1%
7,000		6,500		6,500	-7.1%
20				0	-100.0%
41,767	0	0	42,330	42,330	1.3%
				0	
				0	
0	0	0	0	0	
				0	
				0	
1,240	1,590		1,590	1,590	28.2%
2,500		2,500	2,500	2,500	0.0%
300		600	600	600	100.0%
				0	
				0	
4,040	0	1,590	3,100	4,690	16.1%
45,807	0	1,590	45,430	47,020	2.6%

**EXPENDITURES**

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

Total Expenditures

400		500		500	25.0%
3,240	1,590	1,000		2,590	-20.1%
				0	
				0	
4,550		4,230		4,230	-7.0%
8,190	0	1,590	5,730	7,320	-10.6%
37,067		38,500		38,500	3.9%
300		1,000		1,000	233.3%
250		200		200	-20.0%
				0	
				0	
				0	
45,807	0	1,590	45,430	47,020	2.6%

# SUMMARY OF STATE SUPPORT COLUMBUS CAMPUS (IN THOUSANDS)

	2003-04 Revised Budget	2004-2005		Dollar Change	Percent Change
		General Funds	Restrct'd		
<b>CORE FUNDING</b>					
Instructional Funding	299,998	301,457		1,459	0.5%
Mission-Based Core Funding:					
Success Challenge	(1) 10,714	10,934		220	2.1%
Research Challenge	7,266	7,164		(102)	-1.4%
Priorities in Graduate Education	601		572	(29)	-4.8%
Subtotal Core Funding	318,579	319,555	572	1,548	0.5%
<b>APPROPRIATIONS</b>					
OSU-Specific Line Items:					
Cooperative Extension	24,619	25,645	25,645	1,026	4.2%
Clinical Teaching	13,566	13,566	13,566	0	0.0%
Sea Grants	264	258	258	(6)	-2.3%
Dental/Veterinary Medicine	1,344	1,277	1,277	(67)	-5.0%
Supercomputer	4,124	4,021	4,021	(103)	-2.5%
OARNET	3,584	3,727	3,727	143	4.0%
Ohio Learning Network	3,277	3,119	3,119	(158)	-4.8%
OSU Glenn Institute	299	286	286	(13)	-4.3%
BioMEMS Program	174	166	166	(8)	-4.6%
Subtotal OSU-Specific Line Items	51,251	286	51,779	814	1.6%
General Line Items					
Math/Science Teaching Improvement	339	331	331	(8)	-2.4%
Resource Ctr-Math/Science/Reading	853	800	800	(53)	
Urban Universities	347	330	330	(17)	-4.9%
College Readiness Initiatives	233	249	249	16	6.9%
Library Book Depository	413	402	402	(11)	-2.7%
Student Support Services	200	146	146	(54)	-27.0%
Capital Component	1,237	1,237	1,237	0	0.0%
Medical Items:					
Family Practice	735	708	708	(27)	-3.7%
Primary Care	414	394	394	(20)	-4.8%
Geriatric Medicine	113	107	107	(6)	-5.3%
Area Health Education Center	190	181	181	(9)	-4.7%
Subtotal General Line Items	5,074	548	4,337	(189)	-3.7%
Total Appropriations	56,325	834	56,116	625	1.1%
<b>OHIO GRANTS &amp; CONTRACTS</b>	36,389	1,530	32,000	(2,859)	-7.9%
<b>TOTAL STATE SUPPORT</b>	411,293	321,919	88,688	(686)	-0.2%

(1) Budget was not adjusted for FY 2004 Success Challenge cut.

# SUMMARY OF STATE SUPPORT EXTENDED CAMPUSES (IN THOUSANDS)

2003-04 Total Budget	2004-05		Total Budget	Dollar Change	Percent Change
	General Funds	Restricted			

## LIMA CAMPUS

State Share of Instruction
State Appropriations
Access Challenge
Jobs Challenge
Capital Component
Subtotal Appropriations
State Grants & Contracts
Total Lima Campus

3,978	3,875		3,875	(103)	-2.6%
594	569		569	(25)	-4.2%
79	79		79	0	0.0%
			0	0	
673	648	0	648	(25)	-3.7%
140	0	140	140	0	0.0%
4,791	4,523	140	4,663	(128)	-2.7%

## MANSFIELD CAMPUS

State Share of Instruction
State Appropriations
Access Challenge
Jobs Challenge
Capital Component
Subtotal Appropriations
State Grants & Contracts
Total Mansfield Campus

4,025	3,920		3,920	(105)	-2.6%
691	601		601	(90)	-13.0%
76	76		76		0.0%
391		391	391	0	0.0%
1,158	677	391	1,068	(90)	-7.8%
265		250	250	(15)	-5.7%
5,448	4,597	641	5,238	(210)	-3.9%

## MARION CAMPUS

State Share of Instruction
State Appropriations
Access Challenge
Jobs Challenge
Capital Component
Subtotal Appropriations
State Grants & Contracts
Total Marion Campus

3,496	3,519		3,519	23	0.7%
560	581		581	21	3.8%
107	107		107	0	0.0%
6		6	6	0	0.0%
673	688	6	694	21	3.1%
200		200	200	0	0.0%
4,369	4,207	206	4,413	44	1.0%

# SUMMARY OF STATE SUPPORT EXTENDED CAMPUSES (IN THOUSANDS)

## NEWARK CAMPUS

State Share of Instruction  
State Appropriations  
    Access Challenge  
    Jobs Challenge  
    Capital Component  
        Subtotal Appropriations  
  
State Grants & Contracts  
  
Total Newark Campus

2003-04 Total Budget	2004-05		Total Budget	Dollar Change	Percent Change
	General Funds	Restricted			
5,156	5,022		5,022	(134)	-2.6%
961	894		894	(67)	-7.0%
69	69		69	0	0.0%
123		123	123	0	0.0%
1,153	963	123	1,086	(67)	-5.8%
53		150	150	97	183.0%
6,362	5,985	273	6,258	(104)	-1.6%

## AGRICULTURAL TECH INSTITUTE

State Share of Instruction  
State Appropriations  
    Access Challenge  
    Jobs Challenge  
    Capital Component  
        Subtotal Appropriations  
  
State Grants & Contracts  
  
Total ATI

4,292	4,444		4,444	152	3.5%
349	301		301	(48)	-13.8%
102	102		102	0	0.0%
254		254	254	0	0.0%
705	403	254	657	(48)	-6.8%
200		300	300	100	50.0%
5,197	4,847	554	5,401	204	3.9%

## OARDC

Appropriations  
State Grants & Contracts  
  
Total OARDC

34,397	35,830		35,830	1,433	4.2%
350			0	(350)	-100.0%
34,747	0	35,830	35,830	1,083	3.1%

## TOTAL EXTENDED CAMPUSES

State Share of Instruction  
Appropriations  
State Grants & Contracts  
  
Total Extended Campuses

20,947	20,780	0	20,780	(167)	-0.8%
38,759	3,379	36,604	39,983	1,224	3.2%
1,208	0	1,040	1,040	(168)	-13.9%
60,914	24,159	37,644	61,803	889	1.5%

# SUMMARY OF STUDENT FEE INCOME

## COLUMBUS CAMPUS

(IN THOUSANDS)

	FY 2004 Budget	FY 2005 Budget	Dollar Change	Percent Change
<b><u>INSTRUCTIONAL FEES</u></b>				
Instructional Fees	344,653	393,722	49,069	14.2%
General Fees	17,962	18,268	306	1.7%
Non-Resident Surcharge	107,719	114,049	6,330	5.9%
Subtotal Instructional Fees	470,334	526,039	55,705	11.8%
<b><u>OTHER FEES</u></b>				
Student Activity Fee	507	1,030	523	103.2%
Application Fees	2,040	1,840	(200)	-9.8%
Acceptance Fees	1,050	1,075	25	2.4%
Recreation Fee	NA	1,253	1,253	
Technology/Program Fees	2,955	3,755	800	27.1%
Study Abroad	3,000	3,500	500	16.7%
Continuing Education	350	400	50	14.3%
Flight Instruction	865	900	35	4.0%
Medical Instrument Fees	1,625	1,625	0	0.0%
COTA Fees	(1) 1,400	0	(1,400)	-100.0%
Other	1,240	1,415	175	14.1%
Subtotal Other Fees	15,032	16,793	1,761	11.7%
<b><u>TOTAL STUDENT FEE INCOME</u></b>	<b>485,366</b>	<b>542,832</b>	<b>57,466</b>	<b>11.8%</b>

- 1.) Starting in FY 2005, this pass-through to the Central Ohio Transit Authority is no longer recorded as part of the university's income.

**2004-2005 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**COLUMBUS CAMPUS**

<u>Undergraduate Tier 1*</u>								
Undergraduate Tier 1: Students first enrolled before Summer Quarter 2002								
Credit Hours	Instrn'l Fees	General Fees		Rec Fees COTA		Resident Total	Non-Resident Tuition	Non-Resident Total
		Basic	Student Activity					
		(1)	(2)	(3)				
0	178	10		9	197	147	344	
1	356	20		9	385	294	679	
2	445	25		9	479	588	1,067	
3	534	30		9	573	882	1,455	
4	712	40		12 9	773	1,176	1,949	
5	890	50		12 9	961	1,470	2,431	
6	1,068	60		12 9	1,149	1,765	2,914	
7	1,246	69		12 9	1,336	2,059	3,395	
8	1,424	79		12 9	1,524	2,353	3,877	
9	1,602	89		12 9	1,712	2,647	4,359	
10	1,780	99		12 9	1,900	2,941	4,841	
11	1,958	109		12 9	2,088	3,235	5,323	
12+	2,136	119		12 9	2,276	3,529	5,805	

<u>Undergraduate Tier 2*</u>								
Students first enrolled between Summer Quarter 2002 and Spring Quarter 2003								
Credit Hours	Instrn'l Fees	General Fees		Rec Fees COTA		Resident Total	Non-Resident Tuition	Non-Resident Total
		Basic	Student Activity					
		(1)	(2)	(3)				
0	195	10		9	214	147	361	
1	390	20		9	419	294	713	
2	488	25		9	522	588	1,110	
3	585	30		9	624	882	1,506	
4	780	40		12 9	841	1,176	2,017	
5	975	50		12 9	1,046	1,470	2,516	
6	1,171	60		12 9	1,252	1,765	3,017	
7	1,367	69		12 9	1,457	2,059	3,516	
8	1,562	79		12 9	1,662	2,353	4,015	
9	1,757	89		12 9	1,867	2,647	4,514	
10	1,952	99		12 9	2,072	2,941	5,013	
11	2,147	109		12 9	2,277	3,235	5,512	
12+	2,342	119		12 9	2,482	3,529	6,011	

\* See Detail of Selected Rates for clinic and technology/program fees charged by certain programs.

(1) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities.

(2) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

**2004-2005 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**COLUMBUS CAMPUS**

<b>Undergraduate Tier 3*</b>								
<b>Students first enrolled after Spring Quarter 2003</b>								
<b>Credit Hours</b>	<b>Instrn'l Fees</b>	<b>General Fees</b>		<b>Rec Fees COTA</b>		<b>Resident Total</b>	<b>Non-Resident Tuition</b>	<b>Non-Resident Total</b>
		<b>Basic</b>	<b>Student Activity</b>					
		(1)	(2)	(3)				
0	196	10	2	9		217	147	364
1	393	20	3	9		425	294	719
2	491	25	3	9		528	588	1,116
3	589	30	4	9		632	882	1,514
4	786	40	5	12	9	852	1,176	2,028
5	983	50	6	12	9	1,060	1,470	2,530
6	1,179	60	8	12	9	1,268	1,765	3,033
7	1,376	69	9	12	9	1,475	2,059	3,534
8	1,573	79	10	12	9	1,683	2,353	4,036
9	1,770	89	11	12	9	1,891	2,647	4,538
10	1,966	99	13	12	9	2,099	2,941	5,040
11	2,162	109	14	12	9	2,306	3,235	5,541
12+	2,359	119	15	12	9	2,514	3,529	6,043

\* See Detail of Selected Rates for clinic and technology/program fees charged by certain programs.

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# 2004-2005 STUDENT FEE SCHEDULE

## FEES PER QUARTER

### COLUMBUS CAMPUS

Masters & PhD (1)										
Credit Hours	Instrn'l Fees	Gen Fees	Student			Resident Total		Non-Resident Tuition	Non-Resident Total	
			Activity Fees	Rec Fees	COTA	Pre-AU03	AU03 or later		Pre-AU03	AU03 or later
						Start	Start		Start	Start
(2) (3) (4)										
0	162	8	1		9	179	180	198	377	378
1	324	15	2		9	348	350	396	744	746
2	519	24	3		9	552	555	792	1,344	1,347
3	779	36	5		9	824	829	1,188	2,012	2,017
4	1,038	48	6	12	9	1,107	1,113	1,584	2,691	2,697
5	1,298	60	8	12	9	1,379	1,387	1,981	3,360	3,368
6	1,557	71	9	12	9	1,649	1,658	2,377	4,026	4,035
7	1,817	83	11	12	9	1,921	1,932	2,773	4,694	4,705
8	2,076	95	12	12	9	2,192	2,204	3,169	5,361	5,373
9	2,336	107	14	12	9	2,464	2,478	3,565	6,029	6,043
10+	2,595	119	15	12	9	2,735	2,750	3,961	6,696	6,711

MLHR (5)										
Credit Hours	Instrn'l Fees	General Fees	Student Activity			Resident Total		Non-Resident Tuition	Non-Resident Total	
			Rec Fees	COTA	Pre-AU03 Start	AU03 or later Start	Pre-AU03 Start		AU03 or later Start	
			(2)		(3)	(4)				
0	169	8	1		9	186	187	198	384	385
1	337	15	2		9	361	363	396	757	759
2	539	24	3		9	572	575	792	1,364	1,367
3	809	36	5		9	854	859	1,188	2,042	2,047
4	1,079	48	6	12	9	1,148	1,154	1,584	2,732	2,738
5	1,349	60	8	12	9	1,430	1,438	1,981	3,411	3,419
6	1,618	71	9	12	9	1,710	1,719	2,377	4,087	4,096
7	1,888	83	11	12	9	1,992	2,003	2,773	4,765	4,776
8	2,158	95	12	12	9	2,274	2,286	3,169	5,443	5,455
9	2,427	107	14	12	9	2,555	2,569	3,565	6,120	6,134
10+	2,697	119	15	12	9	2,837	2,852	3,961	6,798	6,813

(1) See Detail of Selected Rates for clinic and technology/program fees charged by certain programs.

(2) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(3) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(4) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

(5) Students in this program also pay a \$159 learning technology fee prorated by credit hour.

**2004-2005 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**COLUMBUS CAMPUS**

<b><u>MBA (1)</u></b>										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	305	8	1		9	322	323	198	520	521
1	609	15	2		9	633	635	396	1,029	1,031
2	974	24	3		9	1,007	1,010	792	1,799	1,802
3	1,461	36	5		9	1,506	1,511	1,188	2,694	2,699
4	1,948	48	6	12	9	2,017	2,023	1,584	3,601	3,607
5	2,436	60	8	12	9	2,517	2,525	1,981	4,498	4,506
6	2,923	71	9	12	9	3,015	3,024	2,377	5,392	5,401
7	3,410	83	11	12	9	3,514	3,525	2,773	6,287	6,298
8	3,897	95	12	12	9	4,013	4,025	3,169	7,182	7,194
9	4,384	107	14	12	9	4,512	4,526	3,565	8,077	8,091
10+	4,871	119	15	12	9	5,011	5,026	3,961	8,972	8,987

<b><u>Part-Time MBA (1)</u></b>										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	304	8	1		9	321	322	198	519	520
1	608	15	2		9	632	634	396	1,028	1,030
2	972	24	3		9	1,005	1,008	792	1,797	1,800
3	1,459	36	5		9	1,504	1,509	1,188	2,692	2,697
4	1,945	48	6	12	9	2,014	2,020	1,584	3,598	3,604
5	2,431	60	8	12	9	2,512	2,520	1,981	4,493	4,501
6	2,917	71	9	12	9	3,009	3,018	2,377	5,386	5,395
7	3,403	83	11	12	9	3,507	3,518	2,773	6,280	6,291
8	3,890	95	12	12	9	4,006	4,018	3,169	7,175	7,187
9	4,376	107	14	12	9	4,504	4,518	3,565	8,069	8,083
10+	4,862	119	15	12	9	5,002	5,017	3,961	8,963	8,978

(1) Students in this program also pay a \$159 learning technology fee prorated by credit hour.

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# 2004-2005 STUDENT FEE SCHEDULE

## FEES PER QUARTER

### COLUMBUS CAMPUS

EMBA (1)										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	720	8	1		9	737	738	198	935	936
1	1,440	15	2		9	1,464	1,466	396	1,860	1,862
2	2,305	24	3		9	2,338	2,341	792	3,130	3,133
3	3,457	36	5		9	3,502	3,507	1,188	4,690	4,695
4	4,609	48	6	12	9	4,678	4,684	1,584	6,262	6,268
5	5,762	60	8	12	9	5,843	5,851	1,981	7,824	7,832
6	6,914	71	9	12	9	7,006	7,015	2,377	9,383	9,392
7	8,066	83	11	12	9	8,170	8,181	2,773	10,943	10,954
8	9,218	95	12	12	9	9,334	9,346	3,169	12,503	12,515
9	10,371	107	14	12	9	10,499	10,513	3,565	14,064	14,078
10+	11,523	119	15	12	9	11,663	11,678	3,961	15,624	15,639

Master of Accounting (1)										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	380	8	1		9	397	398	198	595	596
1	760	15	2		9	784	786	396	1,180	1,182
2	1,216	24	3		9	1,249	1,252	792	2,041	2,044
3	1,824	36	5		9	1,869	1,874	1,188	3,057	3,062
4	2,432	48	6	12	9	2,501	2,507	1,584	4,085	4,091
5	3,040	60	8	12	9	3,121	3,129	1,981	5,102	5,110
6	3,647	71	9	12	9	3,739	3,748	2,377	6,116	6,125
7	4,255	83	11	12	9	4,359	4,370	2,773	7,132	7,143
8	4,863	95	12	12	9	4,979	4,991	3,169	8,148	8,160
9	5,471	107	14	12	9	5,599	5,613	3,565	9,164	9,178
10+	6,079	119	15	12	9	6,219	6,234	3,961	10,180	10,195

(1) Students in this program also pay a \$159 learning technology fee prorated by credit hour.

(2) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

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# 2004-2005 STUDENT FEE SCHEDULE

## FEES PER QUARTER

### COLUMBUS CAMPUS

<b>Health Administration</b>										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	194	8	1		9	211	212	198	409	410
1	388	15	2		9	412	414	396	808	810
2	621	24	3		9	654	657	792	1,446	1,449
3	931	36	5		9	976	981	1,188	2,164	2,169
4	1,242	48	6	12	9	1,311	1,317	1,584	2,895	2,901
5	1,552	60	8	12	9	1,633	1,641	1,981	3,614	3,622
6	1,862	71	9	12	9	1,954	1,963	2,377	4,331	4,340
7	2,173	83	11	12	9	2,277	2,288	2,773	5,050	5,061
8	2,483	95	12	12	9	2,599	2,611	3,169	5,768	5,780
9	2,794	107	14	12	9	2,922	2,936	3,565	6,487	6,501
10+	3,104	119	15	12	9	3,244	3,259	3,961	7,205	7,220

<b>Public Health MPH</b>										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	172	8	1		9	189	190	198	387	388
1	344	15	2		9	368	370	396	764	766
2	550	24	3		9	583	586	792	1,375	1,378
3	825	36	5		9	870	875	1,188	2,058	2,063
4	1,100	48	6	12	9	1,169	1,175	1,584	2,753	2,759
5	1,375	60	8	12	9	1,456	1,464	1,981	3,437	3,445
6	1,650	71	9	12	9	1,742	1,751	2,377	4,119	4,128
7	1,925	83	11	12	9	2,029	2,040	2,773	4,802	4,813
8	2,200	95	12	12	9	2,316	2,328	3,169	5,485	5,497
9	2,475	107	14	12	9	2,603	2,617	3,565	6,168	6,182
10+	2,750	119	15	12	9	2,890	2,905	3,961	6,851	6,866

(1) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(2) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

# 2004-2005 STUDENT FEE SCHEDULE

## FEES PER QUARTER

### COLUMBUS CAMPUS

<b>Public Health PEP</b>										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	172	8	1		9	189	190	198	387	388
1	344	15	2		9	368	370	396	764	766
2	550	24	3		9	583	586	792	1,375	1,378
3	825	36	5		9	870	875	1,188	2,058	2,063
4	1,100	48	6	12	9	1,169	1,175	1,584	2,753	2,759
5	1,375	60	8	12	9	1,456	1,464	1,981	3,437	3,445
6	1,650	71	9	12	9	1,742	1,751	2,377	4,119	4,128
7	1,925	83	11	12	9	2,029	2,040	2,773	4,802	4,813
8	2,200	95	12	12	9	2,316	2,328	3,169	5,485	5,497
9	2,475	107	14	12	9	2,603	2,617	3,565	6,168	6,182
10+	2,750	119	15	12	9	2,890	2,905	3,961	6,851	6,866

<b>Master of Physical Therapy</b>										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	178	8	1		9	195	196	198	393	394
1	355	15	2		9	379	381	396	775	777
2	569	24	3		9	602	605	792	1,394	1,397
3	853	36	5		9	898	903	1,188	2,086	2,091
4	1,137	48	6	12	9	1,206	1,212	1,584	2,790	2,796
5	1,422	60	8	12	9	1,503	1,511	1,981	3,484	3,492
6	1,706	71	9	12	9	1,798	1,807	2,377	4,175	4,184
7	1,990	83	11	12	9	2,094	2,105	2,773	4,867	4,878
8	2,274	95	12	12	9	2,390	2,402	3,169	5,559	5,571
9	2,559	107	14	12	9	2,687	2,701	3,565	6,252	6,266
10+	2,843	119	15	12	9	2,983	2,998	3,961	6,944	6,959

(1) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(2) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

# 2004-2005 STUDENT FEE SCHEDULE

## FEES PER QUARTER

### COLUMBUS CAMPUS

<b><u>Master of Occupational Therapy</u></b>										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	162	8	1		9	179	180	198	377	378
1	324	15	2		9	348	350	396	744	746
2	519	24	3		9	552	555	792	1,344	1,347
3	779	36	5		9	824	829	1,188	2,012	2,017
4	1,038	48	6	12	9	1,107	1,113	1,584	2,691	2,697
5	1,298	60	8	12	9	1,379	1,387	1,981	3,360	3,368
6	1,557	71	9	12	9	1,649	1,658	2,377	4,026	4,035
7	1,817	83	11	12	9	1,921	1,932	2,773	4,694	4,705
8	2,076	95	12	12	9	2,192	2,204	3,169	5,361	5,373
9	2,336	107	14	12	9	2,464	2,478	3,565	6,029	6,043
10+	2,595	119	15	12	9	2,735	2,750	3,961	6,696	6,711

<b><u>Pharmacy</u></b>										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	216	8	1		9	233	234	264	497	498
1	431	15	2		9	455	457	527	982	984
2	690	24	3		9	723	726	843	1,566	1,569
3	1,035	36	5		9	1,080	1,085	1,264	2,344	2,349
4	1,380	48	6	12	9	1,449	1,455	1,686	3,135	3,141
5	1,725	60	8	12	9	1,806	1,814	2,107	3,913	3,921
6	2,070	71	9	12	9	2,162	2,171	2,528	4,690	4,699
7	2,415	83	11	12	9	2,519	2,530	2,950	5,469	5,480
8	2,760	95	12	12	9	2,876	2,888	3,371	6,247	6,259
9	3,105	107	14	12	9	3,233	3,247	3,793	7,026	7,040
10+	3,450	119	15	12	9	3,590	3,605	4,214	7,804	7,819

(1) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(2) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

# 2004-2005 STUDENT FEE SCHEDULE

## FEES PER QUARTER

### COLUMBUS CAMPUS

<u>Medicine (1)</u>										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	449	8	1		9	466	467	543	1,009	1,010
1	898	15	2		9	922	924	1,085	2,007	2,009
2	1,436	24	3		9	1,469	1,472	1,735	3,204	3,207
3	2,154	36	5		9	2,199	2,204	2,603	4,802	4,807
4	2,872	48	6	12	9	2,941	2,947	3,471	6,412	6,418
5	3,590	60	8	12	9	3,671	3,679	4,339	8,010	8,018
6	4,308	71	9	12	9	4,400	4,409	5,206	9,606	9,615
7	5,026	83	11	12	9	5,130	5,141	6,074	11,204	11,215
8	5,744	95	12	12	9	5,860	5,872	6,942	12,802	12,814
9	6,462	107	14	12	9	6,590	6,604	7,809	14,399	14,413
10+	7,180	119	15	12	9	7,320	7,335	8,677	15,997	16,012

<u>Optometry (5)</u>										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	266	8	1		9	283	284	509	792	793
1	531	15	2		9	555	557	1,017	1,572	1,574
2	849	24	3		9	882	885	1,627	2,509	2,512
3	1,274	36	5		9	1,319	1,324	2,440	3,759	3,764
4	1,698	48	6	12	9	1,767	1,773	3,254	5,021	5,027
5	2,123	60	8	12	9	2,204	2,212	4,067	6,271	6,279
6	2,548	71	9	12	9	2,640	2,649	4,880	7,520	7,529
7	2,972	83	11	12	9	3,076	3,087	5,694	8,770	8,781
8	3,397	95	12	12	9	3,513	3,525	6,507	10,020	10,032
9	3,821	107	14	12	9	3,949	3,963	7,321	11,270	11,284
10+	4,246	119	15	12	9	4,386	4,401	8,134	12,520	12,535

(1) Medicine Level 1 fees. Medicine will offer tuition credits to students in Levels 2, 3 and 4 bringing their effective instructional fee lower than Level 1 by 1.7% for Level 2, 5.9% for Level 3, and 8.4% for Level 4.

(2) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(3) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(4) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

(5) Optometry students also pay a flat \$340 per quarter equipment fee.

# 2004-2005 STUDENT FEE SCHEDULE

## FEES PER QUARTER

### COLUMBUS CAMPUS

Dentistry (1)										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	374	8	1		9	391	392	509	900	901
1	748	15	2		9	772	774	1,017	1,789	1,791
2	1,196	24	3		9	1,229	1,232	1,627	2,856	2,859
3	1,794	36	5		9	1,839	1,844	2,440	4,279	4,284
4	2,392	48	6	12	9	2,461	2,467	3,254	5,715	5,721
5	2,990	60	8	12	9	3,071	3,079	4,067	7,138	7,146
6	3,588	71	9	12	9	3,680	3,689	4,880	8,560	8,569
7	4,186	83	11	12	9	4,290	4,301	5,694	9,984	9,995
8	4,784	95	12	12	9	4,900	4,912	6,507	11,407	11,419
9	5,382	107	14	12	9	5,510	5,524	7,321	12,831	12,845
10+	5,980	119	15	12	9	6,120	6,135	8,134	14,254	14,269

Vet Medicine										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(2)	(3)	(4)					
0	333	8	1		9	350	351	526	876	877
1	665	15	2		9	689	691	1,051	1,740	1,742
2	1,063	24	3		9	1,096	1,099	1,682	2,778	2,781
3	1,595	36	5		9	1,640	1,645	2,522	4,162	4,167
4	2,126	48	6	12	9	2,195	2,201	3,363	5,558	5,564
5	2,658	60	8	12	9	2,739	2,747	4,204	6,943	6,951
6	3,190	71	9	12	9	3,282	3,291	5,045	8,327	8,336
7	3,721	83	11	12	9	3,825	3,836	5,886	9,711	9,722
8	4,253	95	12	12	9	4,369	4,381	6,726	11,095	11,107
9	4,784	107	14	12	9	4,912	4,926	7,567	12,479	12,493
10+	5,316	119	15	12	9	5,456	5,471	8,408	13,864	13,879

(1) Dentistry students also pay a flat \$984 per quarter equipment fee.

(2) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(3) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$42 when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(4) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.



# 2004-2005 STUDENT FEE SCHEDULE

## FEES PER SEMESTER

### COLUMBUS CAMPUS

<u>Law (Semester)</u>										
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident Total		Non-Resident Tuition	Non-Resident Total	
						Pre-AU03 Start	AU03 or later Start		Pre-AU03 Start	AU03 or later Start
			(1)	(2)	(3)					
0	436	11	2		13.50	460.50	462.50	401	861.50	863.50
1	871	22	3		13.50	906.50	909.50	802	1,708.50	1,711.50
2	1,394	36	4		13.50	1,443.50	1,447.50	1,283	2,726.50	2,730.50
3	2,091	54	7		13.50	2,158.50	2,165.50	1,925	4,083.50	4,090.50
4	2,788	72	9	18	13.50	2,891.50	2,900.50	2,566	5,457.50	5,466.50
5	3,485	90	11	18	13.50	3,606.50	3,617.50	3,208	6,814.50	6,825.50
6	4,182	107	13	18	13.50	4,320.50	4,333.50	3,850	8,170.50	8,183.50
7	4,879	125	15	18	13.50	5,035.50	5,050.50	4,491	9,526.50	9,541.50
8	5,576	143	18	18	13.50	5,750.50	5,768.50	5,133	10,883.50	10,901.50
9	6,273	161	20	18	13.50	6,465.50	6,485.50	5,774	12,239.50	12,259.50
10+	6,970	179	22	18	13.50	7,180.50	7,202.50	6,416	13,596.50	13,618.50

(1) The Student Activity Fee for new students starting Autumn 2003 or later is earmarked for student activities

(2) The Recreation Fee is a flat fee for four or more credit hours. This fee will increase to \$63 per semester when the first phase of the new main recreation building is completed in Spring or Summer 2005.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

# 2004-2005 STUDENT FEE SCHEDULE

## FEES PER QUARTER

### LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES

<u>Undergraduate - Lower Division</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	148	6	154	(16)	138	147	285
1	296	12	308	(32)	276	294	570
2	370	15	385	(40)	345	588	933
3	445	18	463	(48)	415	882	1,297
4	593	24	617	(64)	553	1,176	1,729
5	741	30	771	(80)	691	1,470	2,161
6	889	37	926	(96)	830	1,765	2,595
7	1,037	43	1,080	(112)	968	2,059	3,027
8	1,185	49	1,234	(128)	1,106	2,353	3,459
9	1,334	55	1,389	(144)	1,245	2,647	3,892
10	1,482	61	1,543	(160)	1,383	2,941	4,324
11	1,630	67	1,697	(176)	1,521	3,235	4,756
12+	1,778	73	1,851	(192)	1,659	3,529	5,188

<u>Undergraduate - Upper Division</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	148	6	154	0	154	147	301
1	296	12	308	0	308	294	602
2	370	15	385	0	385	588	973
3	445	18	463	0	463	882	1,345
4	593	24	617	0	617	1,176	1,793
5	741	30	771	0	771	1,470	2,241
6	889	37	926	0	926	1,765	2,691
7	1,037	43	1,080	0	1,080	2,059	3,139
8	1,185	49	1,234	0	1,234	2,353	3,587
9	1,334	55	1,389	0	1,389	2,647	4,036
10	1,482	61	1,543	0	1,543	2,941	4,484
11	1,630	67	1,697	0	1,697	3,235	4,932
12+	1,778	73	1,851	0	1,851	3,529	5,380

**2004-2005 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES**

<u>Graduate</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	161	5	166	0	166	198	364
1	322	9	331	0	331	396	727
2	515	15	530	0	530	792	1,322
3	772	22	794	0	794	1,188	1,982
4	1,029	29	1,058	0	1,058	1,584	2,642
5	1,287	37	1,324	0	1,324	1,981	3,305
6	1,544	44	1,588	0	1,588	2,377	3,965
7	1,801	51	1,852	0	1,852	2,773	4,625
8	2,058	58	2,116	0	2,116	3,169	5,285
9	2,316	66	2,382	0	2,382	3,565	5,947
10+	2,573	73	2,646	0	2,646	3,961	6,607

**AGRICULTURAL TECHNICAL INSTITUTE**

<u>Undergraduate</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	148	6	154	(12)	142	147	289
1	295	12	307	(23)	284	294	578
2	369	15	384	(29)	355	588	943
3	443	18	461	(35)	426	882	1,308
4	590	24	614	(46)	568	1,176	1,744
5	738	30	768	(58)	710	1,470	2,180
6	886	37	923	(70)	853	1,765	2,618
7	1,033	43	1,076	(81)	995	2,059	3,054
8	1,181	49	1,230	(93)	1,137	2,353	3,490
9	1,328	55	1,383	(104)	1,279	2,647	3,926
10	1,476	61	1,537	(116)	1,421	2,941	4,362
11	1,623	67	1,690	(127)	1,563	3,235	4,798
12+	1,771	73	1,844	(139)	1,705	3,529	5,234

# ANNUAL FEE HISTORY

## COLUMBUS CAMPUS RESIDENT FEES

		FY 1995	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005	10 Year Average Increase
<b>Undergraduate:</b>	(1)							
Tier 1	(2)	3,087	4,356	4,761	5,190	5,991	6,765	8.2%
Tier 2	(2)	3,087	4,356	4,761	5,664	6,540	7,383	9.1%
Tier 3	(2)	3,087	4,356	4,761	5,664	6,624	7,779	9.7%
<b>Graduate Programs:</b>	(1)							
Masters & PhD	(1)	4,482	6,021	6,306	6,612	7,206	8,142	6.2%
MLHR	(4)	4,482	6,021	6,843	7,176	7,575	8,448	6.5%
MBA	(4)	4,482	9,024	11,334	12,891	13,635	14,970	12.8%
Part-time MBA	(4)	4,482	9,024	11,334	12,891	13,635	14,943	12.8%
EMBA	(3)	NA	26,076	27,402	29,331	31,749	34,926	NA
Master of Accounting	(3)	NA	15,036	15,810	16,590	17,556	18,504	NA
Health Administration	(4)	4,482	6,021	6,306	8,100	8,556	9,669	8.0%
Public Health MPH	(4)	4,482	6,021	6,306	6,909	7,620	8,607	6.7%
Public Health PEP	(4)	4,482	6,021	6,306	7,029	7,620	8,607	6.7%
Master of Physical Therapy	(3)	NA	NA	6,561	7,191	7,866	8,886	NA
Master of Occupational Therapy	(3)	NA	NA	NA	NA	7,227	8,142	NA
<b>Professional:</b>	(1)							
Pharmacy	(1)	5,181	7,107	7,716	8,610	9,591	10,707	6.4%
Dentistry		7,431	11,757	12,840	14,349	16,020	18,297	9.4%
Optometry		7,152	9,897	10,374	11,286	12,369	13,095	6.2%
Veterinary Medicine		7,371	11,049	12,012	13,188	14,589	16,305	8.3%
Law		5,376	9,984	10,826	11,880	13,024	14,298	10.3%
Medicine	(5)	8,958	13,821	15,168	17,412	19,278	21,897	9.3%

- (1) Full time fees for undergraduates are 12+ hours & for Graduates and Professional programs are 10+ hours. Fee numbers include only the instructional and general fees.
- (2) Tier 1 students were first enrolled before Summer 2002.  
Tier 2 students were first enrolled between Summer 2002 and Spring 2003.  
Tier 3 students were first enrolled after Spring 2003.
- (3) These degree granting programs have been created since 1995.
- (4) These are graduate programs that once charged the graduate fee but now have differential fees.
- (5) Medicine Level 1 fees. Medicine will offer tuition credits to students in Levels 2, 3 and 4 bringing their effective instructional fee lower than Level 1 by 1.7% for Level 2, 5.9% for Level 3, and 8.4% for Level 4.

## SUMMARY OF TYPICAL STUDENT FEES

### COLUMBUS CAMPUS UNDERGRADUATES

<b>TIER 1 STUDENTS</b> First Enrolled Prior to Summer 2002				<b>TIER 3 STUDENTS</b> First Enrolled After Spring 2003			
2003-04 Fees	2004-05 Fees	Dollar Change	Percent Change	2003-04 Fees	2004-05 Fees	Dollar Change	Percent Change

#### **RESIDENT FEES**

Instructional & General  
Student Activity Fee (1)  
Recreation Fee (2)  
Room & Board (3)  
Text Books/Supplies (4)

Subtotal

Health Insurance (5)  
Parking & Bus Pass (6)  
COTA Pass  
Football Tickets (7)  
Basketball Tickets (7)

Total

5,991	6,765	774	12.9%	6,579	7,434	855	13.0%
0	0	0		45	45	0	0.0%
0	36	36		0	36	36	
6,429	6,792	363	5.6%	6,429	6,792	363	5.6%
1,008	1,038	30	3.0%	1,008	1,038	30	3.0%
13,428	14,631	1,203	9.0%	14,061	15,345	1,284	9.1%
1,065	1,212	147	13.8%	1,065	1,212	147	13.8%
172	182	10	5.8%	172	182	10	5.8%
27	27	0	0.0%	27	27	0	0.0%
110	135	25	22.7%	110	135	25	22.7%
64	64	0	0.0%	64	64	0	0.0%
14,866	16,251	1,385	9.3%	15,499	16,965	1,466	9.5%

#### **NON-RESIDENT FEES**

Instructional & General  
Non-Resident Tuition  
Student Activity Fee (1)  
Recreation Fee (2)  
Room & Board (3)  
Text Books/Supplies (4)

Subtotal

Health Insurance (5)  
Parking & Bus Pass (6)  
COTA Pass  
Football Tickets (7)  
Basketball Tickets (7)

Total

5,991	6,765	774	12.9%	6,579	7,434	855	13.0%
9,987	10,587	600	6.0%	9,987	10,587	600	6.0%
0	0	0		45	45	0	0.0%
0	12	12		0	12	12	
6,429	6,792	363	5.6%	6,429	6,792	363	5.6%
1,008	1,038	30	3.0%	1,008	1,038	30	3.0%
23,415	25,194	1,779	7.6%	24,048	25,908	1,860	7.7%
1,065	1,212	147	13.8%	1,065	1,212	147	13.8%
172	182	10	5.8%	172	182	10	5.8%
27	27	0	0.0%	27	27	0	0.0%
110	135	25	22.7%	110	135	25	22.7%
64	64	0	0.0%	64	64	0	0.0%
24,853	26,814	1,961	7.9%	25,486	27,528	2,042	8.0%

- (1) The new Student Activity Fee applies only to students first enrolled after Spring 2003 and is within the fee cap.
- (2) The recreation fee applies only to students first enrolled after Summer 2003.
- (3) Undergraduate - Weighted average room rate +10 meal plan.
- (4) Estimated costs and inflationary increase per OSU Bookstore.
- (5) Student insurance is based on the single student comprehensive rate.
- (6) Using main campus rate.
- (7) Five football and eight basketball games.

# DETAIL OF SELECTED RATES

## OTHER STUDENT FEES

### FY 2005

Description	Timing	Rate	Percent Change
<b>Housing and Food Service</b>			
Undergraduate (Average room rates, & basic meal plan)	Quarter	2,264.00	5.7%
Graduate (Single + Phone)	Month	389.00	1.8%
Family Student Housing (2 bedroom apartment)	Month	660.00	4.8%
Board Only (100 meals plus \$100 Buck ID)	Quarter	900.00	5.6%
<b>Parking &amp; Transportation</b>			
Parking, 4-Wheel Vehicle (Main Campus)	Annual	181.80	5.7%
Parking, 4-Wheel Vehicle (West Campus)	Annual	64.80	5.9%
COTA Bus Pass	Quarter	9.00	0.0%
<b>Recreational Fees</b>			
Football Tickets	Per Game	27.00	22.7%
Basketball Tickets	Per Game	8.00 - 12.00	0.0%
Golf Course Membership	Annual	550.00	0.0%
Golf Course Greens Fees	Per Round	14.00 - 18.00	0.0%
Recreation & Physical Activity Center Fee	Quarter	12.00	(1)
<b>Student Health Insurance</b>			
Student	Quarter	404.00	14%
Student & Spouse	Quarter	1,080.00	19%
Student & Children	Quarter	1,087.00	19%
Student, Spouse & Children	Quarter	1,458.00	19%
Law Students	Semester	605 - 2187	15%
Early Arriving Students	Month	123 - 444	6%
<b>Equipment Fees</b>			
Dental Clinical Education Support Fee	Quarter	984.00	0.0%
Dental Hygiene Clinical Education Support Fee	Quarter	379.00	0.0%
<b>Clinic Fees</b>			
Optometry Clinic Usage Fee	Quarter	340.00	0.0%
Dental Hygiene EFDA Fee (Continuing Students)	Quarter	128.00	0.0%
Nursing Clinical Fee	Quarter	150.00	0.0%
Nursing Insurance Fee	Annual	12.00	0.0%
<b>Technology/Program Fees</b>			
College of Arts (Undergraduate & Graduate)	Quarter	50.00	0.0%
College of Biological Sciences	Quarter	50.00	New (2)
College of Business (Undergraduate)	Quarter	162.00	67.0% (2)
College of Business (Graduate)	Quarter	159.00	15.2%
College of Engineering (Undergraduate)	Quarter	110.00	0.0%
College of Engineering (Graduate)	Quarter	120.00	0.0%
College of Nursing (Undergraduate)	Quarter	100.00	100.0% (2)
College of Nursing (Graduate)	Quarter	50.00	0.0%
MAPS students in CSE (Undergraduate)	Quarter	73.00	0.0%
Department of Engineering Physics (Undergraduate)	Quarter	73.00	0.0%
School of Music (Undergraduate)	Quarter	100.00	100.0% (2)
School of Public Policy & Management (Graduate)	Quarter	120.00	0.0%

(1) Student Recreation fee will increase to \$42 per quarter when the new main recreation center opens.

(2) \$50 undergraduate program fee new in FY05; combined with existing technology fee when applicable.

## DETAIL OF SELECTED RATES OTHER STUDENT FEES

FY 2005

Description	Timing	Rate	Percent Change
<b>Other Student Fees</b>			
Application Fees-International (All but Medicine and Law)	One Time	50.00	0.0%
Application Fees-Domestic (All but Medicine and Law)	One Time	40.00	0.0%
Application Fees-Medicine International	One Time	70.00	0.0%
Application Fees-Medicine Domestic	One Time	60.00	0.0%
Application Fees-Law International	One Time	70.00	40.0%
Application Fees-Law Domestic	One Time	60.00	50.0%
Acceptance Fees-Undergraduate	One Time	100.00	0.0%
Acceptance Fees-Graduate	N/A	N/A	N/A
Acceptance Fees-Professional	One Time	25.00	0.0%
Transcript Fees	Each Request	7.00	0.0%
Dissertation Processing Fee	Each Request	70.00	4.5%
Orientation-Attend Summer Quarter	One Time	50.00	0.0%
Orientation-Attend Other Quarters	One Time	25.00	0.0%

## FACULTY, STAFF & DEPARTMENTAL FEES

<b>Health Insurance</b>			
<b>Prime Care Plan</b>			
Individual	Month	53.47	9.4%
Family	Month	167.09	9.4%
<b>OSUHP Plan</b>			
Individual	Month	177.88	3.3%
Family	Month	555.87	3.3%
<b>Traditional Plan</b>			
Individual	Month	177.88	3.3%
Family	Month	555.87	3.3%
<b>Buckeye Plan</b>			
Individual	Month	29.10	25.0%
Family	Month	90.93	25.0%
<b>Parking &amp; Transportation</b>			
Parking, 4-Wheel Vehicle A Decal (Main Campus)	Annual	523.20	5.1%
Parking, 4-Wheel Vehicle B Decal (Main Campus)	Annual	272.00	4.9%
<b>Recreational Fees</b>			
Football Tickets	Per Game	46.00	21.1%
Basketball Tickets	Per Game	15.00 - 20.50	0.0%
Golf Course Membership	Annual	1,625.00	0.0%
Golf Course Greens Fees	Per Round	23.00 - 30.00	0.0%
Recreation & Physical Activity Center Fee	Quarter	18.00	(3)
<b>Departmental Rates</b>			
Basic Telephone Service	Month	18.65	0.0%
University Health System	Various	Various	10.5% (4)

(3) Faculty & Staff Recreation fee will increase to \$100 when the new main recreation center opens.

(4) Weighted Effective Increase.

# SUMMARY OF RESIDENT STUDENT FEES

## BIG TEN PUBLIC INSTITUTIONS

FOR FY 2004 AND FY 2005

UNDERGRADUATE FEES NEW STUDENTS			
	FY 2004 Fees	FY 2005 Fees	1 Year % Change
1 Penn State	9,296	10,856	16.8%
2 Michigan	7,975	8,201	2.8%
3 Minnesota	7,116	8,029	12.8%
4 Michigan State	7,044	7,352	4.4%
5 Illinois	7,010	7,922	13.0%
6 Ohio State	6,624	7,515	13.5%
7 Indiana	6,517	6,777	4.0%
8 Purdue	5,860	6,092	4.0%
9 Wisconsin*	5,139	5,862	14.1%
10 Iowa	4,993	5,396	8.1%
Average	6,757	7,400	9.3%

- Notes: 1) Tuition is for new students entering Autumn Quarter 2004.  
 2) FY 2004 data is from AAUDE Data Exchange except for Michigan which was provided by the campus representative.  
 3) FY 2005 data is from phone/email survey of campuses.  
 4) OSU tuition excludes \$27 COTA pass through fee.



**SUMMARY OF AUXILIARY OPERATIONS**  
**FISCAL YEAR 2005**  
 IN THOUSANDS

Resources			Expenditures & Transfers				Net Income
Auxiliary	General Funds	Restricted	Total Budget	Expenditures	Debt Service	Other Transfers	

**COLUMBUS CAMPUS**

Housing, Food Services

and Event Centers (1)

Intercollegiate Athletics

Bookstores (2)

Transportation & (3)

Parking Services

University Airport

Real Estate & Prop Mgt

Subtotal Columbus

103,090	1,965		105,055	87,143	14,205	445	101,793	3,262
77,525		8,450	85,975	70,522	15,453		85,975	0
1,659			1,659	1,620			1,620	39
21,628			21,628	15,700	4,726	318	20,744	884
6,350			6,350	5,803	234		6,037	313
935			935	875			875	60
211,187	1,965	8,450	221,602	181,663	34,618	763	217,044	4,558

**MANSFIELD CAMPUS**

Bookstore

32			32	32			32	0
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**NEWARK CAMPUS**

Parking Facility

63			63	63			63	0
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**GRAND TOTAL**

211,282	1,965	8,450	221,697	181,758	34,618	763	217,139	4,558
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(1) Includes Student Housing & Food Service, The Fawcett Center, Student Unions, ATI Dorm, Newark Housing, the Schottenstein and the Blackwell.

(2) Consists primarily of the Medical Bookstore. The Main Bookstore and Regional Bookstores have been privatized.

(3) Resources reflect parking surcharge revenue allocated to debt-service

# HOUSING, FOOD SERVICES AND EVENT CENTERS

(IN THOUSANDS)

		FY 2004 Budget	FY 2005 Budget	Dollar Change	Percent Change
<b><u>RESOURCES</u></b>					
Food Service		26,652	25,144	(1,508)	-5.7%
Housing		46,711	47,311	600	1.3%
Fawcett Center		3,357	3,476	119	3.5%
ATI Residence Hall	(1)	1,634	2,303	669	40.9%
Newark Housing		809	849	40	4.9%
Mansfield Housing	(2)	0	659	659	
Ohio Union		1,188	1,315	127	10.7%
Blackwell	(3)	8,971	10,048	1,077	12.0%
Schottenstein Center	(4)	7,437	7,721	284	3.8%
Other	(5)	4,595	4,264	(331)	-7.2%
General Funds Support		1,929	1,965	36	1.9%
Subtotal Resources		103,283	105,055	1,772	1.7%
<b><u>EXPENDITURES &amp; TRANSFERS</u></b>					
Personnel		32,689	32,791	102	0.3%
Benefits		8,861	8,821	(40)	-0.5%
Supplies & Services	(6)	35,891	35,644	(247)	-0.7%
Equipment	(7)	3,097	4,477	1,380	44.6%
University Overhead		4,904	5,410	506	10.3%
Debt Service		14,025	14,205	180	1.3%
Other Transfers	(8)	2,881	445	(2,436)	-84.6%
Subtotal Expenditures & Transfers		102,348	101,793	(555)	-0.5%
<b><u>NET INCOME</u></b>		935	3,262	2,327	248.9%

- (1) In FY 2005, ATI housing operations are working more closely with the campus to improve housing occupancy rates.
- (2) New operations in FY 2005.
- (3) Operations are becoming more mature and increasing customer base.
- (4) Schottenstein Center budget is net of artist fees of \$7.8M in FY 2004 and \$7.9M in FY 2005.
- (5) Includes Success Center, Buck ID, Summer Conferences, Oxley's and Drake.
- (6) Excludes Schottenstein Center artists fees.
- (7) Aging food service facilities and reconfigurations of student rooms.
- (8) FY 2005 reduction in planned transfers due to cost reductions and reduced transfers for Blackwell.

# INTERCOLLEGIATE ATHLETICS

(IN THOUSANDS)

		FY 2004 Budget	FY 2005 Budget	Dollar Change	Percent Change
<b><u>RESOURCES</u></b>					
Auxiliary					
Football		27,155	28,411	1,256	4.6%
Stadium Revenue	(1)	15,515	15,010	(505)	-3.3%
Men's Basketball		12,196	11,611	(585)	-4.8%
Other Sports		1,265	1,110	(155)	-12.3%
Golf Course		3,055	3,677	622	20.4%
Other		16,249	17,706	1,457	9.0%
Subtotal Auxiliary		75,435	77,525	2,090	2.8%
Restricted					
Fund Raising		8,050	8,450	400	5.0%
Total Resources		83,485	85,975	2,490	3.0%
<b><u>EXPENDITURES &amp; TRANSFERS</u></b>					
Auxiliary					
Personnel		21,416	22,681	1,265	5.9%
Benefits		5,194	5,675	481	9.3%
Supplies & Services		22,833	24,952	2,119	9.3%
Equipment		806	1,072	266	33.0%
Schottenstein Rent		1,643	1,683	40	2.4%
University Overhead		3,801	3,490	(311)	-8.2%
Athletics Grants-In-Aid	(2)	1,414	2,965	1,551	109.7%
Debt Service		16,126	15,453	(673)	-4.2%
Other Transfers		2,141	(446)	(2,587)	-120.8%
Subtotal Auxiliary		75,374	77,525	2,151	2.9%
Restricted					
Athletics Grants-In-Aid	(2)	7,850	8,450	600	7.6%
Debt Service		0	0	0	
Subtotal Restricted		7,850	8,450	600	7.6%
Total Expenditures & Transfers		83,224	85,975	2,751	3.3%
<b><u>NET INCOME</u></b>		261	0	(261)	

(1) Consists of ticket surcharge, club seats, suite rental and scoreboard revenue.

(2) Athletics Grants-In-Aid must be supplemented by Auxiliary funds.

**THE OHIO STATE UNIVERSITY HEALTH SYSTEM \***  
(IN THOUSANDS)

	FY 2004 Budget	FY 2005 Budget	Dollar Change	Percent Change
<b><u>RESOURCES</u></b>				
Auxiliary				
Inpatient Revenue (Net)	582,596	642,755	60,159	10.3%
Outpatient Revenue (Net)	270,775	376,904	106,129	39.2%
Other	38,010	38,473	463	1.2%
Subtotal Auxiliary	891,381	1,058,132	166,751	18.7%
Restricted				
State Appropriation	2,635	1,400	(1,235)	-46.9%
Other	3,400	8,600	5,200	152.9%
Subtotal Restricted	6,035	10,000	3,965	65.7%
Total Resources	897,416	1,068,132	170,716	19.0%
<b><u>EXPENDITURES &amp; TRANSFERS</u></b>				
Auxiliary				
Patient Services	574,063	681,460	107,397	18.7%
Student Education	22,811	25,092	2,281	10.0%
Research	2,510	2,824	314	12.5%
Administration	206,614	250,832	44,218	21.4%
Plant, Operation & Maintenance	35,823	42,612	6,789	19.0%
Transfers To Plant (Net)	40,490	44,217	3,727	9.2%
Subtotal Auxiliary	882,311	1,047,037	164,726	18.7%
Restricted	6,035	10,000	3,965	65.7%
Total Expenditures & Transfers	888,346	1,057,037	168,691	19.0%
<b><u>NET INCOME</u></b>	9,070	11,095	2,025	22.3%

\* Includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Ross Heart Hospital, Harding Hospital, and Hospital Physician Network.

**STATE SUPPORT AND STUDENT FEES**  
**TEN YEAR TREND**  
**COLUMBUS CAMPUS**  
**(IN THOUSANDS)**

Fiscal Year	State Support (1)			Student Fees (2)		
	Amount	Dollar Change	Percent Change	Amount	Dollar Change	Percent Change
1995	267,000	12,645	5.0%	228,170	11,968	5.5%
1996	275,834	8,834	3.3%	241,051	12,881	5.6%
1997	287,565	11,731	4.3%	253,512	12,461	5.2%
1998	303,057	15,492	5.4%	269,094	15,582	6.1%
1999	311,787	8,730	2.9%	286,218	17,124	6.4%
2000	324,623	12,836	4.1%	306,757	20,539	7.2%
2001	337,754	13,131	4.0%	329,059	22,302	7.3%
2002	322,683	(15,071)	-4.5%	363,150	34,091	10.4%
2003	316,848	(5,835)	-1.8%	410,465	47,315	13.0%
2004	317,978	1,130	0.4%	463,855	53,390	13.0%
2005	319,555	2,707	0.9%	526,321	115,856	28.2%
Ten Year Average Increase			1.8%	7.0%		

- (1) State Support includes State Share of Instruction, Research Challenge and Success Challenge funds.
- (2) Student Fees include Instructional, General and Non-Resident Fees.

# HEADCOUNT ENROLLMENTS

## AUTUMN QUARTER, 1994-2004

Year	<u>Columbus Campus</u>							
	Undergrad	Graduate	Law	Dentistry	Medicine	Optometry	Pharmacy	Veterinary Medicine
1994	36,165	10,735	654	354	850	242	24	518
1995	35,475	10,495	678	360	863	249	36	521
1996	35,485	10,149	683	371	848	249	44	523
1997	35,647	9,907	666	380	855	247	41	535
1998	36,252	9,538	634	375	842	247	94	529
1999	36,092	9,153	628	372	832	248	147	531
2000	35,749	9,382	643	379	832	245	195	527
2001	36,049	9,452	655	395	844	245	300	537
2002	36,855	9,761	673	400	826	244	380	537
2003	37,605	9,931	703	412	843	244	453	540
E 2004	37,622	9,946	680	423	824	248	556	545

Graduate includes: Masters, PhD, MBA, EMBA, MAcc, MLHR, MPT, MHA, MPH, MOT, and PEP

Year	<u>Summary By Campus</u>						
	Columbus	Lima	Mansfield	Marion	Newark	ATI	Grand Total
1994	49,542	1,232	1,504	1,209	1,560	740	55,787
1995	48,677	1,244	1,359	1,171	1,548	783	54,782
1996	48,352	1,281	1,343	1,312	1,611	827	54,726
1997	48,278	1,374	1,460	1,105	1,676	925	54,818
1998	48,511	1,321	1,517	1,141	1,778	965	55,233
1999	48,003	1,323	1,573	1,176	1,883	1,031	54,989
2000	47,952	1,238	1,583	1,276	2,025	969	55,043
2001	48,477	1,356	1,495	1,390	2,079	940	55,737
2002	49,676	1,412	1,513	1,534	2,229	902	57,266
2003	50,731	1,338	1,640	1,567	2,148	830	58,254
E 2004	50,844	1,319	1,472	1,739	2,300	830	58,504

(APPENDIX XI)

**Selective Investment and Academic Enrichment**

Presentation to  
The Ohio State University  
Board of Trustees

September 22, 2004

Process for Selection of Selective Investment Units:

- Evaluation criteria:
  - Promotes academic excellence
  - Builds on existing strengths
  - Promotes interdisciplinary activities
  - Has a realistic strategic plan and appropriate benchmarks for monitoring progress and evaluating achievement
  - Contributes to outreach efforts with business, industry, and the community

Units Chosen for Selective Investment Awards:

1998:

- Electrical Engineering
- Materials Science and Engineering
- Physics
- Psychology

1999:

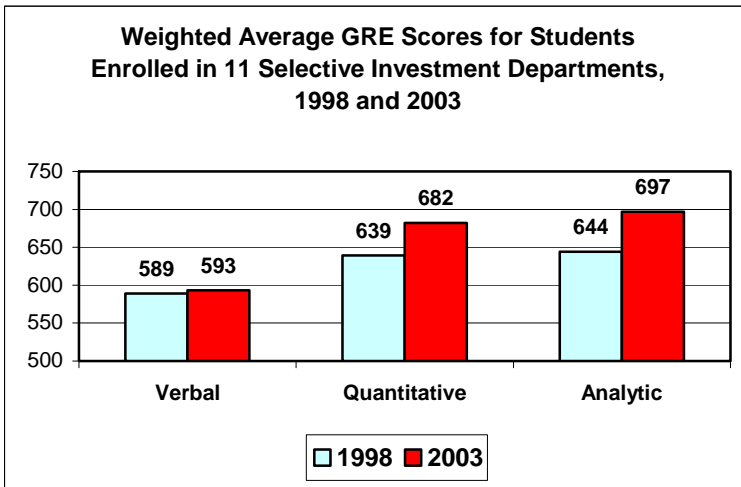
- Chemistry
- History
- Neuroscience
- Political Science

2000:

- Cardiovascular Bioengineering
- Economics
- English
- Law
- Mathematics

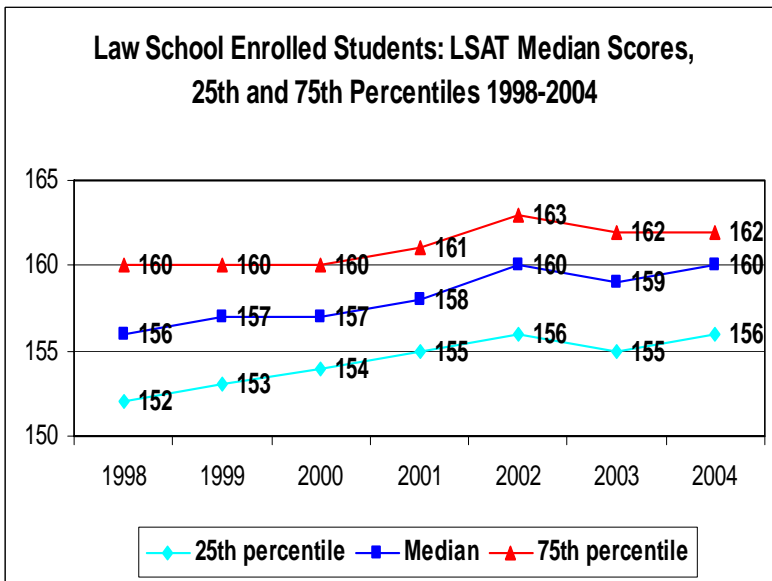
Measuring the Impact of Selective Investment Funds:  
Graduate Student Indicators

Weighted average GRE scores for domestic students enrolled in SI departments improved by 100 points overall from 1998 to 2003.



Measuring the Impact of Selective Investment Funds:  
Graduate Student Indicators

For entering Law students, LSAT scores have shown an improvement trend from 1998-2004.



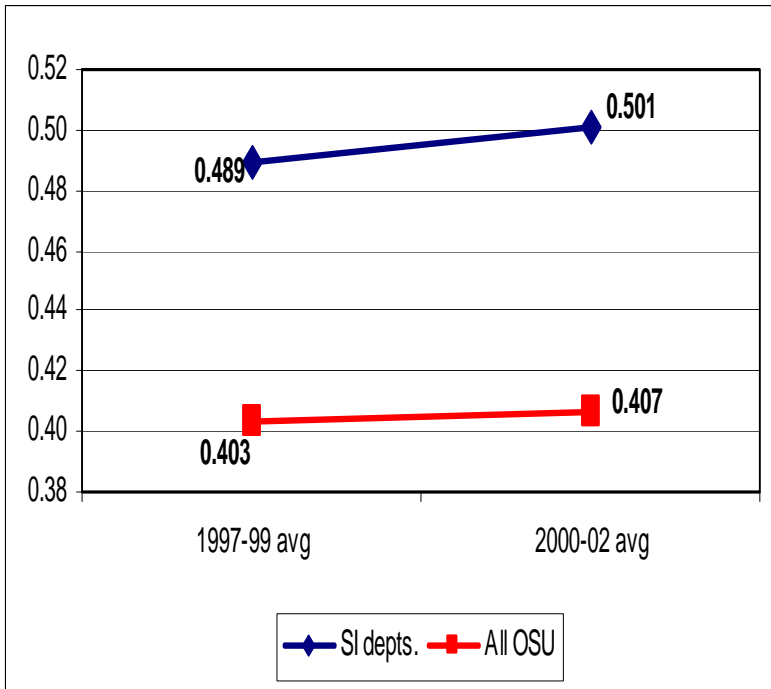


Measuring the Impact of Selective Investment Funds:  
Research Indicators

- Average number of article publications per year (in 3-year averages) increased 13% among SI units from 1997-99 to 2000-02.
  - In the same time period, increase for all OSU was 4.7%, making the improvement of SI units 2.75 times that of the university overall.

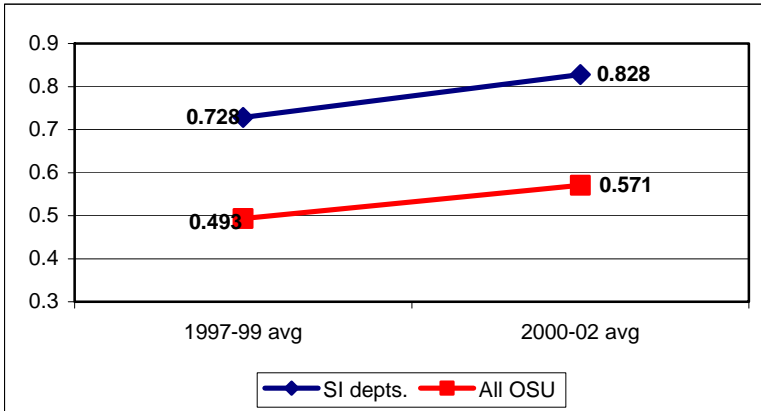
Measuring the Impact of Selective Investment Funds:  
Research Indicators

- Average percentage of article publications per year (in 3-year averages) increased .012% among SI units from 1997-99 to 2000-02, 3 times that of university overall (.004%).



### Measuring the Impact of Selective Investment Funds: Research Indicators

- Average percentage of citations per year (in 3-year averages) increased .10% among SI units from 1997-99 to 2000-02, 1.28 times that of OSU as a whole (.078%).



#### Department of Electrical and Computer Engineering

- Eleven faculty members elected IEEE fellows from 1998-2004.
- Four faculty members received NSF CAREER awards from 2002-2004, including 3 SI hires.
- Research expenditures grew 80% from 1997-2002, were at all-time high in 2002-03 (\$16.9M) and ranked 4<sup>th</sup> among public institutions.
- Dr. John Volakis (SI hire) received the Multiple University Research Initiatives (MURI) Award (\$1M per year for five years) in 2004 for the ElectroScience Laboratory.

#### Department of Materials Science and Engineering

- Professors James Williams and Winston Ho (SI hires) are members of the National Academy of Engineering.
- Sponsored research funding is increasing:
  - FY00: \$5,639,824
  - FY01: \$10,082,300
  - FY02: \$8,837,669
  - FY03: \$11,111,306
  - FY04: \$10,415,959

#### Department of Physics

- Prof. Arkady Tseytlin (SI hire) is one of the most highly cited researchers in his field.
- Prof. Samir Mathur (SI hire) won a 2003 OSU Alumni Distinguished Teaching Award.
- Physics majors averaged 2.4 major national awards per year for the past 5 years.
- Extramural funding is increasing:
  - FY00: \$7.4M
  - FY01: \$7.5M
  - FY02: \$8.1M
  - FY03: \$9.9M
  - FY04: \$9.9M

#### Department of Psychology

- Grant awards increased more than 2 ½ times between FY 2000 and FY 2004 (increasing from \$2.9 million to \$8.1 million).
- Citation counts have increased 40% between 1998 and 2002.
- SI hires have had major impact on recruitment of graduate students in behavioral neuroscience and cognitive psychology.
- Many undergraduate students involved in research with SI hires.

#### Department of Chemistry

- One of seven Chemistry depts. nationwide selected for participation in Carnegie Initiative on the Doctorate program.
- Prof. Pat Hatcher (SI hire) helped procure the \$5.8M NSF Environmental Molecular Science Institute (EMSI) in 2000.
- Prof. Malcolm Chisholm (SI hire) elected to American Academy of Arts & Sciences in 2004.
- National ranking for NSF awards improved from 25 in 1998 to 17 in 1999, and has been in the top 10 since 2000.

#### Department of History

- Graduate applications have risen in SI hire areas.
- Publications and conference presentations of SI hires have increased the department's scholarly reputation.
- SI hires received two Guggenheim awards in 2002.

#### Department of Neuroscience

- Department faculty funded by National Institutes of Health, National Science Foundation, and private foundations.
- Extramural funding gradually increasing, from \$3.9M in 2000 to \$4.36M in 2003.
- In 2004, Michael Knopp (SI joint hire with Radiology) led successful effort to get \$9.1M Third Frontier Grant and \$8M BRTT award to create a Wright Center of Innovation
- Department selected to participate in Carnegie Institute on the Doctorate program.

#### Department of Political Science

- In U.S. News & World Report, the department ranked 15<sup>th</sup> in 2001, up from 18<sup>th</sup> in 1998.
- Department ranked 4<sup>th</sup> in the world in a study published in January 2004 by the London School of Economics.
- John Mueller (SI hire) member of American Academy of Arts and Sciences
- Political Science majors won 1<sup>st</sup> and 3<sup>rd</sup> place honors in the 2003 Denman Undergraduate Research Forum, out of 240 participants.

#### Cardiovascular Bioengineering

- Davis Heart & Lung Research Institute (DHLRI) achieved top 10 national ranking for research funding in FY2004, with over \$60M in total annual grant awards.
- In past 3 years, faculty recruited directly or indirectly as result of SI initiatives have generated over \$30M in research grants.
- More students and fellows in the DHLRI working in the area of cardiovascular bioengineering, with over 20 currently working in the DHLRI and Biomedical Engineering (BME) Center.

#### Department of Economics

- Domestic graduate applicants increased 67% from 1998 to 2003; average GRE score of enrolled students increased by 194 points.
- Department recently ranked 11<sup>th</sup> among U.S. public universities in publications in eight top journals in the field.
- Significant increase in external grants:
  - 1998: \$41,712
  - 1999: \$214,064
  - 2000: \$373,129
  - 2001: \$563,348
  - 2002: \$1,214,192

#### Department of English

- Andrew Hudgins (SI hire) received a Guggenheim Fellowship in 2004.
- Department selected as one of 7 English departments nationwide to participate in Carnegie Initiative on the Doctorate.
- 19% increase in graduate applications in FY04 over FY03. For FY05, there was a 50% increase in MA applications and a 3% increase in Ph.D. applications over FY04.

#### College of Law

- Citations per year to faculty publications doubled between 2000 to 2003.
- The average number of faculty articles per year increased from 43 to 82 between 2000 and 2003.
- SI hires started two new scholarly journals since arriving at Ohio State.
- Qualifications of incoming students, including undergraduate GPA and LSAT scores, have shown steady improvement over the past few years.

## Department of Mathematics

- Department selected to participate in Carnegie Initiative on the Doctorate.
- Prof. Avner Friedman (SI hire) is a member of the National Academy of Sciences and AAAS Fellow.
- Received 5-year, \$3.9M grant for NSF VIGRE program and 5-year, \$10M NSF grant for Mathematical Biosciences Institute (MBI).
- Data on NSF awards show significant increase from 1998-2002, from \$467,673 (ranked #48) to \$4,643,571 (ranked #1).

## Academic Enrichment

- From 1995 to 2002, program provided \$8.7M in matching continuing central funds and \$5.8M in cash to create or enhance centers and programs across the university

### Process of Selection of Academic Enrichment Proposals:

#### Evaluation criteria:

- Central to our academic mission
- Builds on existing strengths
- Potential for interdisciplinary collaboration
- Includes realistic strategic plan, investment by sponsoring unit, and appropriate set of benchmarks
- Will enhance student experience

## Microscopic and Chemical Analysis Research Center (MARC) funded in 1995

- Researchers from more than 25 departments in 10 colleges use MARC facilities and expertise.
- MARC facilities have contributed to an estimated 70 publications by Ohio State faculty. At least 50 faculty members have research projects that require the MARC facilities, and over 100 graduate students have used expertise and equipment available in the MARC.

## Wireless Systems: ElectroScience Lab funded in 1996

- The ElectroScience Lab has annual research expenditures of \$4.5M.
- 12 IEEE fellows and 2 NAE members among the faculty and research scientists.
- More than 65 graduate and undergraduate students conduct research at ESL.

## Institute for Ergonomics funded in 1997

- During the year 2003, active grants and contracts for Institute members totaled nearly \$14 million.
- Institute members and interdisciplinary teams have won many research awards.
- Students have been involved in world-class research efforts, contributing to their placement in faculty positions at a number of the best universities in the nation, as well as research and development positions at prestigious companies.

Design Studio 2000  
funded in 1998

- Faculty member hired to direct this project (Maria Palazzi) procured funding and equipment from design corporations to provide students access to state-of-the-art software and computing technologies.

Center for the Study and  
Teaching of Writing  
funded in 1998

- The Center outreach efforts include literacy programs at local schools and workshops for Ohio P-12 teachers.
- The Center's program "Writing Across the Curriculum" offers free services to help OSU faculty and TAs integrate writing into coursework.
- The Writing Center provides one-on-one assistance with writing for any member of the university community, and serves about 2,500 clients each year.
- The Minor in Professional Writing is administered through the Center. The minor was launched in Fall 2003, and six students completed writing internships in local organizations in Winter Quarter 2004.

Mathematics and Statistics  
Learning Center  
funded in 1999

- Provides free tutoring for students enrolled in 29 courses in Mathematics and Statistics. In 2000-2001, the center provided approximately 75,000 hours of tutoring.

Moritz College of Law  
Legislation Clinic  
funded in 2000

- In its first two years of operation, 36 students completed the Legislation Clinic, receiving experience in various settings in the Ohio Statehouse.
- Sponsors one-day conferences devoted to public policy issues of relevance at the state legislative level.
- Provides a valuable public-policy service to the Ohio legislative community.

Research, Training and Service  
Through a Legislation Clinic  
funded in 2000

New Works Laboratory  
funded in 2001

- Since opening in 2001, New Works Laboratory, which seats up to 50, has hosted 18 performance events including 3 undergraduate Honors project performances, staged readings of new works, master classes held by guest artists, and performances of historical readings.
- New Works Lab is also used for classes.